



# Simulating sedimentary burial cycles: Investigating the role of apatite fission track annealing kinetics using synthetic data

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## 8 Abstract

9 Age dispersion is a common feature of apatite fission track (AFT) and apatite (U-Th)/He (AHe) 10 thermochronological data and it can be attributed to multiple factors. One underappreciated and underreported cause for dispersion is variability in apatite composition and its influence on thermal annealing of fission tracks. Here we 11 12 investigate, using synthetic data, how multikinetic AFT annealing behaviour (defined using the r<sub>mr0</sub> parameter) can 13 be exploited to recover more accurate, higher resolution thermal histories than are possible using conventional 14 interpretation and modelling approaches. Our forward model simulation spans a 2 Gyr time interval with two 15 separate heating and cooling cycles and generates synthetic AFT and AHe data for three different apatite 16 populations with significantly different annealing kinetics. The synthetic data are used as input for inverse modelling 17 (Bayesian QTQt model) that attempts to recover thermal history information under various scenarios. Results show 18 that essential features of the dual peak thermal history are captured using the multikinetic AFT data alone, with or 19 without imposed constraints. Best results are achieved when the multikinetic AFT data are combined with the AHe 20 data (using varying r<sub>mt0</sub> values from the AFT data for the He radiation damage model) and constraints are included. 21 In contrast, a more conventional monokinetic interpretation that ignores multikinetic AFT behaviour yields incorrect 22 thermal solutions that fail to adequately reproduce all the data. The AFT data are reproduced well but the AHe data 23 are not. Under these conditions, incorporation of constraints can be very misleading and fail to improve model 24 results. In general, a close fit between observed and modelled parameters is no guarantee of a robust thermal-history 25 solution if data are incorrectly interpreted. For the case of overdispersed AFT data, it is strongly recommended that 26 elemental data be acquired to investigate if multikinetic annealing is the cause of the age scatter. A future 27 companion paper will explore multikinetic AFT methodology and application to detrital apatite samples from 28 Yukon, Canada.

## 29 1. Introduction

Studies focusing on upper crustal tectonics, landscape evolution, and sedimentary basin analysis often rely on apatite fission track (AFT) and apatite (U–Th)/He (AHe) low-temperature thermochronology to decipher spatial patterns of exhumation and burial through time (e.g., Ehlers and Farley, 2003; House et al., 1998; Naeser et al., 1989; van der Beek et al., 1995; Zeitler et al., 1982). These low-temperature techniques typically produce internally consistent results in rapidly cooled, actively eroding mountain belts (e.g., Glotzbach et al., 2011), however,





35 thermochronometric harmony commonly breaks down in slowly cooled settings. There are gaps in our knowledge of 36 how fission tracks anneal in apatite (e.g., Ketcham, 2019), how <sup>4</sup>He diffusion occurs over geologic time (e.g., 37 McDannell et al., 2018), and if the mechanisms controlling these processes are fundamentally different, linked, or 38 interact in complex and unforeseen ways. Poorly understood compound variables, both geological and analytical, 39 sometimes yield apatite thermochronology data that are not straightforward to interpret. For example, AFT < AHe 40 "age inversion" (e.g., Farley et al., 1996; Fitzgerald et al., 2006; Flowers and Kelley, 2011) is often encountered in continental interiors and has been attributed to the effects of slow cooling and accumulated radiation damage on He 42 diffusion (e.g., Green et al., 2006). High age dispersion in AFT data is also seen in slowly cooled, ancient terranes 43 (McDannell et al., 2019a), suggesting there are unexplained complexities present in both systems.

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45 The canonical temperature sensitivity for AFT dating is ~60-125 °C (Gleadow and Duddy, 1981) and ~45-75 °C for 46 AHe dating (Wolf et al., 1998). However, temperature sensitivity varies as a function of multiple factors such as 47 apatite chemistry (Barbarand et al., 2003; Carlson, 1990; Crowley et al., 1990; Green et al., 1985, 1986; Ravenhurst 48 et al., 1993) and cooling rate for AFT, and radiation damage accumulation, grain size, parent nuclide zoning, and 49 chemistry for AHe (e.g., Djimbi et al., 2015; Farley, 2000; Gautheron et al., 2013; Gautheron et al., 2009; Recanati 50 et al., 2017; Shuster et al., 2006). Radiation damage may also play a role in modifying apatite fission track annealing 51 kinetics from old rocks (e.g., Carpéna et al., 1988; Hendriks and Redfield, 2005), or at least cause reduced thermal 52 annealing resistance (McDannell et al., 2019a). This is a debated issue (Kohn et al., 2009) requiring further scrutiny 53 and experimental work to verify empirical relationships (e.g., Carpéna and Lacout, 2010). However, observations of 54 AHe date-U and date-elemental trends by Recanati et al. (2017) and joint AFT-AHe date-U trends by McDannell et 55 al. (2019a) imply a complex relationship between  $\alpha$ -radiation damage and apatite chemistry, where dates increase 56 and then decrease as a function of the estimated damage accumulated, similar to observations with zircon 57 (Guenthner et al., 2013). This suggests a change in both helium and fission-track retention at high radiation damage 58 levels and warrants a closer inspection of apatite chemistry, radiation damage, and track annealing for applications 59 in thermal history analysis.

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61 We recognize chemical composition has an effect on both AFT and AHe dates, but careful investigation of this 62 property for both chronometers remains problematic. The main factors preventing this are practical in nature, in that 63 most AFT studies utilize compositional proxies due to ease of measurement (i.e., Dpar = mean etch figure width 64 parallel to c-axis; Burtner et al., 1994; Donelick, 1993) and neglect elemental data to fully characterize samples. 65 Likewise, the bulk AHe method is a destructive technique that precludes single-grain elemental characterization. The overwhelming majority of published studies featuring age inversion present AFT and AHe data from different 66 67 grains, making direct comparisons between individual apatites challenging (Danišík, 2019). There is also the 68 impractical comparison or statistical problem of likening AFT central ages to mean or single-grain AHe dates. The 69 central age for AFT data is utilized to provide an approximate geometric mean age for a population of grain ages in 70 the case of excess age dispersion (Galbraith and Laslett, 1993). Therefore, if an AFT sample fails the  $\chi^2$  test and 71 contains discrete age components or a continuous mixture of ages (Galbraith and Green, 1990; Galbraith and Laslett,





- 1993), then the meaning of the central age is somewhat misleading for comparative purposes. The same applies to averaged AHe dates if accumulated radiation damage varies between grains.
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75 In the overall context of age scatter, another equally viable possibility is that overdispersed or inverted dates for the 76 AFT and AHe thermochronometers occur as a result of variable intrasample retentivity (i.e., resistance to track 77 annealing and diffusive He loss) for both systems due to the effects of apatite chemical composition. In the simple 78 case one can imagine two apatite grains, a fluorapatite and the other a more retentive chlorapatite, where the former 79 is dated by FT and the latter is dated by (U-Th)/He. Assuming a slow-cooling history, the grain dated by AFT may 80 yield a date that is younger than the grain dated by the (U-Th)/He method solely due to compositional differences. 81 Here, we present simple examples demonstrating these effects using synthetic AFT and AHe data derived from 82 forward models utilizing the r<sub>mr0</sub> kinetic parameter based on apatite composition (Carlson et al., 1999; Ketcham et 83 al., 1999). The synthetic data are exaggerated, implementing extreme endmember kinetics that are rare, but not 84 unheard of, in natural crystalline basement samples and more commonly encountered in detrital samples. This was 85 done to illustrate that multikinetic AFT samples provide an expanded range in thermal sensitivity and that AFT data 86 may be misrepresented (under the assumption that the central age is wholly descriptive of a sample) if potential 87 kinetic sub-populations governed by composition are not accounted for during data interpretation or kinetic proxy 88 data are imprecise (i.e., Dpar; Issler et al., 2018; Schneider and Issler, 2019). Analogously, in the absence of 89 retentivity information for the AHe system, using a default "fluorapatite" value may completely misrepresent a 90 sample by introducing modelling artifacts that distort time-temperature (t-T) solutions, or even prevent viable t-T 91 paths from being found during thermal history analysis. These exercises were performed assuming that we knew the 92 true thermal history, which is almost always not the case, and they are meant to encourage users of 93 thermochronology data to more thoroughly interpret data and explore kinetic models before undertaking thermal 94 history simulations. The results in this paper give us confidence in our treatment of real data and support the idea 95 that the multikinetic AFT method yields higher resolution thermal histories than the conventional method. In a 96 future companion paper, we will specifically discuss elemental data collection, multikinetic workflow and 97 interpretation schemes, and thermal history analysis of natural detrital samples from Yukon, Canada.

