1 Modeling apparent Pb loss in zircon U-Pb geochronology

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6 Abstract. Although-Because the loss of radiogenic Pb from zircon is known to be a major factor that can cause inaccuracy in 7 the U-Pb geochronological system, there is a need to better characterize the distribution of Pb loss in natural samples has not 8 been well characterized. Treatment of zircon by chemical abrasion (CA) has become standard practice in isotope dilution-9 thermal ionization mass spectrometry (ID-TIMS), but CA is much less commonly employed prior to in-situ analysis via laser 10 ablation-inductively coupled plasma-mass spectrometry (LA-ICP-MS) or secondary ionization mass spectrometry (SIMS). 11 Differentiating the effects of low levels of Pb loss in Phanerozoic zircon with relatively low precision *in-situ* U-Pb dates, where 12 the degree of Pb loss is insufficient to cause discernible discordance, is challenging. We show that U-Pb isotopic ratiosdates 13 that have been perturbed by Pb loss may be modeled by convolving a Gaussian distribution that represents random variations 14 from the true isotopic value stemming from analytical uncertainty the unperturbed U Pb date distribution, with a distribution 15 that characterizes Pb loss. We apply this mathematical framework to model the distribution of apparent Pb loss in 10 igneous 16 samples that have both non-CA LA-ICP-MS or SIMS U-Pb dates and an estimate of the crystallization age, either through CA U-Pb or ⁴⁰Ar/³⁹Ar geochronology. All but one sample showed negative age offsets that were unlikely to have been drawn from 17 18 an unperturbed U-Pb date distribution. Modeling apparent Pb loss using the logit-normal distribution produced good fits with 19 all 10 samples and showed Because Pb loss is constrained to values between -100% and 0%, we suggest that the logit normal 20 distribution may be an appropriate . Although the distribution type(s) that characterize Pb loss are not well known, we show 21 that the logit normal distribution Of the eight continuous distribution types we considered, modeling apparent Pb loss using 22 the Weibull distribution produced, on average, the closest match with the non CA U-Pb date distributions. We show two 23 contrasting patterns in apparent Pb loss: samples where most zircon U-Pb dates undergo a bulk shift and samples where most 24 zircon U-Pb dates exhibited low age offset but fewer grains-dates had more significant offset. Our modeling framework allows 25 comparison of relative degrees of apparent Pb loss between samples of different age, with the first and second Wasserstein 26 distances providing useful estimates of the total magnitude of apparent Pb loss. Given that the large majority of *in-situ* U-Pb 27 dates are acquired without the CA treatment, this study highlights a pressing need for improved characterization of apparent 28 Pb loss distributions in natural samples to aid in interpreting non-CA in-situ U-Pb data and to guide future data collection 29 strategies.

30 1 Introduction

31 Zircon U-Pb geochronology is arguably one of the most important radiometric dating approaches used by geoscientists, with 32 widespread application to constraining the age of Pleistocene and older geologic materials (Davis et al., 2003; Schoene, 2013; 33 Gehrels, 2014). We rely on zircon U-Pb dates for calibrating the geological time scale (e.g., Compston, 2000a; 2000b; Bowring and Schmitz. 2003; Gradstein et al., 2004; Kaufmann, 2006), constraining the timing of important Earth history events (Froude 34 35 et al., 1983; Schoene et al., 2010; 2015; Burgess et al., 2014), and determining the rates of Earth processes (Rioux et al., 2012; 36 Schoene et al., 2012; Johnstone et al., 2019; Schoene et al., 2019). The zircon U-Pb geochronometer is particularly powerful due to the ability to assess agreement between the $^{238}U \rightarrow ^{206}Pb$ and $^{235}U \rightarrow ^{207}Pb$ decay chains, with $^{206}Pb^{*/238}U$ and $^{207}Pb^{*/235}U$ 37 38 dates in agreement plotting on the Concordia line, where * indicates radiogenic Pb (Wetherill, 1956). For example, a zircon 39 that has undergone loss of radiogenic Pb will be pulled off the Concordia line towards the origin, thus becoming discordant 40 (Fig. 1).

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Figure 1. Illustration of the influence of Pb loss on 250 Ma and 2.5 Ga zircon. Two Pb loss scenarios are shown: 25% loss at half the age of the zircon and 50% loss at present-day (0 Ma). The approximately linear nature of the ²⁰⁶Pb*/²³⁸U vs ²⁰⁷Pb*/²³⁵U Concordia line near the origin results in Pb loss producing limited discordance if the Pb loss occurs within several 100s of Myr of crystallization. Note that a greater amount of ancient Pb loss is required to produce the same shift in ²⁰⁶Pb*/²³⁸U relative to recent Pb loss. Thin, colored lines represent the path of each zircon.

44 The causes and complications of open system behavior (e.g., radiogenic Pb loss) in zircon have long been a topic of concern 45 study (Tilton et al., 1955; Pidgeon et al., 1966), Although Pb loss events may be discerned on U-Pb Concordia diagrams in 46 some circumstances and can provide useful geologic information about the thermal and/or fluid flow history of a region (Silver 47 and Deutsch, 1963; Blackburn et al., 2011; Morris et al., 2015; Kirkland et al., 2017), with-recognizing and mitigating the 48 effects of Pb loss remaining remains a major challenge when it occurs within several 100's Myr of crystallization (Fig. 1; 49 Anderson et al., 2019). -For example, due to the shape of the ²⁰⁶Pb^{*/238}U versus ²⁰⁷Pb^{*/235}U Concordia line. Pb loss in 50 Phanerozoic zircon that occurs within several 100's Myr after crystallization results in discordance developing at a very low angle relative to the Concordia line. This 'sliding along concordia' effect that can make Pb loss difficult to discern, particularly 51 52 in relatively low-precision *in-situ* (i.e., LA-ICP-MS or SIMS) datasets when the Pb loss only produces concordant or only modestly discordance-discordant analyses (e.g., <10%; Ashwal et al., 1999; Bowring and Schmitz, 2003; Ireland and Williams, 53 54 2003; Reimink et al., 2016; Spencer et al., 2016; Watts et al., 2016; Anderson et al., 2019). Such low levels of Pb loss have 55 been termed 'cryptic' and may be associated with spatial heterogeneities including radiation-damaged U-rich zones and 56 microstructures (Nasdala et al., 2005; Kryza et al., 2012; Watts et al., 2016). Most Pb loss in zircon is likely a consequence of 57 recrystallization or Pb transport in crystals with severe radiation damage and exposure to hydrothermal alteration (Silver and 58 Deutsch, 1963; Pidgeon et al., 1966; Mezger and Krogstad, 1997; Cherniak and Watson, 2001; Mezger and Krogstad, 2004; 59 Marsellos and Garver, 2010). Mechanisms for Pb loss may include metamorphism (Kroner-Kröner et al., 1994; Orejana et al., 60 2015; Zeh et al., 2016), hydrothermal alteration (Geisler et al., 2002, 2003); diagenetic fluids or fluid flow (Willner et al., 61 2003; Morris et al., 2015; Kirkland et al., 2020), and chemical weathering (Stern et al., 1966; Black, 1987; Balan et al., 2001; 62 Pidgeon et al., 2017; Andersen and Elburg, 2022). Pb loss is thought to primarily occur at temperatures <250°C in which 63 radiation damage in zircon is unable to be annealed over geologic timescales (Schoene, 2013).

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65 Zircon domains that have lost Pb may be preferentially removed by first thermally annealing the zircon at high temperature (e.g., 800-1100°C) and then partially dissolving the zircon in a heated HF solution in a technique called chemical abrasion 66 67 (CA) (Mattinson, 2005). The CA treatment is now routinely applied in ID-TIMS analysis and has contributed to both improved 68 precision and accuracy of CA-ID-TIMS U-Pb data (Schoene, 2013). Although some in-situ U-Pb laboratories practice thermal 69 annealing routinely (e.g., Allen and Campbell, 2012; Solari et al., 2015), CA has been applied much less frequently (Crowley 70 et al., 2014; von Ouadt et al., 2014; Watts et al., 2016; Ver Hoeve et al., 2018; Ruiz et al., 2022). Several studies that have 71 conducted paired analysis of non-CA and CA of the same samples via in-situ U-Pb geochronology have found the non-CA U-72 Pb dates to skew younger than the CA U-Pb dates (Crowley et al., 2014; von Quadt et al., 2014; Watts et al., 2016). A growing 73 number of maximum depositional age studies with tandem non-CA LA-ICP-MS and CA-ID-TIMS dating have shown the 74 youngest non-CA U-Pb dates tend to be younger than expected to skew young relative to CA U-Pb dates or other geologic 75 constraints, even when considering measurement uncertainty (e.g., Herriott et al., 2019; Schwartz et al., 2022; Howard et al., 76 2022; Sharman et al., 2023). However, there is a lack of quantitative constraints on the relative importance of Pb loss in influencing non-CA U-Pb date distributions acquired via *in-situ* mass spectrometry, particularly as related to influencing
 depositional age constraints (Copeland, 2020).

