



## Percent-level production of $^{40}\text{Ar}$ by an overlooked mode of $^{40}\text{K}$ decay

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10 **Abstract.** The decay of  $^{40}\text{K}$  to the stable isotopes  $^{40}\text{Ca}$  and  $^{40}\text{Ar}$  is used as a measure of time for both the K-Ca and K-Ar  
geochronometers, the latter of which is most generally utilized by the variant  $^{40}\text{Ar}/^{39}\text{Ar}$  system. The increasing precision of  
geochronology has forced practitioners to deal with the systematic uncertainties rooted in all radioisotope dating methods. A  
major component of these systematic uncertainties for the K-Ar and  $^{40}\text{Ar}/^{39}\text{Ar}$  techniques is imprecisely determined decay  
constants and an incomplete knowledge of the decay scheme of  $^{40}\text{K}$ . Recent studies question whether  $^{40}\text{K}$  can decay to  $^{40}\text{Ar}$   
15 via an electron capture directly to ground state ( $\text{EC}_{\text{ground}}$ ), citing the lack of experimental verification as reasoning for its  
omission. In this study, we (1) provide a theoretical argument in favour of the presence of this decay mode, and (2) evaluate  
the magnitude of this decay mode by calculating the electron capture to positron ratio ( $\text{EC}_{\text{ground}}/\beta^+$ ) and after combining it  
with other estimates, provide a best estimate of  $175 \pm 65$  ( $2\sigma$ ). We provide support for this calculation through comparison of  
the experimentally verified  $\text{EC}_{\text{ground}}/\beta^+$  ratio of  $^{22}\text{Na}$  with our calculation using the theory of  $\beta$  decay. When combined with  
20 measured values of  $\beta^+$  and  $\beta^-$  decay rates, this yields a partial decay constant for  $^{40}\text{K}$  direct to ground state  $^{40}\text{Ar}$  of  $9.6 \pm 3.8 \times$   
 $10^{-13} \text{ a}^{-1}$  ( $2\sigma$ ). We calculate a partial decay constant of  $^{40}\text{K}$  to  $^{40}\text{Ar}$  of  $0.590 \pm 0.014 \times 10^{-10} \text{ a}^{-1}$ , total decay constant of  $5.473$   
 $\pm 0.107 \times 10^{-10} \text{ a}^{-1}$  ( $2\sigma$ ), and conclude that although omission of this decay mode can be significant for K-Ar dating, it is  
minor for  $^{40}\text{Ar}/^{39}\text{Ar}$  geochronology and is therefore unlikely to have significantly biased published measurements.

### 25 1. Introduction

$^{40}\text{K}$  is a naturally occurring radioisotope of K with atomic abundance of 0.0117% (Garner et al., 1975).  $^{40}\text{K}$  undergoes a  
branched decay to  $^{40}\text{Ar}$  and  $^{40}\text{Ca}$  with a total half-life of ca. 1.3 Ga, and is the basis of the K-Ca and the K-Ar  
geochronometers (Aldrich and Nier, 1948; Wasserburg and Hayden, 1955; Marshall and DePaolo, 1982). The K-Ar system  
is most often exploited using the variant  $^{40}\text{Ar}/^{39}\text{Ar}$  method, wherein some of the  $^{39}\text{K}$  in the sample is transmuted to  $^{39}\text{Ar}$  by  
30 irradiation with fast neutrons, thereby allowing both the parent and the daughter nuclides to be measured as isotopes of Ar  
(Merrihue and Turner, 1966). The latter is widely used to date geological events that span Earth history, from volcanic  
eruptions recorded in historical texts (e.g., Preece et al., 2018; Renne et al., 1997), to the earliest events in the solar system  
(e.g., Renne, 2000).



35 Advances in analytical precision have forced practitioners in geochronology to address systematic uncertainties that are  
inherent in all radioisotope dating methods, such as uncertainties in the measurement apparatus, prior assumptions made by  
the observer, or interference from environmental factors. For the K-Ar system, these uncertainties also include those that  
arise from imprecisely known decay rates of  $^{40}\text{K}$ . In the geological literature, there have been two influential reviews of  
measurements of the  $^{40}\text{K}$  decay rate. Beckinsale and Gale (1969) provided the first comprehensive review of measured and  
40 predicted decay rates, which became the basis of the convention adopted by Steiger and Jäger (1977) used by the  
geochronological community for the next 20 years. Subsequently, Min et al. (2000) provided a more lengthy, critical review  
of available specific activity data determined by direct measurements of decay, and updated the derived decay rates for  
newer physical constants. More recently, the  $^{40}\text{K}$  decay parameters were estimated by Renne et al. (2010a,b), and although  
direct measurements of the  $^{40}\text{K}$  decay were incorporated into the estimate, it was heavily weighted to an intercomparison  
45 with  $^{238}\text{U}$  decay. The decay rate determined by Renne et al. (2010, 2011), and the Min et al. (2000) decay rates are the most  
frequently used in  $^{40}\text{Ar}/^{39}\text{Ar}$  geochronology. These evaluations, along with those from the nuclear physics community, have  
been summarized recently by Cresswell et al. (2018, 2019).

Despite decades of work and longstanding interest in  $^{40}\text{K}$  decay, there remains uncertainty over the nature of the decay  
50 scheme. There is consensus that most  $^{40}\text{K}$  decays by  $\beta^-$  to  $^{40}\text{Ca}$  or by electron capture to  $^{40}\text{Ar}$  via an excited state, and that a  
small amount ( $\sim 0.001\%$ ) of  $^{40}\text{K}$  decays to  $^{40}\text{Ar}$  via  $\beta^+$ . The early but influential review of  $^{40}\text{K}$  decay by Beckinsale and Gale  
(1969) included these decay modes, and also included a prediction of a second electron capture decay directly to the ground  
state of  $^{40}\text{Ar}$  that would add an additional  $\sim 2\%$  to the rate of decay from  $^{40}\text{K}$  to  $^{40}\text{Ar}$ . Many subsequent workers both in  
nuclear physics and geochronology have ignored this prediction. The influential review by Min et al. (2000) described this  
55 decay mode as “unverified” and having a “questionable” existence.

