

Technical Note: Improved calculation of volume, F_T correction, and other derived data for polished zircon (U-Th)/He thermochronology

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Abstract. Polishing mounted zircon crystals prior to bulk grain (U-Th)/He thermochronology analysis provides opportunities for characterizing and subsampling each grain via in situ methods to obtain the maximum geologically relevant information. However, polishing introduces complications for classifying grain geometry and determining grain volume, on which many derived (U-Th)/He data partially depend. Derived data that depend on volume include isotope concentrations, effective uranium (a proxy for radiation damage), and alpha-ejection correction factors (F_T) which are used to correct (U-Th)/He dates. These derived data are integral to interpreting (U-Th)/He dates and, without a way to accurately calculate these values for polished grains, a choice must be made between polishing zircon to provide robust in situ data at the expense of the thermochronologic data, or not polishing and limiting in situ data to grain rims or one-dimensional depth profiles. To address this issue, this paper presents a comprehensive protocol for calculating volume and alpha-ejection surface area for polished zircon grain fragments, from which additional data, including eU and F_T , are derived. This protocol is implemented after grains have been polished and in situ measurements have been made and can be easily integrated into existing workflows for characterizing and measuring grains for conventional (U-Th)/He analysis. An R script accompanying this paper can be used to perform required calculations and assign uncertainties during analytical data reduction. Applying the new protocol to a synthetic dataset covering a range of zircon geometries, sizes, and grinding conditions shows that the method is an improvement over existing methods to calculate polished grain F_T , which only apply to a small subset of possible grain geometries and grinding conditions. The new protocol also calculates all derived data and uncertainties necessary and recommended for (U-Th)/He data reporting, aside from the (U-Th)/He dates themselves, to facilitate integrations with existing data reporting, date interpretation, and thermal history modelling.

1 Introduction

(U-Th)/He thermochronology dates and associated data are derived from analytical measurements of parent and daughter isotopes and the volume (V) of the individual mineral grains analysed. These “derived data,” include alpha-ejection correction factors (F_T), F_T -equivalent spherical radius (R_{FT}), effective uranium (eU), and parent isotope concentrations, and are essential for interpreting dates and making other geological inferences. F_T corrections are applied to account for He lost through alpha-ejection and directly affect the reported (U-Th)/He dates (Farley, 2002). R_{FT} is used to compare grain size and approximate He diffusion domain size in thermal history modelling (Flowers et al., 2022a, b; Ketcham et al., 2011). eU can affect how the thermal history of the grain is interpreted (e.g., Flowers et al., 2022b; Guenther et al., 2013). Other derived data such as isotope concentrations, may be used to characterize additional aspects of the samples’ geologic history (e.g., sediment recycling history; Dröllner et al., 2022). Accurate V calculation is therefore critically important as it informs all these other data. In addition to V dependence, F_T also depends on another variable related to grain morphology: alpha-ejection surface area, or the surface area of the grain over which alpha particles are ejected (SA_α). Both V and SA_α are typically determined for whole crystals by classifying each grain as one of several idealized geometries based on visual inspection and making two-dimensional measurements of grain size, or using three-dimensional imaging methods (Cooperdock et al., 2019; Glotzbach et al., 2019; Ketcham et al., 2011; Reiners et al., 2005; Zeigler et al., 2023, 2024). However, many applications of (U-Th)/He thermochronology, such as detrital zircon applications, benefit from or require mounting and polishing crystals for in situ

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analyses to characterize chemical zonation, rare-earth element abundances, U-Pb or other geochronology data, etc. prior to (U-Th)/He analysis. Grinding and polishing grains to prepare them for additional analysis removes part of the grain, resulting in grain geometries that deviate from the original whole grain and complications for calculating V and SA_{α} for the remaining fragment. Existing whole-grain methods to calculate V and SA_{α} (e.g., Farley, 2002; Ketcham et al., 2011; Reiners et al., 2005) are in many cases inapplicable when grains are ground and polished.