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80 This study builds upon past research on open system behavior in zircon by presents presenting a novel-mathematical framework for quantifying the effects characterizing the distribution of apparent Pb loss on untreated (i.e., non-CA) U-Pb date distributions. 81 We first suggest that U-Pb isotopic ratios that have been loss-perturbed by Pb loss U-Pb date distributions, or age offsets, may 82 83 be viewed as the convolution of two signals: a Gaussian distribution that reflects measurement uncertainty about the true 84 isotopic ratio the unperturbed U-Pb date distribution and the distribution that characterizes Pb loss. We then apply this 85 mathematical framework to model the distribution of apparent Pb loss that has affected 10 igneous samples of Miocene to Carboniferous age. -Our results highlight the importance of quantifying distributions of apparent Pb loss magnitude -to better 86 87 understand the potential influence on non-CA zircon U-Pb date distributions.s, with a need for improved characterization of to better resolve both the distribution types and magnitudes associated with Pb loss in zircon. 88

Figure 2. Illustration of how Pb*/U isotopic ratios from *n* zircon analyses that have been perturbed by Pb loss (Z) may be modeled as the summation of *n* non-perturbed Pb*/U ratios (X) and the amount of Pb loss encountered by each (Y). X is drawn from f(t) that reflects the Gaussian distribution of Pb*/U ratios that are unperturbed by Pb loss and Y is drawn from g(t) that represents the distribution of Pb loss in the sample. The distribution that characterizes Z may be found by convolving f(t) and g(t). Although we assume that f(t) is a Gaussian distribution, the distribution type of Pb loss, g(t), shown in this example as a logit-normal distribution (μ =-4.5, σ =1.0) could take a number of discrete or continuous forms (Fig. 3). Note that in our modeling framework, values of X, Y, and Z are normalized as percentage deviation from the true isotopic ratio (i.e., the mean of f(t)), where negative values indicate that measured Pb*/U is lower than the true ratio. See Supplemental Video 1 for an animation that illustrates the process of convolution and Supplemental Video 2 for an exploration of the logit-normal distribution in μ and σ parameter space.

90 2 Mathematical framework

- 91 A series of *n* measurements of Pb loss perturbed U-Pb $^{*/U}$ measurements that have undergone Pb loss dates, Z, may be
- 92 modeled as the sum of the corresponding unperturbed $\underline{Pb^*/UU-Pb}$ values dates, X, and the amount of that $\underline{Pb^*/U}$ changed due
- 93 toPb loss encountered by each date Pb loss for each date, Y,

94 Z = X + Y(Equation 1) 95 where Z, X, and Y are all 1-D matrices with n values and units of percentage offset from the true isotopic value (Fig. 24). 96 Because Pb loss produces a lower Pb*/UU-Pb ratiodate, the values of Y must be negative in our formulation of Equation 1 97 (Fig. 1). If X We assume that X is drawn from a Gaussian distribution f(t) whose mean (μ) approximates the true isotopic value and whose standard deviation (σ) reflects dispersion from the true value related to measurement uncertainty (e.g., 98 99 Schoene et al., 2013) and if Y is drawn from a distribution that reflects Pb loss, g(t), the U-Pb measurements unperturbed by Pb loss (X) have a shared true isotopic value, u, and that the unperturbed U-Pb ratiosdates (X) from cogenetic zircon may 00 be characterized by a Gaussian distribution, f(t), whose mean (μ) equals the crystallization age and standard deviation (σ) 01 02 reflects the degree to which the values of X deviate from the shared crystallization age. If these U Pb ratios are then 03 subjected to Pb loss (Y) that is drawn from g(t), and if X and Y are independent, then Z may be viewed as being drawn from 04 the convolution of f(t) and g(t) $(f * g)(t) = \int_{-\infty}^{\infty} f(\tau)g(t-\tau)d\tau$ 05 (Equation 2) provided that X and Y are independent where g(t) is reflected about the y axis and shifted in τ space (Fig. 24: Supplemental 06 07 Video 1). Convolution simply represents the summation of two random variables, in this case one related to analytical 08 precision (i.e., random variation around the true isotopic value stemming from the measurement process) and the other 09 related to the geologic process of Pb loss. We model Pb loss as percentage offset from the true Pb*/U isotopic 10 ratiovauevalucerystallization age rather than deviation in absolute time (i.e., Myr) to promote comparison of samples of 11 different age (Fig. 24). 12 Because Pb loss is always negative and at most 100% of the crystallization age, we constrain g(t) is constrained to values 13 14 between 0% and 100%. $g(t) = -\{0\% \ if \ g(t) > 0\% \ g(t) \ if \ -100\% \le g(t) \le 0\% \ -100\% \ if \ a(t) \le -100\%$ 15 16 17 Equation 2 may be solved analytically for some forms of f(t) and g(t). For example, the convolution of Gaussian and 18 exponential distributions is known as the exponentially modified Gaussian distribution (Grushka, 1972: Fig. 1; Supplemental 19 Video 1). However, (f * q)(t) may also be solved numerically, which has the advantage of allowing both f(t) and g(t) to 20 take any form. 21 22 Because Pb loss is always negative and at most 100% of the crystallization age. g(t) is constrained to values between 0% and 100%. A sample where all zircon crystals undergo the same amount of Pb loss 23 24 25 Figure 32 illustrates the effects of both three discrete and continuous forms of g(t) on normally distributed U-Pb isotopic 26 ratiosdates drawn from f(t). A sample that experienced no Pb loss can be thought of as the convolution of f(t) with a discrete 27 form of g(t) where the 100% of probability corresponds to 0% Pb loss (Fig. 32a). 5

29 Having 100% of probability for a discrete amount of Pb loss that is >0% produces a bulk shift in the U-Pb age distribution

- 30 (i.e., constant Pb loss; Fig. <u>3</u>2b). Similarly, Pb loss experienced by only a subset of grains, or isolated Pb loss, may also be
- 31 modeled using a discrete distribution, (Fig. 32c). Alternatively, the Pb loss function g(t) could be represented by a
- 32 continuous probability distribution, where values of Pb loss vary continuously between values of 0% and -100% (Fig. <u>3d</u>3).
- 33 Figure 3 illustrates the effects of eight different types of continuous distributions on modifying a Gaussian distribution
- 34 following convolution.

Figure 3. Illustration of how normally distributed zircon Pb*/U values may be perturbed by discrete (a-c) or continuous (d) distributions of Pb loss. The top row represents the distribution of Pb loss in the sample expressed as a percentage of the true isotopic ratio (e.g., ²⁰⁶Pb*/²³⁸U or ²⁰⁷Pb*/²⁴⁵U) at the time of Pb loss, where the height of the black bar and ball indicates the relative probability of the specified Pb*/U offset. Three discrete scenarios are shown: a) no Pb loss, b) constant Pb loss, and c) isolated Pb loss. A logit-normal distribution is shown as an example of continuous Pb loss of continuous Pb loss distributions are shown in Figure A1. The bottom row shows both the relative (above) and cumulative (below) probabilities of the unperturbed (solid black line) and Pb loss-perturbed (dashed line) Pb*/U distributions.