However, the putative electron capture to ground state decay mode decay constant is of the same order of magnitude as the  
uncertainties in the decay rate of  $^{40}\text{K}$  to  $^{40}\text{Ar}$ , and therefore may be a non-negligible and potentially important part of the  
geochronological system. Here, we describe the theoretical basis of this predicted decay mode and demonstrate the robust  
60 nature of the prediction via an analogous calculation of  $^{22}\text{Na}$  decay. We describe experiments that could be made to measure  
this decay mode and also identify observations from nuclear physics experiments that offer evidence for its existence. We  
conclude that the evidence for this decay mode is strong, and despite the large uncertainty, should be considered in  
evaluations of the  $^{40}\text{K}$  decay rate.

## 2. Historical Overview

65 At present,  $^{40}\text{K}$  has three experimentally-verified decay modes (Figure 1):



- 1)  $\beta^-$  decay to  $^{40}\text{Ca}$ . This mode can be verified by direct measurement of the  $\beta^-$  emission.
- 2) Electron capture to an excited isomer of  $^{40}\text{Ar}$ , followed by decay to the ground state of  $^{40}\text{Ar}$  accompanied by emission of a 1.46 MeV  $\gamma$ -ray. Hereafter we denote this decay mode as EC\*. This mode can be verified by direct measurement of the  $\gamma$  emission.
- 70 3)  $\beta^+$  decay from the ground state of  $^{40}\text{K}$  to the ground state of  $^{40}\text{Ar}$  (Engelkemeir et al., 1962). This is a very small component of the total decay rate and has been verified by direct measurement of the  $\beta^+$  emission.

In their paper reporting the measurement of  $\beta^+/\beta^-$ , Engelkemeir et al. (1962), through a private correspondence with Brosi and Kettle, proposed that an electron capture mode that goes directly to ground state  $^{40}\text{Ar}$  also exists, with an electron capture to positron ratio of 155. This decay mode is hereafter denoted EC<sub>ground</sub>. This decay mode has not been  
75 experimentally detected, in part because the measurement is much more difficult to make than the others. If it exists, it would contribute about 0.2% to the total decay rate of  $^{40}\text{K}$ , or about 2% to the  $^{40}\text{Ar}$  branch.

The EC<sub>ground</sub> decay mode was included in the review by Beckinsale and Gale (1969) and then subsequently in Steiger and  
80 Jäger (1977). This decay mode is also included in the widely-used ENSDF and DDEP evaluations (Chen, 2017 and Mougeot & Helmer, 2009 respectively). However, evaluations by Endt and Van der Leun (1973, 1978), Endt (1990), Audi et al., (2003) do not explicitly include this decay mode, with Audi et al. (2003) giving a transition intensity which is the combined EC\* and  $\beta^+$  intensities. Min et al., (2000) have questioned its validity because there is no experimental verification, and therefore do not include EC<sub>ground</sub> in their estimates.

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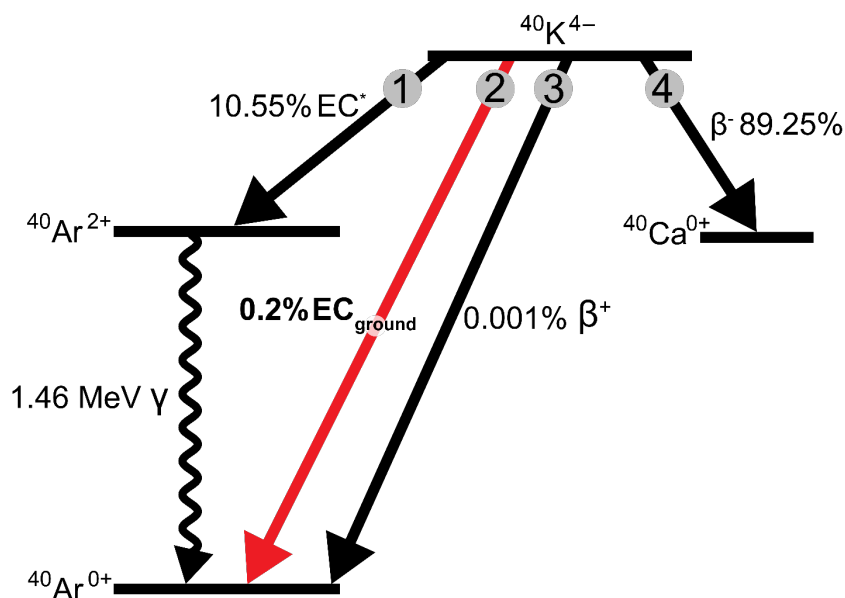


Figure 1: Decay scheme of  $^{40}\text{K}$  after McDougall and Harrison (1999) and Leutz et al., (1965), where 1 is the electron capture branch to the excited state of  $^{40}\text{Ar}$  with  $\gamma$ -ray emission ( $\text{EC}^*$ ), 2 is the electron capture direct to the ground state of  $^{40}\text{Ar}$  ( $\text{EC}_{\text{ground}}$ ), 3 is the positron decay to ground state of  $^{40}\text{Ar}$ , and 4 is the  $\beta$  decay to the ground state of  $^{40}\text{Ca}$ . The disputed decay mode,  $\text{EC}_{\text{ground}}$  is highlighted in red.