Previous work has addressed the impact of polishing or other means of removing part of the grain on derived data, particularly on F_T corrections (He and Reiners, 2022; Marsden et al., 2021; Reiners et al., 2007). These contributions have largely focused on direct comparison to F_T corrections of corresponding whole crystals prior to polishing (Marsden et al., 2021) or focused on a subset of possible polishing scenarios that do not reflect the full range of real zircon samples or sample preparation (He and Reiners, 2022; Reiners et al., 2007). A common approach to simplify F_T corrections is to polish grains to a plane of symmetry (e.g., halfway through the original c-axis perpendicular width), such that the F_T value of the fragment is the same as the F_T value for the entire whole grain (Reiners et al., 2007). However, polishing exactly halfway is often extremely difficult, if not impossible, and inaccuracy in polishing depth can result in F_T uncertainty greater than $1\sigma = 5\%$ (Marsden et al., 2021). Alternatively, the same symmetry logic can be applied to crystals broken perpendicular to an axis of symmetry when the true original axis length is unknown (He and Reiners, 2022). The broken interior face of the crystal is treated as a plane of symmetry such that the fragment has the same F_T as a whole grain with an axis length double the axis length of the fragment. V and SA_{α} of the fragment can be calculated by dividing the V and SA_{α} of the reconstructed whole grain in half. Although this approach can be applied to any crystal geometry and plane of symmetry, the He and Reiners protocol is focused on cylindrical grains polished or broken perpendicular to the c-axis. For grains polished parallel to the c-axis Reiners et al. (2007) provides a protocol for a limited number of cases: cylindrical and orthorhombic prisms ground and polished to a depth between one alpha-stopping distance and less than half of the original c-axis perpendicular thickness of the crystal. In reality, zircon encompass a range of morphologies depending on lithology and geologic history which can be approximated as cylinders, ellipsoids, and orthorhombic prisms with or without pyramidal terminations (commonly referred to as “tetragonal” geometries even when a- and b-axis measurements are not equivalent). The grinding and polishing orientation of individual crystals can be parallel or perpendicular to the crystallographic c-axis, and because of the natural variation in crystal size, it is common for polishing to remove a variable amount of crystal when multiple crystals are mounted and prepared together (e.g., Fig. 1a). Protocols to determine F_T corrections and other derived data for polished zircon based on geometry and volume must therefore encompass these different scenarios in order to maximize the number of grains that can be used for analysis in a given sample and grain mount. To address the lack of a comprehensive approach to volume-derived data for polished zircon, this contribution presents a protocol and set of equations (Appendix A) coded in an R script (Code Availability) that can be integrated with existing workflows for grain characterization and (U-Th)/He thermochronology data reduction and interpretation. Values calculated under this protocol include V, SA_{α} , volume-to-alpha-ejection-surface-area-equivalent spherical radius (R_{sv}), mass (M), parent isotope concentrations, eU, F_T , and F_T -equivalent spherical radius (R_{FT}). Results of using the protocol are evaluated using a synthetic dataset encompassing a range of possible grain geometries, sizes, polishing orientations and grinding depths (Section 3) and application to a real detrital zircon dataset (Supplementary Text).

2 Required measurements, grain classification, and calculation of values

The protocol presented here adapts existing approaches for determining whole-grain V, SA_{α} , and F_T for ground and polished grain fragments. First, the polished grains are removed from the mounting medium and inspected and measured using a binocular microscope with digital camera and microscope imaging software. Grains are classified as ellipsoidal, cylindrical, or “tetragonal” geometries, which can include two, one, or no pyramidal terminations. In order to be classified as cylindrical or tetragonal, the unpolished part of the grain must include visible crystal faces that are unrelated to the polished face. For cylinders, these faces are only perpendicular to the long axis while for tetragonal grains, some must be parallel to the long axis (Fig. 1). If there are no observed crystal faces, the grain is classified as an ellipsoid. Like standard approaches for calculating whole-grain V (e.g., Zeigler et al., 2024), two orthogonal sets of length and width measurements (L_1 , W_1 and L_2 , W_2), are made by rotating the grain fragment (Fig. 1b).

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Once grains have been classified and measured, V and SA_a are calculated (Appendix A) by relating grain measurements to the geometric parameters of the relevant geometric classification (a, b, and c semi-axes; a and b semi-axes and height, h; or a, b, and c axes for ellipsoids, cylinders, or tetragons, respectively; Fig. 1b, Table 1). Only external grain surfaces are subject to alpha-ejection, and thus the polished surface is not considered as part of SA_a . In most cases, calculating these values is accomplished by adopting the same approach as He and Reiners (2022) in which the polished grain is treated as a crystal broken along a plane of symmetry such that V and SA_a of the polished fragment are half of a whole “assumed grain” created by reflecting the existing fragment across the plane of polishing (Fig. 1c). F_T of the fragment is thus equal to F_T of the assumed grain. This is the approach used for all grains polished perpendicular to the c-axis or parallel to the c-axis and greater than halfway through the original c-axis perpendicular width of the grain (Fig. 1c), which can be determined by visual inspection of the polished grain and does not require measurements of thickness pre-polishing. For grains polished parallel to the c-axis and less than halfway through the original width of the grain (again, determined by visual inspection of the grain post-polishing), a different approach to determining V and SA_a is used. In these cases, the original whole grain dimensions are estimated by combining the grain measurements with the grinding depth (g) determined by measurements of spherical glass beads mounted and polished alongside the grains. Polishing depth is calculated using Eq. (1) (Pickering et al., 2020) and measurements of the radius of the polished bead surface (r_{BP}) relative to the full bead radius (r_B).

$$g = r_B - \sqrt{r_B^2 - r_{BP}^2} \quad (1)$$

Uncertainty on g can be determined through duplicate measurements of multiple embedded beads scattered throughout the grain mount. The estimated whole grain dimensions are used to estimate V and SA_a for the whole original grain. To calculate V and SA_a of the remaining fragment, the V and SA_a of the removed portion of the grain are also estimated and subtracted from the estimated whole grain values. V and SA_a of the removed portion are determined by treating removed portions of the crystals as half crystals of a whole assumed grain in the same manner as grains polished parallel to the c-axis and more than halfway through the original grain width (Fig. 1c). This calculation requires additional measurements of the polished grain surface: length (L_P) and width (W_P) of the polished face. In practice, L_P and W_P are often indistinguishable from L_i and W_i .