## 98 2. Apatite chemistry, track annealing, and the experimentally derived r<sub>mr0</sub> parameter

99 The empirical rmr0 kinetic parameter was derived by characterizing track annealing with respect to chemical 100 composition (Carlson et al., 1999) to produce a multikinetic annealing model (Ketcham et al., 1999). Later work 101 updated the equation and annealing data fits (Ketcham et al., 2007) by combining the dataset of Barbarand et al. 102 (2003) with the 1999 dataset. However, the later reformulation of  $r_{mr0}$  is different due to the dominant influence of 103 Cl and OH (and generally lower cation concentrations) in the 2003 dataset, which considerably changes the fitting 104 parameterization. Although the rmr0 kinetic model shows you can reconcile the experimental annealing data with 105 apatite composition, this does not necessarily mean that more data equates to a better calibration. More data changes the calibration, but "improvement" depends on whether the calibration data are representative of the natural range of 106 107 apatite compositions or are skewed to a particular composition. The Ketcham et al. (2007) model still suffers from





108 an uneven distribution of data and includes a subset of possible compositional ranges that cause the revised equation to narrow the range of r<sub>mr0</sub> slightly from the original model. In our view, the 2007 multikinetic model is no better or 109 110 worse than the original model, however the 1999 model is less dominated by chlorapatite compositions, which aids 111 in clearer multikinetic interpretation (i.e., less kinetic population overlap) for natural AFT samples. It is a reasonable 112 assumption that the same annealing mechanism and therefore the same kinetic formulation applies to all apatite 113 varieties. It is primarily our lack of knowledge regarding composition and the relation with annealing, not 114 necessarily erroneous models that are the main issue for kinetic model calibration. Nevertheless, the utility of the 115  $r_{mr0}$  function remains for explaining overall apatite annealing-compositional trends. Here we review the  $r_{mr0}$ 116 parameter in the context of the original Carlson et al. (1999) expression. The reader is referred to the original papers 117 or Ketcham (2019) for a comprehensive discussion of rmr0.

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119 The  $r_{mr0}$  value comes from a simple normalization function that relates one apatite to another for the purpose of 120 comparing annealing behaviour at laboratory timescales, using the equation:

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$$r_{lr} = \left(\frac{r_{mr} - r_{mr_0}}{1 - r_{mr_0}}\right)^k$$
 (1)

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Where r<sub>lr</sub> and r<sub>mr</sub> are the reduced lengths of the apatite that are less resistant and more resistant to annealing, 124 125 respectively and  $r_{mr0}$  and k are fitted parameters. Specifically,  $r_{mr0}$  is the reduced fission-track length of the more 126 resistant apatite at the point in time and temperature where the less resistant apatite is totally annealed, allowing a direct comparison between any two apatites (Ketcham et al., 1999). Ketcham et al. (1999) used B2 apatite from 127 128 Bamble, Norway (highly enriched in Cl and OH) as the reference datum for r<sub>mr0</sub>, since this was the apatite most 129 resistant to annealing in the Carlson et al. (1999) experiments. Therefore, rmr0 values approaching one, signify lower 130 retentivity, whereas those approaching zero are more retentive, with common fluorapatite defined by a rmr0 value of 131 0.84. Individual rmr0 fits of apatite pairs revealed overall good agreement between measured and predicted mean 132 (and c-axis projected) lengths, however the simultaneous fits to the entire apatite dataset were lower quality. The 133 poorer fit was perhaps due to subtle differences in etching/annealing conditions (i.e., temperature control), the 134 simplification that  $r_{mr0} + k \approx 1$ , or insufficient compositional diversity and/or elemental data. For example, Si was 135 not accounted for in the Carlson et al. (1999) and Ketcham et al. (1999) studies but a subsequent study by Tello et al. 136 (2006) found that Itambé apatite was more resistant to annealing than the Durango apatite laboratory age standard 137 and is nearly 13x richer in Si (4.15 wt.% Si; simultaneous 1999 fit r<sub>mr0</sub> = 0.819 excluding Si). Comparing Itambé to 138 Durango implies higher retentivity for the former, yet the difference in rmr0 between Itambé and Durango is very 139 small using the 1999 rmr0 equation (0.31 wt. % Si; simultaneous 1999 fit rmr0 = 0.827). The rmr0 value for Itambé 140 calculated using the Ketcham et al. (2007) equation is 0.785; suggesting track retentivity is greater than Durango, 141 although the 2007 equation is biased towards more retentive apatite. These differences are just one example 142 indicating further annealing studies are required to account for unusual elemental substitutions that nonlinearly 143 influence annealing behaviour at the cation sites in apatite (Barbarand et al., 2003; Carlson et al., 1999; Ketcham et 144 al., 2007).





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146	We utilize the relationship established between $r_{mr0}$ and measured Cl to calculate an "effective Cl" (eCl) value in
147	atom per formula unit (apfu) from collected electron microprobe data (see McDannell et al., 2019b for further
148	explanation). Effective Cl is the Cl concentration required to yield an equivalent $r_{mr0}$ value for the Ketcham et al.
149	(1999) annealing model based on the published correlation between Cl and $r_{mr0}$ in Carlson et al. (1999). The eCl
150	value (e.g., Issler et al., 2018; McDannell et al., 2019b) is used to transform the nonlinear $r_{mr0}$ parameter to a linear
151	form for data interpretation using the equation (given in figure 7 of Ketcham et al., 1999):
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153	$r_{mr0} = 1 - \exp\left[2.107(1 - Cl) - 1.834\right] $ <sup>(2)</sup>
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155	In addition, the Ketcham et al. (1999) expression relating $r_{mr0}$ to $D_{par}$ is:
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157	$r_{mr0} = 1 - \exp\left[0.647(Dpar - 1.75) - 1.834\right] $ (3)
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159	The constants in these equations changed slightly in the Ketcham et al. (2007) multikinetic model revision but
160	remain similar to the original calculations. Equations (2) and (3) allow the transformation between measured kinetic
161	parameters (i.e., $D_{par}$ and Cl) to $r_{mr0}$ and vice versa. For example, the $r_{mr0}$ value from the Ketcham et al. (2007) model
162	is 0.83 for fluorapatite, which translates to an eCl value of ~0.03 apfu and an eDpar of ~1.85 $\mu$ m.
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164	Fission-track kinetics have also been used to describe changes in <sup>4</sup> He diffusivity in the apatite (U-Th)/He system
165	(e.g. Flowers et al., 2009). The development of a model to explain radiation damage effects on He diffusivity
166	(Shuster and Farley, 2009; Shuster et al., 2006) resulted in the radiation damage accumulation and annealing model
167	(RDAAM) by using fission-track annealing kinetics of Ketcham et al. (2007) as a proxy for $\alpha$ -damage or bulk
168	radiation damage annealing (Flowers et al., 2009). The fundamental assumption being that $\alpha$ -damage and fission-
169	track damage anneal at the same rate, enabling the use of the $r_{\text{mr0}}$ parameter in the RDAAM, set to typical
170	fluorapatite kinetics ( $r_{mr0} = 0.83$ ). This allows a comparison between fission track and He data within the same
171	kinetic framework. However, there is an apparent divergence in damage kinetics, and we now understand that
172	assuming similar annealing kinetics is an oversimplification and probably incorrect, especially when rocks reside for
173	long intervals at low temperatures <100°C and at high levels of accumulated radiation damage (Fox and Shuster,
174	2014; Gautheron et al., 2013; Ketcham, 2019; Ketcham et al., 2017; McDannell et al., 2019a; Recanati et al., 2017;
175	Willett et al., 2017). However, for common low-damage (i.e., low U) apatite and certain thermal histories, the
176	kinetics remain valid to first order. We use the $r_{\rm mr0}$ parameter to examine the relationship between apatite
177	composition and track retentivity (and He diffusivity) and how accounting for or overlooking these associations
178	influence data interpretation, and ultimately, thermal history modelling results.