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### 3. Why there must be an $\text{EC}_{\text{ground}}$ decay mode

In nuclides that are too proton-rich and therefore radioactive, protons decay to correct this imbalance via two mechanisms. Either, (1) the nucleus undergoes electron capture wherein an orbital electron is captured by the nucleus, or (2) the nucleus emits a positron ( $\beta^+$ ). Both processes are types of  $\beta$  decay and result in the transformation of a proton to a neutron to conserve charge, and they both also emit a neutrino in order to conserve lepton number and energy. These two processes are typically paired: coupled electron capture- $\beta^+$  is the second most abundant decay type on the chart of the nuclides, after  $\beta^-$  decay (Audi et al., 2003). They are linked because both processes have the same initial and final nuclear states.

$\beta^+$  decay is always accompanied by EC, but the converse is not always true (Bambynek et al., 1977). This is because  $\beta^+$  decay, unlike EC, requires a minimum amount of energy (~1022 keV, equivalent to the combined rest masses of both a positron and an electron) in order to produce the emitted positron and an electron (the latter to satisfy charge conservation). In the decay of  $^{40}\text{K}$ , the  $\text{EC}^*$  branch has an energy difference between the initial and excited isomer state of only 44 keV. In contrast, the energy difference between  $^{40}\text{K}$  and the ground state of  $^{40}\text{Ar}$ , is 1504.4 keV (Wang et al., 2017), an energy

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greater than the combined rest masses of the positron and electron. Therefore the EC\* branch *cannot be* the complement to  
 105 the  $\beta^+$  decay and the EC<sub>ground</sub> *must* exist to provide the  $\beta^+$  complement.

#### 4. Theory and Calculation of EC<sub>ground</sub>/ $\beta^+$

In the decay of  $^{40}\text{K}$ , the nuclide can reach a more stable state ( $^{40}\text{Ca}$  or  $^{40}\text{Ar}$ ) only by violating quantum selection rules. Decays which violate these selection rules undergo slow, so-called ‘forbidden’ unique transitions, which give  $^{40}\text{K}$  its long  
 110  $\sim 1.3$  Ga half-life. The  $^{40}\text{K}$  decay scheme itself is unusual because the coupled EC<sub>ground</sub>- $\beta^+$  and  $\beta^+$  branches are the only third order unique forbidden transitions known in nature. All  $^{40}\text{K}$  decays undergo a parity reversal (where parity reversal is the change of sign in one of the spatial coordinates ( $x, y, z$ )) between the initial parent state and final daughter state. Therefore we can define the selection rules as:

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$$|\Delta J - 1|^{\text{st order unique forbidden decay}}$$

where  $\Delta J = J_i - J_f$ , is the change in spin from initial to final state following Krane and Halliday (1987). We can characterize each decay mode of  $^{40}\text{K}$  by its degree of forbiddenness from the above selection rule. The EC\* mode undergoes a spin change of  $\Delta J = 4 - 2 = 2$  and is classified as a first order unique forbidden decay. The three other decay modes  
 120 of  $^{40}\text{K}$ , including EC<sub>ground</sub>, all undergo a spin change of  $\Delta J = 4 - 0 = 4$  and are classified as 3<sup>rd</sup> order unique forbidden decays.

The EC process occurs because the atomic electrons have a finite probability to be in the nucleus with the likelihood of being captured highest for those closest to the nucleus. A theoretical description of  $\beta^+$  emission was first given by Fermi (1934),  
 125 while the possibility of electron capture which was first recognized by Yukawa and Sakata (1935) and later developed by Bethe and Bacher (1936). Here we use Fermi theory of  $\beta^+$  decay to calculate the EC<sub>ground</sub>/ $\beta^+$  in the decay of  $^{40}\text{K}$ .

We can use the ratio of orbital electron capture and positron emission to infer the existence of EC<sub>ground</sub>. The ratio  $br$  is defined as:

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$$br = \frac{\lambda_{ec}}{\lambda_{\beta^+}}, \quad (1)$$

Where  $\lambda_{ec}$  and  $\lambda_{\beta^+}$  are the probability per unit time of electron capture or  $\beta^+$  emission. In electron capture, orbital electrons can be captured from any orbital shell of the atom. The EC/ $\beta^+$  is therefore the summation of the individual capture ratios from each shell. Following Bambynek et al. (1977), the total electron capture-to-positron ratio is:

$$\frac{\lambda_{ec}}{\lambda_{\beta^+}} = \frac{\sum_x n_x C_x f_x}{f_{\beta^+} C(W)}, \quad (2)$$



135 where  $x$  is the shell,  $n_x$  is the relative occupation number,  $C_x$  contains the dependence of electron capture rates on nuclear structure giving the forbiddenness classification, similar to the shape factor in  $\beta$  decay (Emery, 1975),  $f_x$  is the integrated fermi function in  $\beta$  decay,  $f_{\beta^+}$  is the integrated positron spectrum, and  $C(W)$  is the theoretical shape factor for allowed or forbidden transitions. A review of shape factors for  $^{40}\text{K}$  transitions is provided by Cresswell et al. (2018, 2019). We initially simplify this equation to only consider the innermost K shell, the shell containing the electron with the highest probability to  
 140 be captured by the nucleus:

$$\frac{\lambda_K}{\lambda_{\beta^+}} = \frac{n_K C_K f_K}{f_{\beta^+} C(W)}, \quad (3)$$

where  $\lambda_K$  is the probability of K-shell capture. For this capture,  $f_K$  is defined as:

$$f_K = \frac{\pi}{2} q_K^2 \rho_K^2 B^K, \quad (4)$$

145 where  $q_K$  is the momentum of the neutrino particle,  $\beta_K$  is the Coulomb amplitude of the wave function, and  $B_K$  is the term for overlap and exchange corrections. Similarly,  $f_{\beta^+}$  is defined as:

$$f_{\beta^+} = \int_1^{W_0} F(-Z, W) W p (W_0 - W)^2 dW, \quad (5)$$

$$W = 1 + \frac{E_T}{m_e}, \quad (6)$$

$$W_0 = 1 + \frac{E_{max}}{m_e}, \quad (7)$$

$$p = \sqrt{W^2 - 1}, \quad (8)$$

150 where  $W$  is the total energy of the positron given by its kinetic energy  $E_T$  and rest mass  $m_e$ , defined above, and the momentum of the positron is given by  $p$  (eq. 8),  $W_0$  is the total normalized energy defined above, and  $F(-Z, W)$  is the Fermi function. We follow Bambynek et al., (1977) in the formula for  $\frac{C_K}{C(W)}$  which is given by:

$$\frac{C_K}{C(W)} = [(2L - 1)!]^{-1} q_K^{2(L-1)} \left\{ \sum_{n=1}^L \lambda_n p^{2(n-1)} ((2n - 1)! [2(L - n) + 1]!)^{-1} \right\}^{-1}, \quad (9)$$

155 where  $L = \Delta J$ , and  $L = 1$  for  $\Delta J = 0$ . The parameter  $\lambda_n$  cannot be calculated in a straightforward manner and therefore we follow a typical assumption that  $\lambda_n = 1$  (Huber, 2011). This reduces the above expression to:

$$\frac{C_K}{C(W)} = \frac{q_K^6}{p^6 + q^6 + 7p^2 q^2 (p^2 + q^2)}, \quad (10)$$

In a given decay, the change in charge from the initial to final state can lead to an imperfect overlap of the wavefunctions of these states. Furthermore, given the indistinguishability of electrons, there is the possibility of an exchange effect wherein an electron does not necessarily come from the orbital where the vacancy appears. For instance, it is possible that a vacancy  
 160 may appear in the K-shell but the captured electron from an outer shell is then subsequently filled by the inner shell electron



(Bahcall, 1962; Bambynek et al., 1977). We follow Bahcall (1962) in implementing corrections for these effects, resulting in  $B_K = 0.979$ . Then using nuclear data given in Bambynek et al. (1977) we estimate an  $EC_{\text{ground}}/\beta^+$  of 148.

We first note that this value is in approximate concordance with the private correspondence value in Engelkemier et al. (1962). However, this is only the capture ratio from the K-shell so we extend our model to a total electron capture ratio from all orbitals following Bosch et al. (1977). The total electron-capture-to-positron ratio, an extension of Eq.1, is given by:

$$\frac{EC}{\beta^+} = \frac{K}{\beta^+} \left( 1 + \frac{L}{K} + \frac{M}{L} \frac{L}{K} + \dots \right), \quad (11)$$

We can simplify this equation by neglecting shells that make a negligible contribution. In  $^{40}\text{K}$  the probability of capture is dominated by the two inner shells K and L1, with approximate probability of ca. ~90% and ~10% with a negligible contribution from the shells further out. We can therefore omit all shell captures except K and L1 to arrive at the total  $EC_{\text{ground}}/\beta^+$  ratio:

$$\frac{EC}{\beta^+} = \frac{K}{\beta^+} \left( 1 + \frac{L_1}{K} \right), \quad (12)$$

The ratio of each shell capture can be solved with the following equation:

$$\frac{x}{K} = \frac{\beta_K^2 (W_0 - W_x)^2 B_x}{\beta_K^2 (W_0 - W_x)^2 B_K'} \quad (13)$$

where  $x = L1$  and the other symbols have the same definition as above. Using this equation we calculate a total  $EC_{\text{ground}}/\beta^+$  of 164.

To further estimate the magnitude of the electron capture decay mode, we can perform another calculation of  $EC_{\text{ground}}/\beta^+$  following Fireman (1949). This simplified form of the calculating  $EC_{\text{ground}}/\beta^+$  is dependent only on the Q value (the difference between the initial and final state energies). This is given by:

$$\frac{\lambda_{ec}}{\lambda_{\beta^+}} = \frac{(\eta+2)^8}{0.450\eta^{6.5}} \frac{1}{0.0676 + 1.25\eta + 8.48\eta^2 + 12.5\eta^3 + 1.74\eta^4 + 0.079\eta^6} \quad (14)$$

where  $\eta = \frac{Q}{m_e} - 2$ . We calculate an  $EC_{\text{ground}}/\beta^+$  of 272 using this method and the updated Q-value of Wang et al. (2017). We note that despite discrepancies in these values for each method of evaluation, they are of the same order of magnitude. The differences in the values in these evaluations highlight the need for experimental measurement of  $EC_{\text{ground}}/\beta^+$ .