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37 **3 Methods**

38 **3.1 Modeling approach**

39 We use the mathematical framework described above to model both the distribution of apparent Pb loss, g(t), experienced by 40 a group of cogenetic grains crystals and their unperturbed U-Pb date distribution, f(t). Because g(t) could represent any geological or analytical process that introduces negative age offsets, we use the phrase "apparent Pb loss" when describing our 41 42 modeled estimates of g(t). For instance, matrix related systematic errors (Allen and Campbell, 2012), addition of U-Th during 43 weathering (Pigdeon et al., 2019), and even sample contamination from younger minerals could introduce negative age shifts 44 exclusive of loss of radiogenic Pb. It should also be noted that the distribution of "apparent Pb loss" will underestimate the 45 magnitude of the true Pb loss event in the case of ancient Pb loss. This point is illustrated in Fig. 1 where a 50% reduction in Pb* at 125 Myr after crystallization produces a similar offset in 206Pb*/238U versus the same grain that lost 25% of its Pb* 46 47 at 250 Myr (present day). If Because Pb loss is isotopically indiscriminate, Equation 2 may be equally applied to 206 Pb*/ 238 U and ²⁰⁷Pb*/²³⁵U. However, we model ²⁰⁶Pb*/²³⁸U ratios as these have much lower analytical uncertainty for the Carboniferous 48 49 and vounger samples analyzed in this study.

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51 To model g(t), we allow the μ of f(t) to vary within the 95% confidence interval associated with an independent estimate of 52 the crystallization age. We then estimate both g(t) and σ of f(t) by iteratively solving for the combination of parameters that 53 minimize the misfit between the measured $Pb*/U_{-}Pb$ dates and the modeled distribution (f * g)(t) using the Python 54 scipy.optimize.minimize() function. We define misfit as the sum of squared residuals between the empirical cumulative 55 distribution function (ECDF) of the measured U-Pb dates Pb*/U values and the cumulative density function (CDF) of the 56 modeled U-Pb age Pb*/U distribution. In total, we consider 11 different distribution types for g(t) that consist of both discrete (no Pb loss, constant Pb loss, and isolated Pb loss; Fig. 2) and continuous (uniform, gamma, exponential, Rayleigh, Weibull, 57 58 Pareto, half-normal, and lognormal; Fig. 3) distributions.

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60 If both non-CA and CA analyses are available from the same sample, then the distribution of CA U-Pb dates may be used to 61 constrain the parameters of f(t). For such samples, we modify the approach described above by first finding the Gaussian 62 distribution f(t) that most closely approximates the treated U-Pb datePb*/U distribution. We then use this best-fitting f(t) in 63 estimating g(t) using the minimization-of-misfit technique described above. Such datasets have the advantage of providing 64 constraints on σ of f(t), which is otherwise treated as an unknown parameter during modeling if only non-CA U-Pb dates are 65 available.

67	In order to estimate $g(t)$ as described above, we must choose one or more reasonable parametric models that are appropriate
68	for describing distributions of Pb loss. One possibility is that all zircon crystals in the sample experienced the same amount
69	of Pb loss, which could shift Pb*/U from 0% to -100% of its value because Pb loss is always negative and at most -100%.
70	Such a scenario of constant Pb loss may be modeled by a discrete form of $g(t)$ where a single parameter specifies the
71	percentage of Pb lost (<0% and >-100%). Convolution of such a discrete form of $g(t)$ simply produces a negative shift in the
72	<u>U-PbPb*/U</u> values (i.e., Fig. 3b).
73	
74	Another possibility is that Pb loss was experienced by only a subset of grainscrystals (i.e., isolated Pb loss). This scenario
75	may also be modeled by assigning $g(t)$ to a discrete distribution with two parameters: one that indicates the fraction of Pb
76	lost (<0% and >-100%) and one that specifies the proportion of grainscrystals that underwent Pb loss (Fig. 3c). This
77	parameterization of g(t) will produce a bimodal pattern in U-Pb values, particularly if the degree of Pb loss is significant
78	relative to measurement uncertainty (Fig. 3c).
79	
80	Instead of modeling $g(t)$ as a discrete distribution where Pb loss is restricted to certain values, we may also consider a
81	continuous probability distribution where values of Pb loss can take on any value between 0% and -100% (Fig. 3d).
82	Because Rather than assume we do not know a priori the form(s) that g(t) might take, we considered a wide range of 1- or 2-
83	parameter distributions for the purposes of exploratory modeling (Appendix A). Of the distribution types considered, we
84	identified the logit-normal distribution, also known as the logistic normal distribution, as perhaps the most reasonable for
85	modeling Pb loss. The logit-normal distribution has the property of having a logit (i.e., the quantile function of the logistic
86	distribution) that is normally distributed with a geometric mean of μ and standard deviation of σ (Aitchison and Shen, 1980;
87	<u>Mead, 19654).</u>
88	$f(x,\mu,\sigma) = \frac{1}{\sigma\sqrt{2\pi}} \frac{1}{x(1-x)} e^{-\frac{(\log it(x)-\mu)^2}{2\sigma^2}} $ (Equation 3)

89 <u>for 0<x<1</u>.

Figure 4. Exploration of the logit-normal08 distribution's parameter space. Note that we have rescaled the x-axis of the logit-nor²⁰⁹ mal distribution such that -100<x<0. 210

The logit-normal distribution is well-suited for modeling constrained data types (e.g., compositional data; Atchison and Bacon-Shone, 1999; Vermeesch, 2018b) in part due to it being defined over 0<x<1. We invert and scale the distribution to extend from -100%<x<0% to match the sign and units of Pb*/U offset due to Pb loss when expressed as a percentage (Fig. 3d).

Figure 4 explores the relationship of the logit-normal distribution to its two parameters (μ and σ) (see also Supplemental Video 2). The distribution has a 'spikey' character when σ is a very small number (e.g., 0.001; Fig. 4a), which would be a reasonable approximation for samples that underwent an approximately constant amount of Pb loss (e.g., Figs. 3a and 3b). Although the logit-normal distribution cannot model 0% or 100% Pb loss, these values may be approximated by making μ a large negative or positive number, respectively. A sample where most zircon exhibit very little Pb loss but with fewer zircon experiencing significant Pb loss could be produced by $\mu = -4$ and $\sigma = 1.0$ (Fig. 4c). Alternatively, a sample with a peak probability of Pb lossPb*/U offset <0% may be modeled using moderate values of σ (e.g., 0.25-1; Figs. 4b and 4c). The logit-normal distribution produces bimodal distributions where most probability is close to 0% and -100% when σ values are high (e.g., >>1; Fig. 4d).

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13 **3.2 Samples**

We apply the mathematical and modeling framework presented above to estimate the distribution of apparent Pb loss in 10 igneous samples that range in age from Carboniferous to Miocene, nine of which have been published previously (Table 1). Samples CTU, RCP, and SRF are all from upper Eocene rhyolites of the Caetano caldera system of the western United States (Watts et al., 2016). These samples were split into non-CA and CA aliquots prior to analysis via SIMS (Watts et al., 2016). We used the error-weighted mean age of the CA U-Pb dates as an estimate of the true crystallization age for each sample, with weighted means approximately 0.4-0.6 Myr older than the corresponding ⁴⁰Ar/³⁹Ar sanidine ages (Watts et al., 2016). The number of analyses per aliquot (non-CA or CA) ranges from 17-34 for these three samples (Table 1).