## 179 3. Forward and inverse modelling of multikinetic synthetic data

# 180 3.1 Forward modelled synthetic AFT and AHe data from a predetermined thermal history

- Synthetic AFT data were generated from forward modelling a two-pulse heating history over 2000 Myr using the 181 QTQt software v. 5.7.3 (Gallagher, 2012) implementing Ketcham et al. (1999) annealing kinetics (fig. 1), with one 182 maximum heating event occurring at 1000 Ma (110°C) and the other at 300 Ma (60°C). AFT ages and track length 183 184 data (fig. 2) were randomly predicted for three kinetic populations as external detector method (EDM) data in QTQt. We specified three AFT kinetic populations of 10 age grains each, increasing in retentivity with rmto values of 0.882 185 186 (eCl = -0.144 apfu), 0.820 (eCl = 0.057 apfu), and 0.263 (eCl = 0.726 apfu) using individual-fit c-axis projected 187 length kinetic data for distinct apatites from Ketcham et al. (1999). Population one is set to the Holly Springs 188 (Georgia, USA) hydroxyapatite r<sub>mr0</sub> that typifies the lowest calculated retentivity in the Carlson et al. (1999) dataset, 189 population two uses Durango apatite kinetics (laboratory age standard), whereas population three is set to Tioga 190 (Pennsylvania, USA) Fe-Cl apatite, which is characterized by high retentivity and is an outlier of the Carlson et al. 191  $r_{mr0}$ -fitting dataset. The specified thermal history produced three AFT model ages of 670 Ma, 843 Ma, and 1602 Ma 192 (fig. 2). Seventy-five tracks were generated for each kinetic population with mean c-axis projected track lengths 193 (MTL) of  $13.32 \pm 1.33 \ \mu m$  (1 $\sigma$ ),  $14.24 \pm 1.42 \ \mu m$ , and  $14.65 \pm 1.47 \ \mu m$ , respectively. The initial (pre-annealed) 194 track lengths (loc) for each kinetic population were calculated as 16.17 µm, 16.40 µm, and 17.16 µm with increasing 195 retentivity and were estimated from the equivalent D<sub>par</sub> calculated from the indicated r<sub>mt0</sub> value for each kinetic 196 population (equation 3 above) using the  $l_{oc}$ -D<sub>par</sub> relation from Carlson et al. (1999). Three AHe dates were also 197 forward modelled using the radiation damage accumulation and annealing model (RDAAM) of Flowers et al. 198 (2009), which implements the Ketcham et al. (2007) kinetics for radiation damage annealing. We applied Holly 199 Springs, typical endmember fluorapatite ( $r_{mr0} = 0.83$  and the RDAAM default), and Tioga apatite  $r_{mr0}$  values to AHe grains, all with spherical grain radii of 50 µm and 25 ppm U (Th and Sm discounted for simplicity). The uncorrected 200 201 AHe dates (α ejection-corrected date in brackets) were 585 Ma [813 Ma], 610 Ma [848 Ma], and 819 Ma [1139 Ma] 202 predicted using the same t-T history (fig. 1) as the AFT data.
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Figure 1: Thermal history used to predict synthetic AFT and AHe data. This t–T path is referred to as the "true" thermal history throughout this paper. The predicted synthetic data were then used as input for QTQt to recover the thermal history through inverse modelling. PAZ = partial annealing zone for fission tracks.





## 208 3.2 Methods for inverting AFT and AHe synthetic data for thermal history

209 We attempted to recover the true thermal history used to predict the synthetic data from Sect. 3.1 using the QTQt software. These exercises imitate real thermal history investigation in the context of incomplete geologic 210 211 knowledge, complex or imperfect datasets, and judgement calls that are typically made by researchers implementing 212 thermochronology data and performing modelling to infer quantitative information about geologic processes. We 213 also explore the effects of kinetic assumptions for AHe dates or the consequences of neglecting the identification of 214 multikinetic populations during AFT modelling. An important point is that QTQt will generate thermal histories 215 regardless of feasibility, and it is up to the user to understand the ramifications of this and make sensible decisions 216 about modelling input and output (Gallagher and Ketcham, 2018; Vermeesch and Tian, 2014). We used QTQt 217 because it is sensitive to the number and quality of data during history inference (i.e., notionally improving model 218 results with additional, high quality data) and specifically because it will generate model histories regardless of the 219 physical or geologic plausibility for a history simulation — this was done to explore the possible effects of improper 220 data treatment or misinterpretation.

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223 Figure 2: Predicted synthetic AFT data from the thermal history in figure 1. Multikinetic age populations were individually 224 predicted using distinct rmr0 kinetics shown in (B) panels (discussed in the text). These data were then input in QTQt and inverted 225 in an attempt to recover the true thermal history in figure 1 (see fig. 3). (A) Central age and  $1\sigma$  errors are indicated for each 226 kinetic population. Kinetic populations one, two, and three are displayed as arms on their respective radial plots, with individual 227 AFT ages closer to the origin being less precise. The last radial plot shows all thirty individual grains and demonstrates that when 228 taken together, the combined sample fails the  $\chi^2$  test (p < 0.05) for homogeneity (i.e., that all grains belong to a single underlying age population) suggesting multiple age populations. This is the scenario most researchers would start with before evaluating the 229 230 sample for potential multikinetic behaviour. Mixture modelling was subsequently performed on the combined sample and the 231 model age peaks that were picked seamlessly align with the individual kinetic population central ages. This aligns with how 232 populations would be defined and compared with the elemental chemistry for individual age grains during multikinetic 233 interpretation. (B) The predicted track length distributions for each kinetic population from the thermal history in Figure 1 using 234 the specified kinetic parameter value. The last panel on the right combines all tracks from each kinetic population. Numbers on 235 the histogram are the number of tracks in each  $\mu$ m bin. Abbreviations: eCl = effective Cl; MTL = mean track length.