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## 5. Comparison with other evaluations

Other theoretical evaluations of  $EC_{\text{ground}}/\beta^+$  for  $^{40}\text{K}$  exist in the literature (Figure 2). Pradler et al. (2013) and Mougeot (2018) report ratios of 150 and  $212 \pm 0.15$ , respectively (uncertainties are reported where they have been estimated). These workers use broadly similar methods as us. Mougeot (2018) uses higher order corrections for both exchange and overlap and accounts for the dependence of  $\lambda_K$ , that we set equal to 1 in Eq.9, on the energy of the decay. Pradler et al. (2013) use the Fermi method and data from Bambynek et al. (1977) but only perform the calculation for K-shell electrons, resulting in a slightly different calculated value than we report. Notably, all estimated values are of the same order of magnitude, similar to the ratio 155 reported in Engelkemier et al. (1962), and our calculated value of 164. Currently, the most commonly-used  $EC_{\text{ground}}/\beta^+$  value is calculated via the LogFT program, a program used in nuclear data evaluations (ENSDF Collaboration, LOGFT). However, the program is capable of only calculating first and second unique forbidden decay ratios, so the  $EC_{\text{ground}}/\beta^+$  value from LogFT of  $200 \pm 100$  is an extrapolation, with the assumption that the increase in the ratio from second to third order is by the same factor as the increase from first to second order. Finally, Chen (2017) evaluates the  $^{40}\text{K}$  decay data and reports a  $EC_{\text{ground}}/\beta^+$  value of  $45.2 \pm 1.4$  without elaboration.

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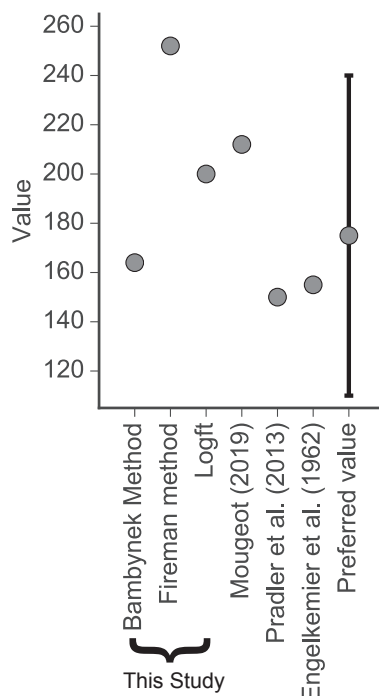
The variability between the modern estimates are driven primarily by more-or-less arbitrary choices when making the approximations necessary for these calculations to be tractable. Uncertainties on individual estimates which could be derived by propagating the uncertainties in the underlying experimental data are small – e.g., the estimated uncertainty provided by Mougeot (2018) is only  $\sim 0.1\%$  ( $2\sigma$ ) and is unlikely to capture the true uncertainty to which this quantity is known.

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Nevertheless, a recommended estimate and uncertainty is necessary for quantitative use. If we assume that the estimates are unbiased and approximately normally distributed, standard parametric statistics yield a mean and two standard deviation of the entire dataset of  $192 \pm 93$ . This value excludes the Chen (2017) evaluation ( $EC_{\text{ground}}/\beta^+ = 45.2 \pm 1.4$ ) as it is an extreme outlier without further elaboration as to the methodology behind determining this value. If we exclude the oldest calculation (based on older fundamental data), the Chen (2017) value, and the two based on less sound theoretical underpinning (the log FT extrapolation and the estimate using the method of Fireman, 1949), the mean and two standard deviation are  $175 \pm 65$ . We propose the latter as the best current estimate of the  $EC_{\text{ground}}/\beta^+$  ratio.

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Figure 2: Comparison of theoretically calculated  $EC_{ground}/\beta^+$  of  $^{40}K$  in this study using three methods; (1) Bambynek Method (Bambynek et al. (1977)), (2) Fireman method (Fireman, 1969), and (3) Logft (LogFT, 2001). The value of Chen (2017) is not included in the figure as it is an extreme outlier and the authors do not explain the method they use to reach this value. Our calculated ratios are compared to previous evaluations in the literature (Engelkemier et al. (1962); Pradler et al. (2013); Mougeot, 2019). Our preferred value, used in all calculations ( $175 \pm 65$ ) is also shown. Note the consistency in the estimated ratio from all of the methods is of the same order of magnitude,  $\sim 200$ .

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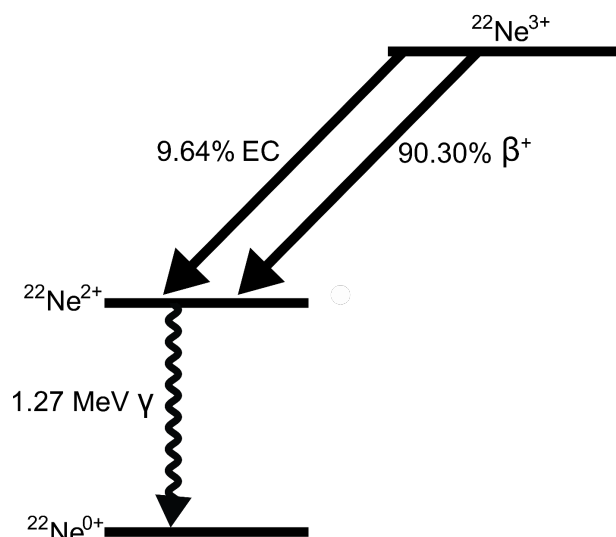
## 6. Comparison with $^{22}Na$

To test the validity of our  $^{40}K$   $EC_{ground}/\beta^+$  estimate, we use the same calculations to estimate the experimentally-constrained  $(EC/\beta^+)^*$  value for  $^{22}Na$  decay.  $^{22}Na$  is radionuclide with a half-life of  $\sim 2.6$  years. It occurs in nature as a low-abundance cosmogenic nuclide produced by spallation of  $^{40}Ar$  and is also produced synthetically by proton irradiation for use in positron emission tomography. Like  $^{40}K$ , it decays by electron capture and positron emission. The main  $EC-\beta^+$  pair for  $^{22}Na$  decays initially to the excited state of  $^{22}Ne$ , followed by a 1.27 MeV  $\gamma$  emission (Figure 3; Bé et al., 2006). This pair has a  $(EC/\beta^+)^*$  of approximately 0.1 and accounts for  $>99.9\%$  of the total decay. A second  $EC-\beta^+$  pair decays directly to the ground state of  $^{22}Na$  with an  $(EC/\beta^+)_{ground}$  of  $\sim 0.02$ , but is a minor component. Here, we calculate the  $(EC/\beta^+)^*$  for the main branch. Unlike  $^{40}K$ , the dominant decay of  $^{22}Na$  is the  $\beta^+$  decay mode. This is due to the greater difference in energy between

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the initial and final states, as positron decay will have a greater possibility of occurring in decays with a greater mass differences between initial and final states (Emery, 1975).