	A	v			Ν	Model results (best fit logit-normal distribution)					
Sample	Age (Ma)	Reference	N (non- CA)	N (CA)	<i>f(t)</i> (Ma)	g(t) sum of squared residuals	g(t) parameters	<i>g(t)</i> P2.5-P50- P97.5 (%)	W_l	W_2	
ELM18 DVTC- 10	$\begin{array}{c} 15.7 \\ \pm \ 0.2 \ (2\sigma)^1 \end{array}$	Miller et al. (2022)	144	n.a.	$\begin{array}{c} 15.90 \pm \\ 0.55 \ (1\sigma) \end{array}$	1.0	$\begin{array}{l} \mu = -3.24 \\ \sigma = 1.28 \end{array}$	-32.49 -3.77 -0.32	6.9	11.1	
248-2	$\begin{array}{c} 24.422 \\ \pm \ 0.25 \ (2\sigma)^3 \end{array}$	von Quadt et al. (2014)	30	55	$\begin{array}{c} 24.42 \pm \\ 0.64 \; (1\sigma) \end{array}$	2.7	$\begin{array}{l} \mu = -4.48 \\ \sigma = 1.06 \end{array}$	-8.3 -1.12 -0.14	1.9	3.0	
029-5 ⁵	$\begin{array}{c} 24.480 \\ \pm \ 0.084 \ (2\sigma)^3 \end{array}$	von Quadt et al. (2014)	42	48	$\begin{array}{c} 24.47 \pm \\ 0.79 \ (1\sigma) \end{array}$	3.3	$\begin{array}{l} \mu = -3.10 \\ \sigma = 0.47 \end{array}$	-10.17 -4.31 -1.76	4.7	5.2	
059-1 ⁵	$\begin{array}{c} 24.57 \\ \pm \ 0.28 \ (2\sigma)^2 \end{array}$	von Quadt et al. (2014)	41	36	$\begin{array}{c} 24.50 \pm \\ 0.95 \ (1\sigma) \end{array}$	1.1	$\begin{array}{l} \mu = -3.48 \\ \sigma = 0.52 \end{array}$	-7.87 -2.99 -1.1	3.4	3.8	
CTU	$\begin{array}{c} 34.41 \\ \pm \ 0.26 \ (2\sigma)^2 \end{array}$	Watts et al. (2016)	24	18	$\begin{array}{c} 34.47 \pm \\ 0.83 \; (1\sigma) \end{array}$	2.1	$\begin{array}{l} \mu = -3.21 \\ \sigma = 0.29 \end{array}$	-6.65 -3.88 -2.23	4.0	4.2	
RCP	$\begin{array}{c} 34.38 \\ \pm \ 0.32 \ (2\sigma)^2 \end{array}$	Watts et al. (2016)	34	18	$\begin{array}{c} 34.19 \pm \\ 0.75 \; (1\sigma) \end{array}$	3.1	$\begin{array}{l} \mu = -3.96 \\ \sigma = 0.80 \end{array}$	-8.38 -1.87 -0.40	2.5	3.3	
SRF	$\begin{array}{c} 34.62 \\ \pm \ 0.37 \ (2\sigma)^2 \end{array}$	Watts et al. (2016)	17	17	$\begin{array}{c} 34.25 \pm \\ 0.75 \; (1\sigma) \end{array}$	5.1	$\begin{array}{l} \mu = -4.57 \\ \sigma = 1.08 \end{array}$	-7.92 -1.03 -0.12	1.8	2.9	
DG 026	$76.41 \\ \pm 0.45 \; (2\sigma)^3$	von Quadt et al. (2014)	31	34	$\begin{array}{c} 76.16 \pm \\ 1.42 \ (1\sigma) \end{array}$	3.0	$\begin{array}{l} \mu = -3.74 \\ \sigma = 0.56 \end{array}$	-6.65 -2.32 -0.79	2.7	3.1	
MM20- EC- 109 ⁶	$\frac{144.50}{\pm 0.07 \ (2\sigma)^4}$	This study	68	n.a.	144.43 ± 3.12 (1σ)	1.6	$\mu = -4.73$ $\sigma = 1.91$	-27.16 -0.87 -0.02	3.6	8.8	
AvQ 244 ⁷	$\begin{array}{c} 333.60 \\ \pm \ 0.66 \ (2\sigma)^3 \end{array}$	von Quadt et al. (2014)	17	19	$\begin{array}{c} 333.64 \pm \\ 10.86 \ (1\sigma) \end{array}$	12.3	$\begin{array}{l} \mu = -2.69 \\ \sigma = 0.82 \end{array}$	-25.30 -6.36 -1.34	8.1	10.3	

Table 1. Sample Summary

22 23

¹Sanidine ³⁹Ar/⁴⁰Ar age (Snow and Lux, 1999)

24 ²Error-weighted mean of chemically abraded U-Pb dates

25 ³Concordia age (CA-ID-TIMS)

⁴Error-weighted mean 5 of 5 zircon crystals analyzed via CA-ID-TIMS

27 ⁵U-Pb dates older than 28 Ma excluded from analysis

28 ⁶U-Pb dates older than 158 Ma excluded from analysis

29 ⁷U-Pb dates older than 360 Ma excluded from analysis

30 N = Number of analyses

31 n.a. = Not available

32 $W_1 =$ first Wasserstein distance

 $W_2 = second Wasserstein distance$

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We present analysis of five samples reported in von Quadt et al. (2014), including upper Oligocene andesite/trachy-andesite from Macedonia (248-2, 029-5, and 059-1), upper Cretaceous dolerite from Romania (DG_026), and middle Carboniferous granite from West-Bulgaria (AvQ 244). These samples were also split into non-CA and CA aliquots prior to analysis via LA-ICP-MS. For samples other than 059-1 we use concordia ages from CA-ID-TIMS analyses of between three and six crystals for the crystallization age of each sample (von Quadt et al., 2014; Table 1). For sample 059-1 we used the weighted mean of the CA U-Pb dates. The number of analyses per sample (non-CA or CA) ranged from 17-55 for this dataset (Table 1).

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Sample ELM18DVTC-10 is from a Miocene ash-flow tuff from the Pangua Formation in the western United States that has 144 U-Pb dates acquired via LA-ICP-MS (Miller et al., 2022). We use a 40 Ar/ 39 Ar weighted mean age of 15.7 ± 0.2 Ma (2 σ) from the same unit as an estimate of the crystallization age of this sample (sample 592-GV1 of Snow and Lux, 1999). Sample ELM18DVTC-10 was highlighted by Schwartz et al. (2022) who noted the youngest zircon U-Pb dates to be much younger than the accepted 40 Ar/ 39 Ar age of this unit. Miller et al. (2022) also noted the presence of these young grains-zircon and suggested that they may be a consequence of surface contamination from units higher in the section.

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Sample MM20-EC-109 is a Lower Cretaceous intermediate ash interbedded within marine carbonaceous mudstone from the Rio Mayer Formation of Argentina with 68 zircon U-Pb dates acquired via LA-ICP-MS (Table A<u>3</u>2). Laser ablation spot locations were selected on the rim and/or core of the grain-zircon guided by CL images (Figure A<u>2A</u>3), with 59 grains-zircon crystals analyzed in total-analyzed. We use a crystallization age of 144.43 \pm 0.07 Ma (2 σ) derived from a weighted mean average of five zircon crystals analyzed via CA-ID-TIMS at the Boise State University Isotope Geology Laboratory (Table A<u>43</u>). This sample exhibits a large offset between the youngest U-Pb dates acquired via LA-ICP-MS, up to ~60% younger than the CA-ID-TIMS weighted mean.

57 **3.3 Statistical analysis**

58 To evaluate the likelihood that the measured Pb*/UU-Pb -date-distribution could have been drawn from the modeled (f * 59 g(t), we apply the nonparametric, 1-sided Kolgomorov-Smirnov (K-S) and Kuiper statistical tests that compare the ECDF 60 with the cumulative CDF of (f * g)(t) (Press, 2007). The Kuiper statistic is relatively more sensitive in differences in the tails 61 of the distributions versus the K-S statistic (Vermeesch, 2018a). We reject the null hypothesis that the non-CA U-Pb dates 62 were drawn from (f * g)(t) if the K-S or Kuiper p-value is <0.05 (i.e., 95% confidence level). We thus interpret p-values 63 >0.05 to indicate that the non-CA U-Pb dates could have been plausibly drawn from (f * q)(t) at a 95% confidence level 64 (Press, 2007). However, it should be noted that Saylor and Sundell (2016) found that both K-S and Kuiper p-values more 65 frequently reject the null hypothesis than expected. We thus use p-values as a general guideline to model goodness-of-fit.

The Wasserstein distance has been recently proposed as a metric for quantifying the dissimilarity between detrital zircon U-Pb age distributions (Lipp and Vermeesch, in review2023). We consider the first and second Wasserstein distances, W_1 and W_2 , to be useful approximations for the total degree of negative age-Pb*/U perturbation that a set of analyses has experienced,

.70

$$W_{1} = \int_{0}^{1} |M^{-1} - N^{-1}| dt$$
 (Equation 3)
$$W_{2} = \sqrt{\int_{0}^{1} |M^{-1} - N^{-1}|^{2} dt}$$
 (Equation 4)

.71

where M⁻¹ and N⁻¹ are the inverses of the CDFs M and N. Because values of Pb loss are restricted to between -100% and 0% 72 73 and 100%, both W_1 and W_2 yield maximum possible values of 100 (i.e., 100% of grains-analyses have -100% age-Pb*/U 74 offset, or the U-Pb system is completely reset). The W_1 simply equates to the area beneath the cumulative probability distribution of g(t) the apparent Pb loss function (e.g., Fig. 3). Because the W₂ distance involves a squaring of the distance 75 76 between the quantile functions, it imparts a higher cost penalty for the part of the distribution with strongly negative offset 77 values. For example, the W₁ and W₂ distances are equal for a Pb loss function characterized by constant Pb loss (e.g., -3% Pb 78 loss produces W_1 and W_2 values of 3, Fig. 3b₂). However, the W_2 distance is often much larger than W_1 for Pb loss .79 80 of Pb loss distribution asymmetry, with values of 1 indicating constant Pb loss and values >>1 indicating highly asymmetric 81 Pb loss.