236 The rmr0 values for AFT and AHe data were held fixed for simulations and an appropriate level of noise was added 237 to the synthetic dataset by adding age scatter to AFT dates and setting typical uncertainties for predicted AHe dates 238 (all information given in ascending retentivity/kinetic population order). The AFT data were recast from OTOt 239 individual synthetic output files using random spontaneous/induced track (Ns/Ni) ratios that produced central ages for each kinetic group that were in agreement with forward model predictions using identical EDM parameters with 240 a  $\zeta$ -calibration value = 350 yr cm<sup>-2</sup>, induced track density ( $\rho_{Di}$ ) = 2.5 x 10<sup>6</sup> cm<sup>-2</sup>, and dosimeter tracks (Nd) = 10000. 241 242 These common values made it so each population was simulated as being from the same grain mount for the 243 purposes of easy comparison and t-T inversion. Population one central age was calculated as:  $670 \pm 26$  Ma, 244 population two was calculated as:  $843 \pm 29$  Ma, and population three was calculated as:  $1602 \pm 79$  Ma. The synthetic AFT sample has an overall central age of  $934 \pm 64$  Ma ( $1\sigma$ ,  $X^2 = 0.0$ , MSWD = 9, 34% dispersion, n = 30) 245 when all age grains are combined. Three mixture model age peaks of  $687 \pm 34$  Ma,  $828 \pm 34$  Ma, and  $1602 \pm 78$  Ma 246 247  $(1\sigma)$  were selected in IsoplotR (Vermeesch, 2018) for the combined AFT data, which are in agreement with the individual kinetic population central ages. The uncorrected AHe dates used all default RDAAM settings with the 248 exception of  $r_{mr0}$  and the dates were input as:  $585 \pm 17$  Ma,  $610 \pm 18$  Ma, and  $819 \pm 25$  Ma (all 3% errors,  $1\sigma$ ). 249

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251 We ran QTQt in multiple stages to tune Bayesian sampling and to ensure the acceptance rates for time and 252 temperature were between  $\sim 0.1-0.7$ , within the acceptable limits discussed in Gallagher (2012). Inversions were run 253 for >500,000 to >1,000,000 total iterations (burn-in and post-burn-in) and were considered complete when the likelihood distribution was stationary (i.e., there was no trend in the likelihood values with a stable or "flat" mean; 254 255 Gallagher, 2012). The modelling t-T space (prior) was designated as  $1000 \pm 1000$  Ma and  $150 \pm 150^{\circ}$ C with a 256 maximum allowed heating/cooling rate of 5°C/Myr. Sampling proposed outside of the prior was prevented and more 257 complex models were rejected. Therefore, t-T points were only added if they provided a better fit to the input data. 258 The long time interval for these model inversions are styled after a typical cratonic history and the only constraint 259 that was consistently enforced was starting the model at  $300 \pm 1^{\circ}$ C at  $2000 \pm 1$  Ma. For our purposes, this scenario is 260 considered a "no constraint" model, since we apply this as a starting condition for all inverse models well above the 261 sensitivity of our thermochronology data. We also ran models that enforced constraint boxes (i.e., with either one or two boxes) at  $20 \pm 10^{\circ}$ C at  $1650 \pm 100$  Ma and  $20 \pm 10^{\circ}$ C at  $500 \pm 50$  Ma, requiring t–T paths to pass through them. 262 263 These t-T boxes were treated as "known" geologic information for the inversions. For all models presented hereafter, we show the QTQt Maximum Likelihood (ML; i.e., more complex, best fit t-T path to the observed data, 264 coloured line) and Expected models (EX; i.e., ~weighted mean ± 95% credible interval; long dashed line and gray 265 envelope) with respect to the true thermal history used to predict the synthetic data (fig. 1). In Bayesian inference, 266 267 the posterior probability is proportional to the likelihood multiplied by the prior, and in QTQt the prior acts as a 268 penalty against making the model too complex and thus the Maximum Posterior (MP) model will be the simpler t-T path when compared to the ML path (i.e., typically fewer t-T points; Gallagher, 2012). We have excluded the MP 269 270 model for plot clarity for most output because the ML and MP paths are identical or nearly so for most scenarios, 271 which implies a well sampled and constrained ensemble of solutions (Gallagher and Ketcham, 2020).





#### 272 4. Model inversion results

273 QTQt inversion results are shown in figure 3 and examine the implications of multikinetic AFT, joint models with 274 multikinetic AFT and AHe grains using the correct kinetics (i.e., the kinetics implemented during forward modelling 275 to predict AHe dates), and different combinations of incorrect monokinetic AFT models where the three multikinetic 276 populations were combined and treated as a single AFT sample and/or AHe dates were assumed to be the 277 endmember fluorapatite rmr0 value. Figure 4 depicts the results comparing observed synthetic data and model 278 predictions for the inversions in figure 3. The first three models are "multikinetic AFT only" models (fig. 3A-C), 279 whereas the second row of models depicts results for three multikinetic AFT populations and three AHe grains (Fig. 280 3D-F). The last three panels are the single population AFT models (fig. 3G-I). We prevented t-T points from being 281 added during QTQt inversions unless the addition of points provided better agreement between observed and 282 predicted data. Therefore, all of our preferred results and discussion focus on the Maximum Likelihood model t-T 283 path, yet we show the Expected model and 95% credible interval for comparison and to provide a general picture of 284 the overall model ensemble. It should be noted that because the EX model undergoes a simple temperature 285 weighting in QTQt, the upper 95% credible interval will almost always be biased to slightly cooler temperatures 286 than if an exponential temperature weighting were to be applied that preferentially weights higher temperatures.

# 287 4.1 AFT-only models – identified multikinetic age populations and correct kinetics

288 The first model was setup to simultaneously invert each AFT kinetic population without AHe data for scenarios with 289 a "no constraint" model, a "single t-T constraint" model, and "two t-T constraints" model (fig. 3A-C). These 290 simulations were meant to be the ideal case using a lone AFT chronometer with extended thermal sensitivity due to 291 the presence of multikinetic apatite populations. We investigated the ability of QTQt to recover the true thermal 292 history using properly identified kinetic age populations while utilizing the true  $r_{mr0}$  value from forward modelling 293 for each population under varying degrees of geologic assumptions or constraints. The general shape, timing, and 294 magnitude of the true history form and peak temperatures are recovered for the multikinetic AFT models regardless 295 of whether or not constraint boxes were used. This suggests to us that the combination of high-quality, distinct age and length populations enhance t-T history resolving power, which becomes progressively improved if kinetic 296 297 populations sample a broad range of kinetic space (predicted AFT parameters closely agree with the synthetic data; 298 fig. 4A-C).

#### 299 **4.2 AFT + AHe models – consequences of the r**<sub>mr0</sub> parameter

The addition of the three AHe dates using their *correct* kinetics (i.e.,  $r_{mr0}$  values) along with the three multikinetic AFT populations (fig. 3D) improved thermal history recovery with respect to the AFT-only models (fig. 3A–C), while the addition of two constraint boxes produced a ML model t–T path that reproduced nearly all features of the true thermal history (fig. 3E). Figure 3E is the best thermal history model that utilized all assumptions and information used during forward model generation of the synthetic dataset and provides the closest fit to the synthetic data (fig. 4E). Setting all three AHe grains to 0.83  $r_{mr0}$  produces distortion of the model history with respect to the true history (fig. 3F). The model predicts three AHe dates that are virtually identical but provide a poor fit to





the input synthetic AHe ages (fig. 4F). The 610 Ma AHe grain (true kinetic  $r_{mr0}$  value = 0.83) was on the margin of acceptability.