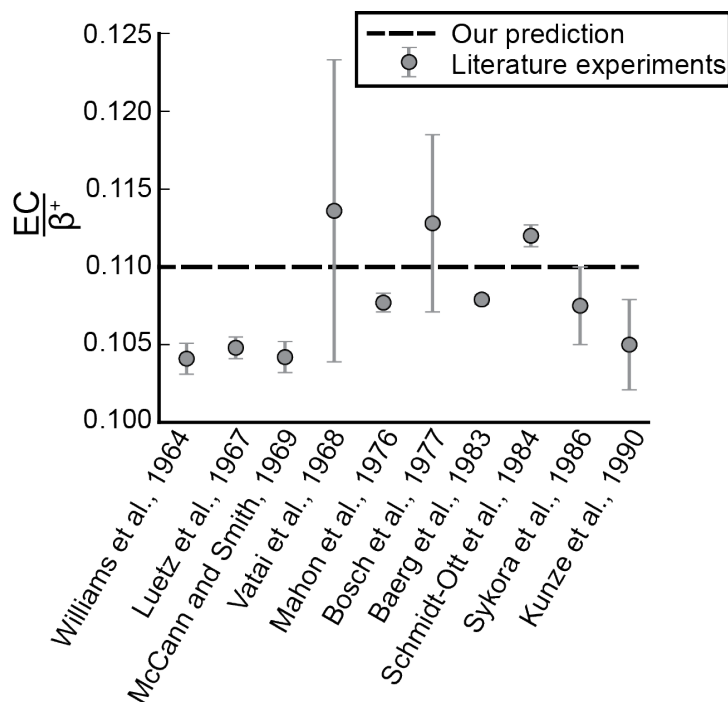
235 **Figure 3:** Decay scheme of  $^{22}\text{Na}$  after Bé et al. (2006) and Leutz et al. (1965). An additional EC and  $\beta^+$  decay pair that corresponds to approximately 0.056% of the total decay of  $^{22}\text{Na}$  has been omitted for clarity.

240 Unlike  $^{40}\text{K}$ , there are numerous measurements of the electron capture to positron ratio for decay to the excited state of  $^{22}\text{Ne}$  (Figure 4; Kreger, 1954; Vatai et al., 1968; Williams, 1964; McCann and Smith, 1969; Mac Mahon and Baerg, 1976; Bosch et al., 1977; Baerg, 1983; Schmidt-Ott et al., 1984; Sykora and Povinec, 1986; Kunze et al., 1990). Measurement of  $(\text{EC}/\beta^+)^*$  for  $^{22}\text{Na}$  is accomplished by measurement of both of the gammas (which come from both the EC\* and the  $\beta^+$ ) and the x-rays (which only come from the EC branch). Relative to the  $^{40}\text{K}$   $\text{EC}_{\text{ground}}/\beta^+$ , the  $^{22}\text{Na}$   $(\text{EC}/\beta^+)^*$  is easy to measure because of the higher activity (meaning higher count rate) and the higher energy of the x-ray emitted from the Auger electron, which an electron from the same atom that is emitted as a vacancy of an inner shell is filled. In a decay to the excited state of  $^{22}\text{Ne}$ , the de-excitation 1.28 MeV  $\gamma$  will be associated with both electron capture and positron decay. However, those measured 1.28 MeV  $\gamma$  that are not accompanied by two 0.511 MeV x-rays can be used to distinguish between both processes. We use the experimental measurements to verify our calculations described above for  $^{40}\text{K}$ .

Following a similar calculation using the Fermi method, our preferred method, to that used for our proposed estimate of the  $^{40}\text{K}$   $\text{EC}_{\text{ground}}/\beta^+$ , we estimate an  $(\text{EC}/\beta^+)^*$  of approximately 0.11. This is within the range of measured values of 0.105-0.115



250 (Fig. 4), suggesting that our calculation strategy of the the  $^{40}\text{K}$   $\text{EC}_{\text{ground}}/\beta^+$  is accurate, and lends further confidence to the existence of the current unmeasured  $^{40}\text{K}$  electron capture to ground state decay.



255 **Figure 4:** Comparison of experimentally measured  $(\text{EC}/\beta^+)^*$  ratios of  $^{22}\text{Na}$  (grey circles) adapted from Kunze et al. (1990) with our calculated value (black dashed line). Note the concordance of the theoretical and experimental determinations. The uncertainty in the Baerg et al. (1983) determination is smaller than the symbol.

### 7. Experimental verification of $\text{EC}_{\text{ground}}$ decay mode

In both  $\beta^-$  and  $\beta^+$  decay, an electron or positron is emitted which allows for direct detection and verification of the decay process. In contrast, electron capture cannot be detected directly. Methods to experimentally verify electron capture rely on indirect processes associated with the rearrangement of the atom following the capture of the orbital electron. Once the electron is captured the atom will rearrange itself to fill the vacancy, resulting in the emission of a characteristic x-ray or Auger electron with an energy defined by the binding energy of the shell vacancy of the daughter nucleus.