82

83 4 Results

- Figure Presents a summary of model misfit for each of the 10 samples and 4 primary distribution types considered (see Appendix A for additional results).
 Of the four primary types of Pb loss distributions considered (Fig. 3), the logit-normal distribution yielded the lowest average misfit with a value of 3.5, followed by the isolated Pb loss scenario (average of 4.5) and the constant Pb loss scenario (average of 10.5) (Table A2; see also Appendix A).he no Pb loss scenario produced
 The isolated Pb loss scenario also produced the closest match with samples RCP, SRF, DG 026, and AvQ 244.
- isolated Pb loss yielded the lowest average misfit with a value 4.2 (Fig. 4). The scenario of no Pb loss, however, performed the
 worst of any scenario that we considered, with an average misfit of 101.3197.4 (Table A2Fig. 4). Correspondingly, both K-S
 and Kuiper p-values for the no Pb loss
- .96

Figure 5. Modeling of apparent Pb loss in zircon U-Pb dates acquired via LA-ICP-MS or SIMS. The best-fitting logit-normal distribution of apparent Pb loss is shown (Table 1; see Figure A1 for plots of all samples and apparent Pb loss distribution types modeled). Empirical cumulative distribution functions (ECDFs) are shown as solid lines while model results are shown as dashed lines. See text for further discussion of model results.

.97

98 scenario are <<0.05 for all samples except SRF, suggesting that the untreated LA-ICP-MS or SIMS U-Pb dates are unlikely 99 to have been drawn from an unperturbed U-Pb date distribution. Of the continuous distributions considered, the Weibull and 00 lognormal distributions produced the overall best fits, with average misfit values equaling 2.82 and 3.33, respectively (Fig. 4). The Pareto distribution produces a heavy tail (Fig. 3) that yielded good fits for some samples with extreme outlying values 01 02 (e.g., ELM18DVTC 10 and MM20 EC 109) but poor fits for some of the other samples (average misfit of 20.1, Fig. 4). The 03 other distribution types yielded intermediate results with average misfit values ranging between 4.8 and 8.1 (Fig. 4). With a 04 few exceptions, p-values for both the K-S and Kuiper tests are >0.05 for the continuous distribution types we modeled (Table 05 $\overline{A1}$

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08 Figure 5 presents a comparison of actual versuse modeled U-Pb date distributions for each sample, with the best-fitting logit-09 normalcontinuous apparent Pb loss distribution shown (Table 1; see Figure A1 for individual plots that show the fit for each 10 sample and distribution type). We chose to not consider discrete distributions of g(t) apparent Pb loss for the "best" fit because 11 we consider it unlikely that Pb loss (or other processes that cause negative age offsets) would be limited to discrete values 12 (e.g., Fig. 23). Values of μ for g(t) ranged from -2.69 to -4.73 with corresponding values of σ spanning 0.29 to 1.91. The best-13 fitting continuous distribution types include the gamma (ELM18DVTC-10). Weibull (248-2 and SRF), lognormal (029-5 and 14 059-1), uniform (CTU), half normal (RCP), and Pareto (MM20-EC-109) distributions (Table 1). W1 distances ranged between 15 1.80.9 (sample SRF) and 8.17.7 (sample AvQ 244) and W₂ distances between 2.90 and 11.110.34 (Table 1; Fig. 5). In general, the Pareto, gamma, Weibull, and lognormal distributions are more likely to predict more abundant extreme values of age offset 16 17 than the Rayleigh or uniform distributions (Fig. 5, Table 1, Fig. A2).

18 19

20 To further examine variations in g(t)the distributions of apparent Pb loss between samples, we A plotted plot of the best-fitting logit-normal Weibull distributions (Fig. 6). Even though the Weibull distribution was not the closest match for each sample, 21 22 it yielded the best overall matches across samples, with misfit values <10 for all samples (Fig. 4). Figure 6 displays two distinct 23 behaviors of g(t) (Fig. 6)apparent Pb loss when modeled using the Weibull distribution. (1) Four sSamples with $\mu < \sim -3$ and σ 24 > 1 and -Weibull shape parameter <1 have a g(t) their maximum relative probability -of apparent Pb loss close to 0% suggesting 25 a strongly decaying rate of age-offset (i.e., most zircon experienced very little Pb loss-perturbed U-Pb dates have very little 26 age offset, while a few have more significant Pb*/U offset). These samples also displayed $W_2/W_1 > 1.67$. (2) The remaining 27 six sSamples that yielded with $\sigma < 1$ and generally higher μ values (>-4) a Weibull shape parameter >1, however, displayed a value of a value of the state of 28 tendency for the mode of g(t) apparent Pb loss to be >0%, representing more of a bulk shift in age (e.g., most U-Pb dates have 29 some age offset, while relatively few have very little or very much age offset). These samples that produced $W_2/W_1 < 1.3$.

30 5 Discussion

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31 5.1 Assumptions and limitations

The mathematical and modeling framework that we present includes
several underlying assumptions and limitations that should be
considered.

- 36 Because g(t) could represent any geological or analytical 1. 37 process that introduces negative age offsets, we use the phrase "apparent 38 Pb loss" when describing our modeled estimates of g(t). For instance, 39 matrix-related systematic errors (Allen and Campbell, 2012), addition of 40 U-Th during weathering (Pigdeon et al., 2019), and even sample 41 contamination from younger minerals could introduce negative age shifts 42 exclusive of loss of radiogenic Pb. Common Pb corrections, particularly 43 the ²⁰⁷Pb-correction, may also introduce a bias towards artificially low 44 Pb*/U values (Anderson, 2002; Anderson et al., Anderson et al., 2019). 45 We recommend that these additional complexities in the U-Pb system be 46 considered when interpreting modeled estimates of g(t) as representing 47 distributions of Pb loss.
- 49 2. Our approach of parameterizing of g(t) for the purpose of
 50 exploratory modeling has the advantage of yielding results that are
 51 interpretable while also being suitable for the relatively low-n datasets
 52 available. However, any parametric model is likely a simplification of the

Figure 6. Distributions of apparent Pb loss when modeled as a logit-normal distribution. Samples with σ <1 are shown as a dashed line.

- 53 true g(t), and thus we consider our modeled estimates of g(t) to be first-order approximations. Analyzing a greater range of 54 samples with a greater number of ±CA *in-situ* U-Pb analyses, with ideal datasets having 100s or even 1000s of analyses per 55 sample (e.g., Pullen et al., 2014; Sundell et al., 2021), would likely improve our ability to constrain the form(s) of g(t) and 56 evaluate whether the logit-normal distribution or other forms of g(t) are appropriate. Such datasets would also be more 57 amenable to nonparametric solutions of estimating g(t). 58 59 <u>23</u>. For g(t) to represent the true distribution of Pb loss, the process of convolution must be applied to Pb*/U ratios at the
- 60 time of Pb loss. Because Pb* is progressively added to the crystal over time, a greater amount of ancient Pb loss is required to
- 61 achieve the same reduction in Pb*/U relative to recent Pb loss. This point is illustrated in Fig-ure 1 where a 50% reduction in
- 62 Pb* at 125 Myr after crystallization produces a similar reduction in ²⁰⁶Pb*/²³⁸U when compared to the same grainzircon of the

- 63 same age that lost 25% of its Pb* at 250 Myr (present day). For this reason, g(t) can be viewed as a minimum estimate in the 64 case of ancient Pb loss. If the timing of Pb loss is known or can be estimated (e.g., Morris et al., 2015), the input Pb*/U ratios 65 can be adjusted prior to analysis such that g(t) more accurately reflects the true magnitude of Pb loss.
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67 The modeling framework presented above is designed for a group of cogenetic crystals with a shared crystallization 4. 68 age (e.g., autocrystic zircon from the same magmatic episode; Miller et al., 2007). This requirement stems from our definition 69 of apparent Pb loss as a relative shift, or percentage deviation from the erystallization agetrue isotopic value (Fig. 24). The assumption that all zircon are coeval is a simplification, as even autocrystic zircon crystallize over a period of time, typically 70 71 on-10³-10⁴ yr timescales (Miller et al., 2007; Rossingnol et al., 2019). Multimodal detrital samples or igneous samples with 72 xenocrystic or inherited zircon are not easily modeled because these samples would violate our assumption of a shared 73 crystallization age. Failure to recognize the true heterogeneity in crystallization age in such a sample could cause an incorrect 74 interpretation of the apparent Pb loss distribution.