## 309 4.3 Monokinetic AFT models – incorrectly combined kinetic populations

310 In our experience, multikinetic behaviour is not uncommon for basement samples characterized by complicated 311 burial histories and nearly always present for detrital apatite samples derived from complex source areas that 312 experience multiple heating events. In our "monokinetic" scenario, the multikinetic AFT data were incorrectly treated as a single population and modelled using the central age, MTL, and average eCl or  $r_{mr0} \pm 1\sigma$  of the entire 313 pool of synthetic single-grain ages. As previously mentioned, combining the three populations caused the sample to 314 fail the chi-square test ( $X^2 = 0.0$ ) and the calculated AFT central age was  $934 \pm 64$  Ma, the overall MTL was  $14.07 \pm$ 315 1.40  $\mu$ m (n = 225), and the average eCl is 0.213 ± 0.373 apfu (equivalent  $r_{mr0} \approx 0.75$ ) for all grains. AFT data are 316 317 usually treated as such in the published literature and overdispersed data are often modelled regardless of  $\chi^2$ 318 statistics. This situation could conceivably occur when the three kinetic populations were either ignored or there was 319 insufficient kinetic parameter resolution to identify discrete kinetic groups. A sample could also simply not be 320 multikinetic - but the models here are meant to illustrate the hazards of monokinetic misinterpretation for thermal 321 history analysis. In the monokinetic simulation without constraints, both the ML and EX t-T paths do not accurately 322 reproduce the true thermal history (fig. 3G). In this instance the ML path passes directly through both true 323 Phanerozoic thermal maxima and yields excellent fits to the observed synthetic data (fig. 4G). The addition of two 324 constraint boxes produced even more complex and highly inaccurate t-T solutions (fig. 3H), yet well reproduce the observed AFT data (fig. 4H). The AFT sample was modelled as monokinetic again (fig. 3I), but also included the 325 326 three AHe dates using uniformly applied default RDAAM rmr0 value of 0.83 for each apatite grain to provide further 327 insight into whether this combination could yield a better outcome just from the addition of more data for the 328 inversion. The EX model is still inaccurate but the addition of AHe grains made the ML path simpler, nevertheless it 329 is still distorted and poorly reproduces the true thermal history. QTQt also failed to accurately reproduce the true 330 AHe dates and predicted the same date for all three grains (fig. 4I). This may be because the second 610 Ma AHe 331 grain utilized the true rmr0 value of 0.83 from the forward modelling and was the best-predicted date of the three 332 (close to the observed date upper uncertainty limit) and dominated the iterative sampling during the inversion. The 333 AHe kinetics produced forward model dates that were distinctly older (819 Ma) and younger (585 Ma) than the 334 (middle) 610 Ma grain but these were unable to be reproduced by the inverse model assuming incorrect  $r_{mr0}$  kinetics. 335







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337 Figure 3: Thermal history inversion results from QTQt under different imposed kinetic and t-T assumptions. (A-C) show the 338 "AFT only" models that utilized three multikinetic AFT populations (discussed in the text) as the only input data. The true rmr0 339 kinetics applied during forward modelling were entered in the input files and held fixed for each kinetic population during the 340 inversion. (D-E) show the results of models that correctly utilized three multikinetic AFT kinetic populations and three AHe dates all with the true kinetics held fixed. Panel E is the best model inversion incorporating all correct thermochronometer 341 342 information used during forward modelling of the synthetic data set. The panel (F) model was completed under the same 343 conditions as panels (D-E) except that the three AHe grains all employ the incorrect (in the oldest and youngest cases) RDAAM default fluorapatite rmr0 value of 0.83 as the kinetic parameter. Panels (G-I) were modelled assuming a "monokinetic" or 344 345 traditional single population AFT sample that combines all three multikinetic populations into one. For all panels: Thick black 346 line is the "true" thermal history from figure 1; coloured, solid lines are the Maximum Likelihood model (best fit) t-T path from 347 QTQt; dashed gray lines are the Expected model t-T path with light gray 95% credible interval envelope. Assumed t-T 348 constraints are black boxes that require thermal histories to pass through them during the inversion.

# 349 5. Discussion

# 350 5.1 Apatite composition and multikinetic interpretation

351 The AFT and AHe modelling results presented here may seem intuitive based on the implemented kinetics and 352 modelling exercises using synthetic data, but are worth discussing nonetheless, since situations where highly 353 variable apatite compositions could influence thermochronometric dates are likely to be encountered in natural 354 samples. The results shown here indicate the benefits offered by interpreting intrasample AFT kinetic populations 355 for inverse modelling and also show how inappropriate assumptions regarding kinetic parameters can greatly 356 influence model outcome. Our examples were determined for a single, distinct thermal history, and yet they 357 establish that apatite composition and multikinetic interpretation (when appropriate) provide valuable information 358 for thermal history modelling — and are mostly unexplored, or at least underutilized by routine AFT studies. 359 Gallagher and Ketcham (2020) also touch on these points in response to the lengthy modelling discussion sparked by Vermeesch and Tian (2014) and are primary themes of this work. 360

361





362 Collection of elemental data and interpretation of multikinetic samples is particularly important for providing greater t-T resolution (fig. 3A-F), whereas combining or overlooking kinetic populations effectively smears the t-T signal 363 contained in the individual kinetic groups and produces a meaningless hybrid thermal history model (fig. 3G-I). We 364 365 could disregard these incorrect model simulations as self-fulfilling due to forward modelling a synthetic dataset and 366 assuming "perfect" kinetic models, however for real scenarios we would not know the true thermal history and without other information, this class of results could be interpreted as geologically meaningful. Perhaps more 367 368 important are the broader implications for thermal history modelling if there are inappropriate assumptions regarding data interpretation and certain steps are not taken to fully evaluate multikinetic AFT samples (fig. 3G-I), 369 370 especially at longer timescales where there is greater uncertainty and less geologic control. An important point is 371 that if multikinetic populations exist and are properly interpreted, they have the potential to constrain a much 372 broader range of t-T space than an incorrect monokinetic (single population) interpretation for an overdispersed 373 AFT sample.

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376 Figure 4: QTQt inversion predictions compared to "observed" synthetic thermochronology data generated during forward 377 modelling. Panel letters correspond to counterpart t-T model panels in figure 3. All predictions are for the Maximum Likelihood 378 models. Squares are observed AFT central age  $\pm 2\sigma$ , circles are predicted AFT age, diamonds are observed MTL  $\pm 1\sigma$ , and X-379 symbols are the predicted MTL. Individual model fits to each track length distribution for the AFT kinetic populations are also 380 shown and color-coded the same as figure 2. Observed apatite He dates shown by red H-symbol (spans the 1<sup>o</sup> error range quoted 381 in the text) and predicted AHe dates are black bars. Panel E with star is our best model that accounts for all multikinetic AFT 382 populations and utilizes the true AHe kinetics and two geologic constraints, all combined for the highest thermal history resolution. Note: track length distributions are arbitrarily placed next to their respective age population and were not plotted with 383 384 respect to the MTL plot axis.

# 385 5.2 Data quality and kinetic parameter influence on t-T resolution

The overall temporal and thermal resolution contained in multikinetic AFT data is influenced by multiple factors such as, the amount and distribution of the data (i.e., if the majority of the data are contained in one population versus distributed more equally), thermal history (i.e., the magnitude and sequence of heating-cooling events), and kinetics (i.e., the range of temperature sensitivity). A greater number of different kinetic groups are sensitive to more





390 extensive parts of the thermal history than a single population. However, the ability to recover thermal history 391 information depends on the details of the thermal history; if maximum temperatures occur late in the history then 392 previous events are thermally overprinted and the early history is obscured or erased entirely. We intentionally use 393 an ideal synthetic dataset with well-defined kinetic populations that have an equal distribution of data across all 394 populations. Natural populations may have an uneven distribution of grains and therefore populations that contain 395 the most data will best resolve distinct parts of the thermal history. Our QTQt inversions demonstrate the ability of 396 these data to inform t-T modelling in the context of variable kinetics and different modeller assumptions. The 397 similarity between Expected models that do and do not require paths to pass through explicit t-T boxes (e.g., fig. 398 3A-C) is informative for general modelling practices using Bayesian methods. This tells us that the multikinetic data 399 being inverted have enough sensitivity to resolve the general t-T history without requiring explicit conditions 400 imposed on the t-T search. This is perhaps unexpected, as the Bayesian sampling implemented by QTQt generally 401 favours simpler models over complex ones, which is a possible deterrent for users investigating deep-time thermal 402 histories (McDannell and Flowers, 2020). However, this should not preclude the use of QTQt for deep-time 403 problems, as the addition of thermochronological data augments inferences regarding thermal-history complexity in 404 QTQt.