In the case of  $^{40}\text{K}$ , verification of the  $\text{EC}_{\text{ground}}$  decay can be achieved by measuring the characteristic x-rays (Di Stefano et al., 2017). The orbital electron with the highest probability of capture is from the K-shell; if this electron is captured, it results in



265 the emission of a characteristic x-ray or Auger electron with an energy of 3.2029 keV, the binding energy of the K-shell of  
 $^{40}\text{Ar}$ . However, electron capture to both the ground and excited state of  $^{40}\text{Ar}$  ( $^{40}\text{Ar}^{2+}$ ) result in the same electron configuration  
and x-ray emissions. Di Stefano et al. (2017) suggested tagging x-rays with the de-excitation  $\gamma$  associated with electron  
capture to  $^{40}\text{Ar}^{2+}$ , which has a lifetime on the order of  $\sim 10^{-12}\text{s}$  (Di Stefano et al., 2017). X-rays tagged by the 1.46 MeV  $\gamma$   
270 must correspond to electron capture to the excited  $^{40}\text{Ar}^{2+}$  state, with those x-rays not tagged correspond to the the electron  
capture to ground state decay. Such an experiment will be challenging since it requires identifying a low probability decay  
mode with x-ray signals present against a high background from the  $^{40}\text{Ar}^{2+}$  state. The experiment therefore requires an x-ray  
spectrometer able to resolve the Ar-K x-ray from other x-rays in the background, and accurately account for the x-ray –  $\gamma$ -ray  
coincidence efficiency to quantify x-ray emission rates in excess of those from the  $^{40}\text{Ar}^{2+}$  state. Given the complexity  
involved in this experiment a pilot study was conducted at SUERC to measure characteristic x-rays from a KCl source. The  
275 experiment was not successful because the detector was not able to resolve the Ar-K x-ray sufficiently, but demonstrates the  
potential of this method to detect the x-rays, given a sufficiently high-resolution detector. Full details are provided in the  
supplementary material.

Ongoing attempts are being made to verify this decay mode by careful detection of the characteristic x-rays by the KDK  
280 experiment (Di Stefano et al., 2017; Stukel, 2018). Experimental verification has implications for (1) rare event physics, as it  
is a vital component in constraining the irreducible background and verifying results in the DARK MATTER (DAMA)  
experiment (Pradler et al., 2013), (2) the theory of  $\beta$ -decay (Fermi, 1934) as it is the only 3<sup>rd</sup> order unique forbidden electron  
capture decay known (Audi et al., 2003), and (3) K-Ar and  $^{40}\text{Ar}/^{39}\text{Ar}$  geochronology, for which it is currently overlooked due  
to lack of experiment evidence. We further expand on the implications for geochronology below.

## 285 **8. Relevance for geochronology**

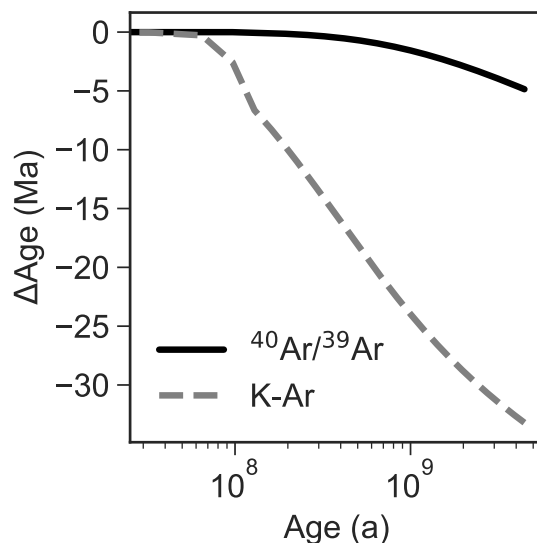
Geochronology with the K-Ar system requires either both the branching ratio and the total decay constant, or in the case of  
an  $^{40}\text{Ar}/^{39}\text{Ar}$  age wherein the flux monitor age is constrained independently of its K-Ar systematics (Merrihue & Turner,  
1966), only the total decay constant. Using our preferred value of  $\text{EC}_{\text{ground}}/\beta^+$  ( $175 \pm 65$ , all uncertainties at  $2\sigma$ ), the decay  
constants calculated by Min et al. ( $\lambda_{\text{EC}^*} = 0.580 \pm 0.014 \times 10^{-10} \text{ a}^{-1}$  and  $\lambda_{\text{T}} = 5.463 \pm 0.107 \times 10^{-10} \text{ a}^{-1}$ ), and the  $\beta^+/\beta^-$  from  
290 Engelkeimer et al. (1962) ( $1.12 \pm 0.14 \times 10^{-5}$ ), we calculate a  $\beta^+$  decay constant of  $5.47 \pm 0.69 \times 10^{-15} \text{ a}^{-1}$  and an  $\text{EC}_{\text{ground}}$   
decay constant of  $9.6 \pm 3.8 \times 10^{-13} \text{ a}^{-1}$ . Combining these values with the Min et al. (2000) values yields a new partial decay  
constant for  $^{40}\text{K}$  to  $^{40}\text{Ar}$  of  $0.590 \pm 0.014 \times 10^{-10} \text{ a}^{-1}$ , and total decay constant of  $5.473 \pm 0.107 \times 10^{-10} \text{ a}^{-1}$ . These values  
include propagated uncertainties from our calculation and the Engelkeimer et al. (1962)  $\beta^+/\beta^-$ . However, the uncertainties  
reported by Min et al. (2000) do not shift significantly due to the small size of the adjustment we propose. Existing and  
295 modified constraints on the decay modes are given in Table 1.