76 When modeling apparent Pb loss via convolution we make the assumption that the amount of age offset is 77 uncorrelated with crystallization age. We consider this to be a reasonable assumption for zircon crystals of similar age and 78 with a shared history (e.g., most igneous samples). However, the relative amount of Pb loss experienced by zircon of different 79 ages could be variable. Older zircon have experienced more radioactive decay and thus are more prone to radiation damage 80 (Marsellos and Garver, 2010). Similarly, some zircon incorporate elevated concentrations (>1000 ppm) of U into their crystal 81 structure, which promotes accelerated radiation damage and degrades the zircon matrix (White and Ireland, 2012). In 82 particular. Pb loss is believed to be most common at temperatures below ~ 250°C, at which radiation damage cannot be healed 83 (Schoene, 2013). Thus, detrital samples with zircon of widely varying age and provenance are more likely than igneous samples 84 to violate the assumption that Pb loss is independent of age. We consider some strategies for analyzing detrital zircon below 85 in Section 5.3.

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87 2.5. For datasets with paired non-CA and CA measurements, our modeling approach assumes that the relative precision 88 of the analyses is similar. This is because the Gaussian distribution that best approximates the CA U-Pb date distribution, f(t), 89 is convolved with the apparent Pb loss distribution g(t) to fit the non-CA U-Pb date distribution. The Watts et al. (2016) SIMS 90 dataset shows similar relative precision regardless of treatment approach (non-CA versus CA). Some samples from the von 91 Quadt et al. (2014) LA-ICP-MS dataset exhibit slightly lower relative precisions for non-CA versus CA, with sample AvQ 92 244 yielding the largest difference with an average relative precision of 1.1% (1 σ) for non-CA dates and 0.8% (1 σ) for CA 93 dates. We suggest that for the purposes of modeling apparent Pb loss, paired non-CA and CA U-Pb datasets should be collected 94 on the same instrument using similar acquisition parameters to avoid introducing large changes in measurement precision. 95 Alternatively, the CA U-Pb dates may be used to only constrain the μ of f(t) in the model, with σ treated as an unknown 96 parameter (e.g., for paired non-CA LA-ICP-MS and CA-ID-TIMS datasets; Figs. 5a and 5i).

- 98 For datasets with paired non-CA and CA measurements, we do not take into account consider any 99 imperfections of the chemical abrasion process. For example, although the CA treatment aims to completely remove all 00 radiation damaged zones of the crystal (Mattinson, 2005), it is possible to have remaining residual zones of Pb loss following 01 treatment (e.g., Schoene et al., 2010). Any such remaining compromised domains of the crystal will yield at least some apparent Pb loss when analyzed. For instance, Watts et al. (2016) interpreted three zircon U-Pb analyses from SRF to have some residual 02 03 Pb loss that was not fully accounted for by the CA process (Fig. 5g). Incorporation of Pb loss-perturbed U-Pb dates when 04 modeling f(t) would likely produce an underestimate of the true magnitude of the apparent Pb loss. Additionally, the CA 05 process may itself damage grains to the point of being unrecoverable for future steps (e.g., mounting, analysis). Because loss of grains via CA is likely to correlate with age, geochemistry, and/or history (e.g., older, highly metamict, or recycled grains), -06 07 there is a potential for differences between the non-CA and CA U-Pb date distributions that do not relate to apparent Pb loss. 08 Analyzing a dataset with a metamict prone age fraction could result in such differences being mistakenly interpreted as the result of apparent Pb loss if a particular group of zircon are selectively removed by CA. We suspect that this issue may be 09 10 more prevalent in detrital samples that exhibit greater diversity in zircon characteristics than in igneous samples.
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13 **5.2 Distributions of apparent Pb loss**

14 What distribution type(s) characterize apparent Pb loss in natural samples? Our results strongly suggest that at least nine of the -15 10 samples modeled have at least some systematic negative $\frac{age}{100}$ offset in $\frac{206}{Pb^{*/238}U}$ that cannot be explained by random 16 measurement uncertainties alone. This is because the K-S and Kuiper statistical tests are unable to reject the null hypothesis 17 for many of the apparent Pb loss distribution types considered (Table A1). For example, only the no Pb loss scenario produced 18 a p-value < 0.05 for sample MM20-EC-109, suggesting that any of the other $\frac{10}{100}$ modeled distributions of apparent Pb loss may 19 be statistically plausible for this sample. These results suggest that we cannot confidently distinguish between discrete 20 (constant or isolated) or continuous distributions of apparent Pb loss in the datasets modeled. With the exception of Except for 21 ELM18DVTC-10 which has 144 non-CA LA-ICP-MS analyses, the samples we analyzed have relatively low numbers of 22 analyses (between 17 and 68, average of 32) for a given sample and treatment category (non-CA or CA) (Table 1). We 23 hypothesize suspect that collection of larger-n datasets would allow better differentiation resolution of which parameterizations 24 of g(t) might be most appropriate between possible apparent Pb loss distribution types, particularly because different 25 distributions can produce similarly looking functions (Fig. 3). In some cases, different distribution types can produce identical 26 probability density functions (e.g., the Weibull distribution interpolates between the exponential and Raleigh distributions). 27

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- 28
- -29

-30 Even if the specific distribution type(s) that characterizes $\frac{apparent Pb - loss}{apparent Pb - loss}(t)$ cannot be uniquely identified, our analysis 31 suggests two contrasting behaviors in apparent Pb loss (Fig. 6). We speculate that U-Pb dates that undergo a bulk shift (i.e., 32 $W_2/W_1 \cong 1$) may reflect a population of zircon crystals with relatively homogenous characteristics (e.g., size, U content, etc.) 33 that have all experienced a similar post-crystallization history. Correspondingly, the population of zircon that produces U-Pb 34 dates with a highly asymmetric distribution of age offset (i.e., $W_2/W_1 > -1.5$) may reflect heterogeneity between crystals, with 35 variable characteristics-and/or post-erystallization histories. For example, Pb loss is thought to be promoted in small zircon 36 crystals and in zircon with elevated U (Ashwal et al., 1999; Gehrels et al., 2020), and thus distributions of particle size and/or 37 trace element geochemistry may play a role in influencing influence asymmetric patterns in g(t). Collection of size 38 measurements and trace element concentrations from zircon in addition to measurement of the U-Pb date (e.g., Watts et al., 39 2016), would likely help evaluate hypotheses about the underlying factors that control-influence such behavior of apparent Pb 40 loss distributions. Furthermore, given the relatively small number of samples modeled in this study, we suggest that there is a 41 need for more samples to undergo paired non-CA and CA characterization to improve understanding of the range of behaviors 42 that may be typical. For example, it is presently unclear whether it is more common for samples to have their U-Pb dates bulk 43 shifted (e.g., samples 029-5, 059-1, CTU, DG 026) versus having relatively few U-Pb dates highly offset (e.g., samples MM20-44 EC-109 and ELM18DVTC-10; Fig. 5).

45 46

Why do some samples experience more overall apparent Pb loss than others? Although we anticipated that apparent Pb loss would be greater for older samples, our analysis shows no clear trend by sample age (although we acknowledge that the relatively high degree of apparent Pb loss modeled in the youngest sample, ELM18DVTC-10, may be a consequence of contamination from overlying units, instead of true Pb loss; Miller et al., 2022). Even the three samples from the same Eocene caldera system (CTU, RCP, and SRF) showed contrasting amounts of apparent Pb loss (W₂ ranges from 2.<u>90</u> to 4.<u>42</u>; Table 1) as noted by Watts et al. (2016). Characterizing the overall magnitude of apparent Pb loss in a wider range of samples would likely help elucidate predictive factors, if any.