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406 On the other hand, enforcing constraints while utilizing fewer chronometers and ignoring data complexity or kinetic 407 trends works against us. The main region of t-T space that proved difficult to resolve in all models was the 408 prolonged periods at low temperature. This was anticipated since the kinetic models and chronometers themselves 409 are rather insensitive to temperatures < 50°C. An interesting outcome is that for the "AFT only" models (fig. 3A–C) 410 the 95% credible interval around the EX t-T path gained precision at the expense of accuracy when constraint boxes 411 were added (fig. 3B-C), whereas the model without geologic constraints was less precise but more accurate at the 95% level (fig. 3A). It should be noted that since we penalized unnecessary complexity as an explicit condition on 412 413 the model prior, there were individual paths (not shown) that were more similar to the true history for these three 414 simulations, yet QTQt considered these solutions lower relative likelihood. We may expect this compromise 415 between accuracy (i.e., closer to the true solution) and precision (i.e., greater uncertainty) because subsequent 416 heating event(s) erase t-T information and the earlier or older, low-temperature parts of the history will be less and 417 less resolvable with additional reheating and thus may require constraint boxes to assist in the t-T search. However, imposing constraints where the model is less sensitive leads to exclusion of (potentially viable) solutions and 418 419 therefore tightens the envelope of accepted t-T paths. These results suggest that data quantity, quality, the use of t-T 420 constraint boxes, and proper data interpretation variably trade-off with one another. Figure 3E shows the ideal case 421 with the most accurate thermal history recovery (nearly identical to the true history) when two constraint boxes are 422 implemented with three interpreted AFT kinetic populations and three AHe grains modelled using the proper 423 kinetics. Importantly, this applies in the case of integrating multiple low-temperature thermochronometers and/or multikinetic AFT data, especially multikinetic populations that progressively diverge in kinetics, therefore 424 425 increasing thermal resolution. However, constraint boxes provide no obvious advantage when the three multikinetic 426 populations are ignored and only the overall central AFT age is modelled (fig. 3H).





#### 427

428 We show additional QTQt models in figure 5 to further demonstrate that multikinetic AFT data alone resolve the 429 true history in the absence of explicit constraint boxes, due to enhanced temperature sensitivity from grain 430 populations with distinct AFT kinetics. These simulations were carried out to test how robust the inference was for 431 the "AFT only" models in figure 3 and we show the MP model here for comparison in this case when we are further 432 exploring resolving power. We ran inversions where more complex models were allowed (fig. 5A; i.e., t-T points 433 were added even if they did not provide better fits to the observed data), where additional noise was added in the 434 form of uncertainty on the kinetic parameter value (fig. 5B), and lastly, we ignored the second AFT kinetic 435 population during inversion (fig. 5C). All other conditions were the same as in previous model runs. At face value, it 436 seems counter intuitive that we can resolve pre-thermal-maximum temperatures during reheating events because of 437 how fission-track lengths respond to heating, therefore our resolution should disappear if more complex models are 438 allowed.

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440 Figure 5A illustrates that in this case there is some resolution lost for the EX model envelope when more complex 441 models are allowed, in comparison to the EX path envelope in figure 3A. The figure 5A ML path is very similar to 442 that in figure 3A and the MP path is identical to the ML model. Adding noise to the kinetic data ( $\pm$  0.05 apfu) 443 creates little difference between the EX envelopes for the two models for the late history (fig. 5B), signifying a well-444 resolved solution ensemble, yet decreased ability to determine the timing and maximum temperature of the second 445 reheating event and some loss of resolution in the pre-maximum-heating portion of the history. Yet overall there is 446 not much difference between the figure 3A and figure 5B models, implying that some kinetic uncertainty is not 447 critically detrimental (under the assumption that our kinetic models are completely accurate, which we know they are not). The most impactful choice affecting the multikinetic "AFT only" simulations was ignoring kinetic 448 population two (fig. 5C). The loss of the ~840 Ma fluorapatite AFT population degraded our overall EX envelope t-449 450 T resolution near the peak temperature and affected the ability to recover the timing of the peak temperature. This is 451 a reasonable consequence because the ~840 Ma AFT age is set upon cooling after the thermal maximum, pinning 452 the timing and magnitude of heating. The ML and MP models are considerably different and reflect the loss of 453 independent constraining information within the overall solution pool and demonstrate that without this kinetic 454 group the "simple" model path is nearly continuous cooling and still adequately explains the observed data. 455 Accepting more complex models and adding noise led to the loss of t-T resolution, suggesting that our conclusions 456 are somewhat conditional on the assumptions of preferring model simplicity if there is low signal/noise or fewer 457 constraining input data. The figure 5C simulation supports the argument that the addition of independent t-T 458 information (i.e., multiple thermochronometers or AFT kinetic populations) enhances thermal history recovery 459 without the requirement of constraint boxes.

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Figure 5: Multikinetic AFT-only QTQt models without constraint boxes and same inversion setup as figure 3A–C. (A) QTQt run where more complex models were allowed. (B) Inversion where noise was added in the form of ± 0.05 apfu to the kinetic parameter. (C) Inversion where kinetic population two was ignored. All models: Magenta outline and long dashed blue line are the respective EX model 95% credible interval and ML model path from figure 3A. Thick black line is the "true" thermal history from figure 1; coloured solid cyan and light yellow lines are the respective Maximum Likelihood (best fit) and Maximum Posterior model t–T paths from QTQt for these inversions, short dashed gray lines are the Expected model t–T path with light gray 95% credible interval envelope.

# 469 **5.3** The use of constraint boxes in t–T modelling

470 The addition of constraint boxes for models with low t-T resolution may yield a false sense of precision in some 471 cases and suggests to us that boxes in QTQt should be used with caution when: (1) thermochronometer sensitivity is 472 marginal or only one chronometer is used, (2) history complexity is presumed to be high, and (3) when histories 473 approach  $10^8-10^9$  timescales. The use of excessively tiny t-T boxes in QTQt may cause an unintentional linearising bias or artifact to occur because of the Bayesian treatment of user constraints for the prior probability during 474 475 modelling. Essentially, this means t-T paths may be extremely linear between boxes if more complex models are prohibited. There is also the fact that paths are required to pass through a given t-T box. This is especially 476 problematic for geologic histories involving unconformities where, for example, we know basement rocks were at 477 478 surface by 450 Ma because there are preserved sediments of that age nearby. However, this information does not 479 preclude samples actually being exhumed close to the surface at 650 Ma and sitting at or near the surface for 200 480 million years before the deposition of Ordovician sediments. During Bayesian modelling, undue influence on the t-481 T search may occur if a constraint box were implemented at  $450 \pm 10$  Ma (depositional age) and  $10 \pm 10$  °C (surface 482 temperature). We may suspect an issue if the majority of thermal histories showed a very linear, preferred t-T 483 segment through our constraint box, yet some more complicated histories with a greater number of t-T points (i.e., penalized more complex paths) were also visible yet exhibited cooling prior to our box constraint, albeit with less 484 485 frequency. Unfortunately, under random Monte Carlo modelling assumptions, this "box biasing" would never be recognized as a problem due to the reliance on boxes for informing and expediting the t-T search. It is nonetheless 486 487 difficult to generalize the use of constraint boxes for inverse modelling and outcomes ultimately depend on how 488 constraints are implemented. Consequently, it is important to simulate and report several scenarios using different 489 explicit conditions for the t-T search for complex histories (e.g., Gallagher and Ketcham, 2018). 490