**Table 1. Evaluations of decay mode branches and total decay constant used in age determination.  $\lambda_{40\text{Ar}}$  is the partial decay constant for the  $^{40}\text{Ar}$  branch, including both the  $\text{EC}^*$  and  $\text{EC}_{\text{ground}}$  components.**

Parameter	Value $\pm 2\sigma$	Relative Unc. (%)	References
Previous values			
$\lambda_{\text{EC}^*}$	$0.580 \pm 0.014 \times 10^{-10} \text{ a}^{-1}$	2.4	Min et al. (2000)
$\lambda_{\text{T}}$	$5.463 \pm 0.107 \times 10^{-10} \text{ a}^{-1}$	2.0	Min et al. (2000)
$\lambda_{\beta^+}$	$5.47 \pm 0.69 \times 10^{-15} \text{ a}^{-1}$	13	Engelkeimer et al. (1962)
Modified values			
$\lambda_{\text{EC}_{\text{ground}}}$	$9.6 \pm 3.8 \times 10^{-13} \text{ a}^{-1}$	40	This work
$\lambda_{40\text{Ar}}$	$0.590 \pm 0.014 \times 10^{-10} \text{ a}^{-1}$	2.4	This work
$\lambda_{\text{T}}$	$5.473 \pm 0.107 \times 10^{-10} \text{ a}^{-1}$	2.0	This work

300 Consequently, K-Ar (and  $^{40}\text{Ar}/^{39}\text{Ar}$ ) ages calculated with these new decay constants will be younger than those calculated using the Min et al. (2000) decay constants. K-Ar dates are most sensitive to shifts in the decay constant because they incorporate the branching ratio, which is more strongly affected than the total  $^{40}\text{K}$  decay constant. K-Ar ages will decrease by 1.6% at 1 Ma, 1.3% at 1 Ga, and 0.7% at 4.5 Ga (Figure 5). Ages determined using the  $^{40}\text{Ar}/^{39}\text{Ar}$  method, for which the flux monitor age is independently constrained (e.g., Kuiper et al., 2008; Rivera et al., 2011), are much less sensitive to the change in decay constant. Using equation 5 from Renne et al. (1998), and assuming calibration to a monitor with an age of 305 23.2 Ma, ages < 23 Ma increase only slightly, by < 0.002%. There is no age difference at 23.2 Ma, the flux monitor age. Ages then decrease for ages > 23.2 Ma, with ages decreased by 0.08% at 2.5 Ga, and by 0.11% at 4.5 Ga (Figure 5).



310 **Figure 5. Change in age,  $\Delta\text{Age}$ , is the age of a given sample with the decay mode to ground state included, subtracted from the age with the decay mode to ground state omitted. The change in age using the K-Ar equation is shown in dashed grey (left axis) and change in age using the  $^{40}\text{Ar}/^{39}\text{Ar}$  equation with independently calibrated standards is shown in solid black. The larger difference in ages for the K-Ar system is due to the dependence on both the total decay constant and branching ratio.**

The age of flux monitors such as the Fish Canyon tuff sanidine (e.g., Morgan et al., 2014) determined by intercomparison with astronomically tuned ages of ash beds (Kuiper et al., 2008; Rivera et al., 2011) is also sensitive to revision of decay constants. Using the data published by Kuiper et al. (2008), and incorporating an  $\text{EC}_{\text{ground}}$  decay mode, we calculate a new age for Fish Canyon sanidine of  $28.200 \pm 0.046$  Ma, nominally lower, but indistinguishable from the published value of  $28.201 \pm 0.046$  Ma. Overall, the effects of an  $\text{EC}_{\text{ground}}$  decay mode are unlikely to be significant for most current applications of  $^{40}\text{Ar}/^{39}\text{Ar}$  geochronology. However, given the levels of analytical precision attainable by the K-Ar dating approach when dating geologically recent materials by K-Ar (e.g., Altherr et al. 2019), the  $\text{EC}_{\text{ground}}$  decay mode will impact the accuracy of this chronometer.

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## 9. Conclusion

The Fermi theory of  $\beta$  decay has decades of experimental support and is well established. We demonstrate this here by using these theories to accurately calculate the decay rate of a  $^{22}\text{Na}$ , a nuclide with an experimentally-verified decay rate. We have used this information to demonstrate the high likelihood that the suspected second electron capture decay mode of  $^{40}\text{K}$  exists. We estimate the partial decay constant for  $^{40}\text{K}$  direct to ground state  $^{40}\text{Ar}$  to be  $9.6 \pm 3.8 \times 10^{-13} \text{ a}^{-1}$  ( $2\sigma$ ), based on combining multiple calculations with measurements of  $\beta^-$  and  $\beta^+$  decay rates. This addresses a longstanding question in K-Ar and  $^{40}\text{Ar}/^{39}\text{Ar}$  geochronology and provides future workers with confidence that the  $^{40}\text{K}$   $\text{EC}_{\text{ground}}$  decay mode exists. Just as important as providing support for its existence, we also demonstrate that the magnitude of this decay mode is small enough that neglecting it has not yet resulted in significantly biased geochronological  $^{40}\text{Ar}/^{39}\text{Ar}$  data. The same cannot be stated for the K-Ar dating approach, especially for geologically-young materials.

Despite the strong grounding in theory, the  $\text{EC}_{\text{ground}}$  decay mode has yet to be detected. The next step is experimental verification to determine the branching ratio. This will allow for a more complete evaluation of uncertainties associated with the decay mode and the branching ratio. This experiment is difficult, but not intractable.

## 10. Author contribution

The study was conceived by JC and RBI. JC, AC, and DS calculated the ratio of electron capture to beta activities and measured x-rays. JC, RBI, DFM and MMT calculated the effects for geochronology. JC wrote the manuscript with contributions from all authors.

## 340 Competing interests

The authors declare that they have no conflicts of interest.

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