54 5.3 Detrital and other multi-modal samples

The modeling framework presented above is designed for a group of cogenetic crystals with a shared crystallization age (e.g., autocrystic zircon from the same magmatic episode; Miller et al., 2007). This requirement stems from our definition of apparent Pb loss as a relative shift, or percentage deviation from the crystallization age (Fig. 1). Because detrital samples are typically multi-modal (i.e., zircon are derived from >1 underlying Gaussian distribution), we cannot usually assume that the measured U-Pb dates were all drawn from the same Gaussian distribution, *f(t)*. For example, volcanic ares crystallize zircon over the span of 10's of millions of years through multiple cycles of magmatism (Paterson and Ducea 2015). Thus, a detrital zircon age spectra with a volcanic are source may appear as a broad distribution of U-Pb dates that are themselves multimodal or yield 62 distribution tails representing waning magmatic fluxes (Caricehi et al., 2014). Failure to recognize the true heterogeneity in

ervstallization age in such a sample could cause an incorrect interpretation of the apparent Pb loss distribution.

-63 -64

65 However, a sample with zircon crystals derived from multiple Gaussian distributions (e.g., detrital samples or igneous samples with anteervstic, xenoervtic, or inherited zircon; e.g., Rossignol et al., 2019) may also be modeled using this approach provided 66 67 that the unperturbed U Pb date distribution (e.g., CA LA ICP MS or CA SIMS) can be unmixed into its constituent Gaussian 68 distributions (e.g., Sambridge and Compston, 1994). We illustrate this approach in Figure 7 where synthetic U Pb dates are drawn from a mixture of three Gaussian distributions and convolved with the same Weibull distribution of apparent Pb loss. 69 70 The resulting Pb loss-perturbed U-Pb date distribution (e.g., non-CA LA-IC-MS or SIMS) can be produced by separately convolving each Gaussian distribution with the Pb loss distribution, g(t), multiplying by the appropriate weighting factor, and 71 72 then summing them up to unity (Fig. 7b). By modeling 1,000 synthetic U-Pb dates drawn from both the unperturbed and

73 perturbed distributions, we found a modeled g(t) (scale = 4.6, shape = 1.3) that was a close match for the true g(t) (scale = 5, 74 shape = 1.5) (Fig. 7c). In practice, one could apply this approach by conducting Gaussian mixture modeling on a CA_LA_ICP

- 75 MS or CA_SIMS dataset and then convolving each Gaussian separately with the apparent Pb loss distribution when minimizing
- 76 the misfit.
- .77

One word of caution with this approach is that our modeling framework assumes that the degree of age offset is independent of the age of the sample (see also point #1 in Section 5.1). To counteract this potential issue, we suggest that a U-Pb date distribution with age modes that span a significant reach of time be broken up into groups and modeled separately. In this way, the apparent Pb loss distribution that characterizes a young age mode would be allowed to vary from the apparent Pb loss distribution that has affected an older age mode.

83 5.<u>34</u> Importance of quantifying the distribution of apparent Pb loss in *in-situ* U-Pb geochronology

84 The overwhelming majority of published *in-situ* U-Pb dates from zircon, minimally >600,000 and likely in the millions of 85 analyses (Puetz et al., 2021), have not been treated using CA. In contrast, CA is now practiced routinely in the ID-TIMS 86 community which has contributed to growing precision and accuracy over the past two decades (Schoene, 2013). However, 87 the strategy of mitigating Pb loss through avoidance is perhaps less easily adopted to routine *in-situ* U-Pb geochronology. 88 geochronology. For instance, there may be practical limitations with chemically abrading large numbers of zircon 89 crystalsgrains, including the potential loss of certain age modes that would be detrimental to provenance analysis. We thus 90 suggest that there is a pressing need to improve quantitative characterization of apparent Pb loss distributions in non-CA in-91 situ U-Pb datasets to aid in interpreting these datasets and to guide strategies for future data collection.

It is somewhat concerning that nine of the 10 samples analyzed in this study exhibited statistically significant amounts of .93 .94 negative age offset from the estimated true crystallization age. Even a small age offset of a few percent, or cryptic Pb loss .95 (Kryza et al., 2012; Watts et al., 2016), has potentially important repercussions for interpreting the age and rates of geologic .96 events and processes. For example, there is a growing awareness in the detrital geochronological community that the youngest .97 zircon U-Pb dates often skew unexpectedly young relative to the plausible crystallization age (e.g., Herriot et al., 2019; Gehrels .98 et al., 2020; Schwartz et al., 2022). Presently, there is no consensus on the importance of post-depositional Pb loss on .99 influencing depositional age interpretations (e.g., Herriott et al., 2019; Copeland, 2020; Schwartz et al., 2022). Sample MM20-EC-109 illustrates the risk well: we initially interpreted the young tail on the U-Pb date distribution to suggest a depositional 00 01 age of ~125 Ma based on the youngest cluster of overlapping U-Pb dates. The youngest single analysis was a 60.5 ± 2.4 Ma 02 rim on a 135.3 \pm 3.0 Ma core, with the second youngest being a 79 \pm 1.2 Ma date measured from the core of a grain zircon 03 crystal, with the corresponding rim yielding an older 129.8 ± 3.6 Ma date (Table A2). Interpretation of the youngest single U-04 Pb date or dates as the depositional age of this sample would have produced a highly erroneous estimate, off by up to -58% of 05 the true eruption age of 144.50 \pm 0.07 (2 σ) Ma as determined by CA-ID-TIMS. Because this ash is interbedded within a 06 sequence of organic rich marine mudstone in the Austral Basin of Argentina, the misinterpretation in this case could have led 07 to an erroneous depositional age model with implications for interpreting the paleoclimatic and geodynamic context of these 08 sediments.

09

10 Although modeling detrital samples was outside of the scope of this study, we believe that our results bear upon maximum 11 depositional age analysis. The tendency for the youngest U-Pb dates in a sample to be affected by Pb loss (or other similar 12 process) complicates even conservative estimates of the maximum depositional age (Dickinson and Gehrels., 2009; Coutts et 13 al., 2019; Schwartz et al., 2022). If apparent Pb loss follows a continuous distribution (e.g., Fig. 3d), then it is ill-advised to 14 assume that outlying U-Pb dates may be rejected while the rest are considered unperturbed (see also discussion in Copeland, 2020). Even an interpretation based on the peak age probability of the youngest age mode is likely to be too young, because 15 16 the process of convolution produces a young shift in the mode of the distribution, in addition to creating a young 17 tail (Figs. 3d; Fig. A1-and 7). Because existing methods of calculating the maximum depositional age (Dickinson and Gehrels, 18 2009; Coutts et al., 2019; Vermeesch, 2021) do notfail to account for systematic negative age offsets, our analysis suggests 19 that there is a higher probability for erroneous estimates of the maximum depositional age if (1) there are a large number of zircon crystals with crystallization ages that are close to the age of deposition, (2) the overall number of measured U-Pb 20 21 analyses is very high, and/or (3) the magnitude of apparent Pb loss is high. In addition, a heavy-tailed the distribution type of apparent Pb loss (i.e., W₂/W₁>>1) will result in a greater (e.g., heavy-tailed vs not) will also influence maximum depositional 22 23 age calculations due to varying probability of finding extremely offset Pb^*/U values, with samples with large W_2 values 24 particularly at risk of highly inaccurate estimates.

25

26 <u>5.5 Strategies for future data collection</u>

Given the limitations of existing samples in modeling apparent Pb loss distributions, we suggest two strategies that might
 guide future data collection. First, increasing the number of analyses would provide improved characterization of the
 apparent Pb loss distribution. In particular, heavy tailed distributions (e.g., Pareto) predict rare but highly offset values that
 may not be identified unless a sufficient number of grains are analyzed. We suggest that future work focus on increasing
 the number of ±CA *in-situ* U-Pb analyses, with ideal datasets having 100s, or even 1000s, of analyses per sample (e.g.,
 Pullen et al., 2014). Such efforts are likely to be promoted by recent advances in the rate of LA-ICP-MS throughput
 for improved provenance characterization of detrital zireon (Sundell et al., 2021).