When confronted with using one or two low-temperature thermochronometers over longer timescales, the choice that is often made is to add more t–T boxes to better delineate the model space. However, this opens the door for assumptions to be heralded as geologic evidence, and as we see in these examples, this can still yield inappropriate thermal histories when t–T resolution is low. It is important to differentiate between geologic constraints (e.g.,





495 stratigraphic relationship or basement nonconformity) and assumptions (e.g., regionally rocks cooled below <sup>40</sup>Ar/<sup>39</sup>Ar biotite closure temperature of ~300 °C at ~2000 Ma) and to test different scenarios during modelling. 496 There are obviously exceptions to these points but this simply means that a model outcome is only as good as the 497 498 input data, and that tackling a complex problem with high expectations and few data should not — and cannot — 499 result in exceptional model results without numerous assumptions and choices made by the modeller. That being 500 said, we disagree with the recent assertion by Green and Duddy (2020) that "thermochronology data in isolation 501 cannot define periods when samples were cooler and subsequently reheated. This can only be defined with the aid of 502 constraints from geological evidence." This statement alludes to the non-uniqueness of t-T models and applies in 503 situations where a single AFT age population is modelled, or more generally when only one thermochronometer is 504 used to elucidate complicated t-T histories. However, we propose that multikinetic AFT interpretations (or more 505 generally, integration of independent information from multiple chronometers) demonstrate that their view does not 506 always apply, as we can see illustrated in figure 3A. Green and Duddy (2020) also go on to state that slow, 507 continuous cooling is often assumed in published thermal history models and that this is inappropriate. Of course, 508 ignoring geologic information and blindly inputting thermochronology data into modelling software will always 509 yield inappropriate thermal histories — and there is nothing preventing the user from doing this. However, model 510 simulations such as the one that we show in figure 3G tell us that the wrong model may imply slow monotonic 511 cooling, although it is not outright assumed, whereas our examples that utilize high-quality data (fig. 3A-E) 512 demonstrate that universal slow cooling suppositions are invalid.

# 513 5.4 Thermochronometer kinetics and future considerations

514 Our modelling results reveal that attempts to understand or interpret latent multikinetic age populations within AFT 515 data provide meaningful information that makes sense within the appropriate kinetic context of both the AFT and 516 AHe thermochronometers. This demonstrates that excess age scatter for AHe dates may be governed solely by 517 composition (i.e., rmr0) for grains of the same morphology and U content — and in this case, individual AHe dates 518 may be older than an AFT central age for valid reasons. These t-T models based on synthetic data reinforce the 519 conclusions of Gautheron et al. (2013) that specifically examined the track annealing law with respect to apatite 520 grain chemistry (r<sub>mr0</sub>) and the effects on AHe dates. Our results are even more telling when one considers that the 521 elemental data required to calculate  $r_{mr0}$  are often not collected and the typical AHe fluorapatite ( $r_{mr0} = 0.83$ ) 522 assumption can be misrepresentative for t-T modelling and produce inaccurate thermal history results, which was 523 recently demonstrated with natural samples by Recanati et al. (2017) and Powell et al. (2020). This implies that radiation damage effects on He diffusivity are only one piece of the "kinetic puzzle" and that serious t-T 524 525 inaccuracies can propagate into thermal history modelling if apatite composition, and therefore kinetics, are 526 incorrectly determined or simply assigned as some default value for both the AFT and AHe methods. The distorted thermal history results shown here for the commonly used RDAAM (assuming uniform rmr0) support the adoption 527 528 and routine use of a He kinetic model independent of fission track annealing kinetics (Gerin et al., 2017; Willett et 529 al., 2017). This is especially applicable to deep-time histories where rocks have greater potential to undergo cyclical 530 burial and exhumation because there is a greater age discrepancy introduced by the RDAAM when rocks spend





531 extended time at temperatures < 80 °C (Willett et al., 2017). Another conclusion is that, in the case of examples such 532 as figure 4F (or fig. 4I), the poorly predicted AHe dates are a result of an incorrect kinetic assumption and have 533 nothing to do with the quality of the dates. In many cases, in light of the known age scatter issue surrounding the 534 AHe method, workers may suspect these dates are erroneous because t-T modelling is not exhibiting agreement 535 between observed and model dates. We stress that this disagreement could also be a result of incorrect model or 536 geologic assumptions and have less to do with the observed age data, which further emphasizes an incomplete understanding of kinetics in our models. The implications of our modelling exercises would also advise against 537 538 attempts to arbitrarily cull AHe datasets of "outliers" and recommend this should be carried out only in extreme, 539 obvious cases of internal date disagreement or where other evidence is brought to bear on the source of excess age 540 scatter, such as analytical screening of apatite degassing behaviour (Idleman et al., 2018; McDannell et al., 2018). 541 Some of the examples discussed in McDannell et al. (2018), such as the Sierra Nevada apatite suite, exhibit similar 542 grain sizes, U content, and CRH degassing behaviour nearly identical to Durango apatite (the common laboratory 543 age standard), yet intrasample age scatter persists. Those experiments established that there are still variables that 544 affect He diffusion that are difficult to characterize, such as the siting of He in the crystal lattice, how radiogenic He 545 diffuses or is trapped during the transition from an open-to-closed system, and the specifics of how He is liberated 546 during laboratory heating. This persistent age scatter may be partly explained by differences in He retentivities (i.e., 547 grain chemistry) that were unable to be documented directly by degassing patterns alone in those experiments.

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549 We advise against the growing practice of trying to smooth out He date dispersion by arbitrarily binning grains into 550 groups by effective uranium (eU, parent U, Th, and Sm content weighted for  $\alpha$  productivity) that can be represented 551 by an average date (cf., Anderson et al., 2017; Anderson et al., 2018; Weisberg et al., 2018). This presupposes that 552 the age dispersion is caused by analytical uncertainty rather than geological factors such as variable composition and 553 radiation damage, even when we know the latter to be the prevailing sources of scatter. Although binning may make 554 it easier to fit the data using simple model assumptions, it can potentially lead to distorted, close-fitting thermal 555 histories that are interpreted as good solutions. Such an approach can act as a disincentive to acquire the data needed 556 to better understand the causes for the age dispersion. Ultimately more research is necessary to address this issue 557 but, in the absence of definitive data, it is worth trying to determine the model parameters that are needed to fit the 558 observations. The advantage of Bayesian models like QTQt is that kinetic parameters are acknowledged to be 559 uncertain and attempts are made to accommodate complicated thermochronologic datasets by adjusting kinetic 560 parameters within specified uncertainty ranges. This is preferable to rejecting datasets that are deemed incompatible 561 because they cannot be reconciled using simple modelling approaches with invariant parameters.

562

563 Currently there are limited options for directly quantifying kinetics for AHe grains outside of traditional (U–Th)/He 564 step-heating or CRH diffusion experiments. However, the maturation of in situ laser ablation (U–Th)/He (Boyce et 565 al., 2006; Pickering et al., 2020) provides a non-destructive micro-analytical method (at the expense of diffusion 566 information) that yields age information and may improve sample characterization by allowing auxiliary elemental 567 analysis. A way to bridge the gap and provide insight into possible chemical heterogeneity of unknowns is to carry





out AFT analyses and have grain mounts characterized by electron microprobe to obtain apatite elemental data while these methods are further developed and adopted. This approach will give a first-order approximation of the spread in apatite chemistry for aliquots analyzed for (U–Th)/He (e.g., Powell et al., 2020). We envision coupling laser ablation inductively coupled plasma mass spectrometry (LA–ICP–MS) AFT and AHe double-dating as a methodology that will prove valuable for better characterization of apatite chemistry in relation to derived dates, which will inform and require future laboratory experiments to be conducted on a diverse suite of apatites to better constrain annealing behaviour and extrapolate it to geologic timescales.