34

35 Second, increasing the precision of U Pb date measurements will facilitate accurate identification of the apparent Pb loss 36 distribution (Fig. 8). As the standard deviation of the unperturbed U Pb date distribution becomes increasingly small (i.e., due 37 to increasing analytical precision), the convolution of f(t) and g(t) will become increasingly similar to g(t) (Fig. 8). Thus, the 38 same distribution of apparent Pb loss will be more easily discerned for higher precision U Pb data than for lower precision 39 data. In situ zircon U Pb dates typically have 1-3% relative uncertainties (Schaltegger et al., 2015; Sharman and Malkowski, 40 2020), similar to the 'moderate' precision example in Figure 8, whereas the precision achieved by CA-ID-TIMS is commonly better than 0.1% depending on U content and age of the crystal (Schaltegger et al., 2015). Thus, the ideal dataset would consist 41 42 of large numbers of paired non CA and CA ID TIMS measurements from randomly selected zircon from the same sample. 43 However, given the practical limitations of cost and time involved with collecting CA ID TIMS measurements, we suggest 44 that ±CA-LA-ICP MS analysis might offer the best compromise between data quantity (i.e., rapid analysis) and precision. 45

Figure 8 also illustrates the challenge of accurately identifying the distribution of apparent Pb loss when the degree of Pb loss is small in comparison to the relative precision of the U-Pb dates. For instance, a sample with low amounts of apparent Pb loss (e.g., SRF; Fig. 5) may be equally well modeled by any number of distribution types, as they all are able to collapse to W₁ and W₂ values approaching 0. Thus, we anticipate the ability to differentiate between apparent Pb loss distribution types to decrease as the magnitude of apparent Pb loss decreases, except for perhaps the highest resolution U Pb datasets (e.g., ID TIMS). However, this limitation may be partly overcome by increasing the number of analyses per sample.

52 6 Conclusions

This study presents a <u>novel_mathematical</u> framework for quantifying the distribution of apparent Pb loss on U-Pb date distributions, which could include true loss of radiogenic Pb or other processes that also produce a systematically negative age offset.-_We show that a Pb loss-perturbed U-Pb date distribution from a set of zircon crystals with a shared crystallization age can be represented by the convolution of the-<u>a_unperturbed</u>-Gaussian <u>distribution that reflects measurement uncertainty in</u> <u>Pb*/UU-Pb date distribution and with the-a_</u>distribution <u>that characterizes of Pb loss</u>, <u>g(t)</u>. Our approach relies on analyzing differences between the untreated <u>date-Pb*/U</u> distribution from *in-situ* U-Pb geochronology (i.e., LA-ICP-MS or SIMS) and an independent estimate of the true crystallization age, which could include U-Pb dates from a thermally annealed and

- 60 chemically abraded aliquot of the same sample or from another geochronometer (e.g., 40 Ar/ 39 Ar).-We suggest that the first and 61 second Wasserstein distances (W₁ and W₂) of the apparent Pb loss distribution can be used to quantify the total degree of 62 apparent Pb loss that a set of <u>grain-zircon</u> analyses has undergone, with maximum possible W₁ and W₂ values of 100.
- 63

64 We apply this modeling framework to ten igneous samples (Miocene to Carboniferous) analyzed with LA-ICP-MS or SIMS. 65 All but one of the samples showed a high probability that the untreated U-Pb date distribution has been perturbed by Pb loss 66 or other equivalent process. Although our analysis shows that multiple parameterizations of g(t) can achieve statistically acceptable fits (i.e., K-S or Kuiper *p*-value >0.05), we suggest that the logit-normal distribution may be a reasonable choice 67 68 for exploratory modeling of apparent Pb loss distributions. Of the eight types of continuous apparent Pb loss distributions that we considered, the Weibull distribution produced the overall best-fit. However, we caution that the number of analyses in the 69 70 samples we analyzed was generally low (17-144, average of 39), ; future efforts to characterize g(t) may be promoted by 71 collection of larger-*n* datasets and through development of nonparametric methods of estimating g(t). Furthermore, our 72 estimates of g(t) should be viewed as minimum estimates of the true amount of Pb lost, as we assumed present-day Pb loss in 73 our analysis. These caveats aside, which likely contributed to many of the modeled Pb loss distributions producing statistically 74 acceptable fits (i.e., K-S or Kuiper p-value >0.05). In general, we noted two behaviors of apparent Pb loss in these samples; samples with a bulk shift in U-Pb date distributions (W₂/W₁ <~1.3) and samples where most grains-analyses had very little 75 76 offset but fewer grains had much larger offsets ($W_2/W_1 > 1.76$). The overall magnitude of apparent Pb lossPb*/U decrease 77 was also found to be variable, with median values varying from -0.9% to -6.54%.

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Although our modeling framework is designed for analysis of cogenetic grains, and thus most appropriate for igneous samples, we illustrate how the approach could be applied to detrital samples by first unmixing the CA U-Pb date distribution into component Gaussian distributions. However, analysis of detrital samples with zircon of widely varying age and history may violate an assumption of our model that the amount of apparent Pb loss is uncorrelated with age. We thus suggest that multimodal U-Pb date distributions be divided and modeled separately to allow the apparent Pb loss distribution to vary over time.

Given the widespread application of *in-situ* U-Pb geochronology of untreated zircon across many disciplines of geosciences, improved characterization of both the distribution type(s) and magnitude of apparent Pb loss is warranted, particularly for Phanerozoic zircon where cryptic Pb loss is difficult to identify. We highlight a need for increased sampling and high-*n* characterization of paired non-CA and CA *in-situ* U-Pb datasets. <u>+CA-LA-ICP-MS in particular has potential given the ability</u> to rapidly acquire the type of large datasets that would facilitate modeling apparent Pb loss distributions. In addition, we recommend simultaneous collection of parameters such as zircon size and trace elemental concentrations to aid in future efforts to understand the mechanisms of negative age offsets. Ultimately, we anticipate that improved characterization of the

- 94 magnitude and distribution type(s) of apparent Pb loss will aid in interpreting non-CA in-situ U-Pb datasets and guide strategies
- 95 for future data collection.

96 Data availability

97 Data are archived under https://doi.org/10.5281/zenodo.8302521https://doi.org/10.5281/zenodo.7783226, Appendix A provides a description of exploratory modeling of different parameterizations of g(t). Figure A1 includes examples of eight 98 continuous distribution types not explored in the main text. Table A1 and Figure A2 include Table A1 and Figure A1 include 99 00 summaries of all model results. Table A2 presents a summary of model fit for each sample and distribution type considered. Tables A_2 -A3 and A_3 -A4 provide U-Pb analytical results for sample MM20-EC-109 from the University of Arizona 01 02 LaserChron Center (LA-ICP-MS) and Boise State University Isotope Geology Laboratory (CA-ID-TIMS), respectively. 03 Figure A2 A3 includes CL images from the University of Arizona LaserChron Center. Figure A3 provides a summary of all 04 best fitting continuous Pb loss distributions for each sample. Supplemental Video 1 provides an example of convolution. 05 Supplemental Video 2 presents an exploration of the parameter space for the logit-normal distribution. 06

07 **Code availability**

Code used in this research is available on GitHub (https://github.com/grsharman/Pb_loss_modeling) with the initial_v2.0.0
 commit archived under https://doi.org/10.5281/zenodo.77832438302313.

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11 Video supplement

Supplemental Video 1 is available at <u>https://doi.org/10.5281/zenodo.8302521https://doi.org/10.5281/zenodo.7783226</u>. This animation provides an illustration of how a Gaussian distribution of U-Pb dates (solid, blue line), f(t), may be perturbed by exponential-logit-normal Pb loss, g(t) (solid, red line). The exponential-Pb loss distribution is first reflected about the y-axis and then iteratively shifted by small values of t, $g(t-\tau)$ (dashed, red line). The convolution of f(t) and g(t) at any given value of t equals the summed area underneath the product of f(t) and $g(t-\tau)$. Supplemental Video 2 is also available at https://doi.org/10.5281/zenodo.8302521 and illustrates how the logit-normal distribution varies with respect to its two parameters μ and σ . Note that we have rescaled the x-axis of the logit-normal distribution such that -100 < x < 0.

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20 Author contribution

G. Sharman and M. Malkowski co-designed the study. G. Sharman developed the code. M. Malkowski produced the U-Pb data from sample MM20-EC-109. G. Sharman and M. Malkowski wrote the manuscript.

23

24 **Competing interests**

- 25 The authors declare that they have no conflict of interest.
- 26

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