575

576 We recommend the routine collection of elemental data for apatite dated using the fission track method as a means 577 to better quantify sample chemical variation and relate this to kinetic behaviour for thermal history analysis. The use 578 of  $r_{mr0}$  (or eCl), while imperfect, still provides the best resolution for kinetic interpretation. The use of other kinetic 579 parameters such as D<sub>par</sub> are generally inadequate for more high-level kinetic interpretation due to low precision 580 (Issler et al., 2018; McDannell et al., 2019b; Schneider and Issler, 2019), whereas the sole use of measured Cl is 581 only applicable for a limited suite of apatites (i.e., chlorapatite) and neglects the influence of other elemental 582 substitutions on annealing (i.e., Carlson et al., 1999; Barbarand et al., 2003). These topics are discussed more fully 583 in a future companion paper that examines detrital AFT samples from Yukon, Canada to illustrate multikinetic AFT 584 interpretation and modelling methods.

#### 585 6. Conclusions

Using synthetic data derived from forward modelling, we show that, under ideal conditions, it is possible to extract 586 587 multi-cyclic heating and cooling history information from multikinetic AFT and AHe data using inverse modelling 588 methods when kinetic parameters for AFT annealing and AHe diffusion are correctly specified. Essential details of a 589 two-phase heating and cooling history are reproduced using AFT multikinetic data alone without imposing 590 constraint boxes but the closest fit to the true solution is achieved using all the synthetic data with constraint boxes. 591 Alternative monokinetic interpretations that ignore multikinetic behaviour generate solutions that significantly 592 depart from the true solution while providing close fits to the reinterpreted AFT data; under these conditions, 593 imposing constraint boxes can make the t-T solutions worse. Recent publications suggest that composition can 594 influence He diffusion in apatite; in the context of our simulations, ignoring composition causes misfits to AHe 595 dates and degrades model thermal histories. These results suggest that apatite elemental data should be acquired for interpreting and modelling overdispersed thermochronological datasets that result from multikinetic AFT annealing 596 597 and AHe diffusion behaviour. The ability to recover high-resolution thermal histories from natural multikinetic AFT 598 samples depends on the details of the thermal history and characteristics of the data and this is the subject of a future 599 paper.

## 600 7. Appendix

601 The appendix contains the true thermal history and the synthetic AFT data set. See the main text for further details.





#### 602 8. Author Contributions

- 603 KTM designed research and performed modelling. DRI was involved in conceptual discussions, model evaluation,
- and editing and drafting the manuscript. KTM wrote the paper.

## 605 9. Competing interests

606 The authors declare that they have no conflict of interest.

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#### 768 Figure Captions

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Figure 1: Thermal history used to predict synthetic AFT and AHe data. This t–T path is referred to as the "true" thermal history throughout this paper. The predicted synthetic data were then used as input for QTQt to recover the thermal history through inverse modelling. PAZ = partial annealing zone for fission tracks.

773 Figure 2: Predicted synthetic AFT data from the thermal history in figure 1. Multikinetic age populations were individually 774 predicted using distinct r<sub>mr0</sub> kinetics shown in (B) panels (discussed in the text). These data were then input in QTQt and inverted 775 in an attempt to recover the true thermal history in figure 1 (see fig. 3). (A) Central age and  $1\sigma$  errors are indicated for each 776 kinetic population. Kinetic populations one, two, and three are displayed as arms on their respective radial plots, with individual 777 AFT ages closer to the origin being less precise. The last radial plot shows all thirty individual grains and demonstrates that when 778 taken together, the combined sample fails the  $\chi^2$  test (p < 0.05) for homogeneity (i.e., that all grains belong to a single underlying 779 age population) suggesting multiple age populations. This is the scenario most researchers would start with before evaluating the 780 sample for potential multikinetic behaviour. Mixture modelling was subsequently performed on the combined sample and the 781 model age peaks that were picked seamlessly align with the individual kinetic population central ages. This aligns with how 782 populations would be defined and compared with the elemental chemistry for individual age grains during multikinetic 783 interpretation. (B) The predicted track length distributions for each kinetic population from the thermal history in Figure 1 using 784 the specified kinetic parameter value. The last panel on the right combines all tracks from each kinetic population. Numbers on 785 the histogram are the number of tracks in each µm bin. Abbreviations: eCl = effective Cl; MTL = mean track length.

786 Figure 3: Thermal history inversion results from QTQt under different imposed kinetic and t-T assumptions. (A-C) show the 787 "AFT only" models that utilized three multikinetic AFT populations (discussed in the text) as the only input data. The true rmr0 788 kinetics applied during forward modelling were entered in the input files and held fixed for each kinetic population during the 789 inversion. (D-E) show the results of models that correctly utilized three multikinetic AFT kinetic populations and three AHe 790 dates all with the true kinetics held fixed. Panel E is the best model inversion incorporating all correct thermochronometer 791 information used during forward modelling of the synthetic data set. The panel (F) model was completed under the same 792 conditions as panels (D-E) except that the three AHe grains all employ the incorrect (in the oldest and youngest cases) RDAAM 793 default fluorapatite r<sub>mr0</sub> value of 0.83 as the kinetic parameter. Panels (G-I) were modelled assuming a "monokinetic" or 794 traditional single population AFT sample that combines all three multikinetic populations into one. For all panels: Thick black 795 line is the "true" thermal history from figure 1; coloured, solid lines are the Maximum Likelihood model (best fit) t-T path from 796 QTQt; dashed gray lines are the Expected model t-T path with light gray 95% credible interval envelope. Assumed t-T 797 constraints are black boxes that require thermal histories to pass through them during the inversion.

**Figure 4:** QTQt inversion predictions compared to "observed" synthetic thermochronology data generated during forward modelling. Panel letters correspond to counterpart t–T model panels in figure 3. All predictions are for the Maximum Likelihood models. Squares are observed AFT central age  $\pm 2\sigma$ , circles are predicted AFT age, diamonds are observed MTL  $\pm 1\sigma$ , and Xsymbols are the predicted MTL. Individual model fits to each track length distribution for the AFT kinetic populations are also shown and color-coded the same as figure 2. Observed apatite He dates shown by red H-symbol (spans the  $1\sigma$  error range quoted in the text) and predicted AHE dates are black bars. Panel E with star is our best model that accounts for all multikinetic AFT





804 populations and utilizes the true AHe kinetics and two geologic constraints, all combined for the highest thermal history 805 resolution. Note: track length distributions are arbitrarily placed next to their respective age population and were not plotted with 806 respect to the MTL plot axis.

Figure 5: Multikinetic AFT-only QTQt models without constraint boxes and same inversion setup as figure 3A–C. (A) QTQt run where more complex models were allowed. (B) Inversion where noise was added in the form of ± 0.05 apfu to the kinetic parameter. (C) Inversion where kinetic population two was ignored. All models: Magenta outline and long dashed blue line are the respective EX model 95% credible interval and ML model path from figure 3A. Thick black line is the "true" thermal history from figure 1; coloured solid cyan and light yellow lines are the respective Maximum Likelihood (best fit) and Maximum Posterior model t–T paths from QTQt for these inversions, short dashed gray lines are the Expected model t–T path with light gray 95% credible interval envelope