Volume uncertainty reflects the assumptions made in applying an idealized geometry and human measurement error to imperfect natural zircon and is applied as $1\sigma = 21\%$ or 13% for ellipsoid or tetragonal grains, respectively following recommendations in Zeigler et al. (2024). Volume uncertainty arising from geometric assumptions has not been quantified for cylindrical grains like it has for other geometries (Cooperdock et al., 2019; Zeigler et al., 2023, 2024) so in the absence of this quantification, the largest quantified uncertainty for zircon from Zeigler et al. (2024), $1\sigma = 21\%$, is applied as a conservative estimate. Future work should establish a quantitative V uncertainty value and correction for cylinders as this is a common geometry for abraded grains. SA_a uncertainty is unquantified for all geometries. Data that are derived directly from volume— R_{SV} , mass, parent isotope concentrations, and eU, are calculated using equations in Appendix A and include propagated volume uncertainty and analytical isotope measurement uncertainty when applicable.

F_T depends not just on volume, but also on SA_a dependence which is represented here using the term R_{SV} , or volume-to-surface-area equivalent spherical radius, calculated using Eq. (2) as in Ketcham et al. (2011).

$$R_{SV} = \frac{3V}{SA_a} \quad (2)$$

R_{SV} serves the same function as the β term introduced by Farley (2002) to relate grain measurements to F_T via a polynomial function with the general form of Eq. (3).

$$F_T = 1 + a_1\beta + a_2\beta^2 + a_3\beta^3 + \dots \quad (3)$$

Polynomial coefficients a_1 , a_2 , and a_3 , etc. are determined via series of Monte Carlo simulations of variable grains and fitting the results (e.g., Hourigan et al., 2005; Ketcham et al., 2011) and depend on alpha-stopping distance and grain geometry. For the new protocol, the F_T equations and coefficients of Ketcham et al. (2011) are adopted as the basis for calculating F_T (Appendix A) because they are fit to the full range of grain geometries commonly seen in natural zircon. For grains that begin whole as ellipsoids, cylinders, or tetragons without terminations, grinding and polishing results in remaining grain fragments with morphologies that are still well-described by the original geometries and F_T equations tailored to those geometries, such that minimal uncertainty is introduced by applying the geometry-specific coefficients of Ketcham et al. to these polished grains. The whole-grain coefficients are likely less applicable to grain geometries that change more significantly with grinding and

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For polished grains, 2D measurements are related to geometric parameters for the idealized geometry as a function of grain orientation to the polishing surface (perpendicular or parallel to c-axis) and polishing depth (< or > halfway) (Fig. 1b, c, Table 1). Equations for V and SA_a as a function of geometry, polishing orientation, and depth, if applicable, are modified from equations for whole-grain geometries in Ketcham et al. (2011). Only external surfaces are subject to alpha-ejection, and thus the polished surface is not considered as part of SA_a in the calculation of R_{SV} and F_T (Fig. 1). For grains polished perpendicular to the c-axis (Fig. 1b) V and SA_a are relatively straightforward to calculate from measurements of the remaining grain: the degree of polishing, whether greater than, less than, or exactly halfway is irrelevant. For grains polished parallel to the c-axis (Fig. 1c) and less than halfway, V and SA_a calculation ... [1]

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290 polishing, namely tetragonal geometries with one or two terminations, and the new protocol should be applied with caution to
these geometries. However, even with this limitation, the new protocol improves on existing protocols for polished grain F_T
values through the addition of ellipsoid geometries and a range of polishing depth beyond half of the original grain width.

295 In addition to isotope-specific F_T values (used to calculate corrected (U-Th)/He dates), combined F_T and F_T -equivalent
spherical radius (R_{FT}) are calculated using equations from Cooperdock et al. (2019) (Appendix A). Combined F_T is useful as
a summary of overall alpha-ejection correction and for comparison with other formulations of F_T (e.g., Reiners et al., 2007).
 R_{FT} is commonly reported as a proxy for grain size (e.g., Flowers et al., 2022a). Isotope-specific F_T uncertainties for ellipsoid
and tetragonal geometries are applied following recommendations in Zeigler et al. (2024) for ellipsoid and tetragonal grain
geometries; for cylindrical geometries the larger of the recommended uncertainties for the other geometries is applied.
Ellipsoid: 3 %, 4 %, 4 %, and 1 % for $F_{T,238}$, $F_{T,235}$, $F_{T,232}$, and $F_{T,147}$, respectively. Tetragonal/Cylindrical: 3 %, 4 %, 5 %, and
1 % for $F_{T,238}$, $F_{T,235}$, $F_{T,232}$, and $F_{T,147}$, respectively. Combined F_T uncertainty is propagated from isotope-specific F_T values
and parent isotope concentrations. Uncertainty on R_{FT} is applied as $1\sigma = 8\%$ R_{FT} following recommendations in Zeigler et al.
(2024).

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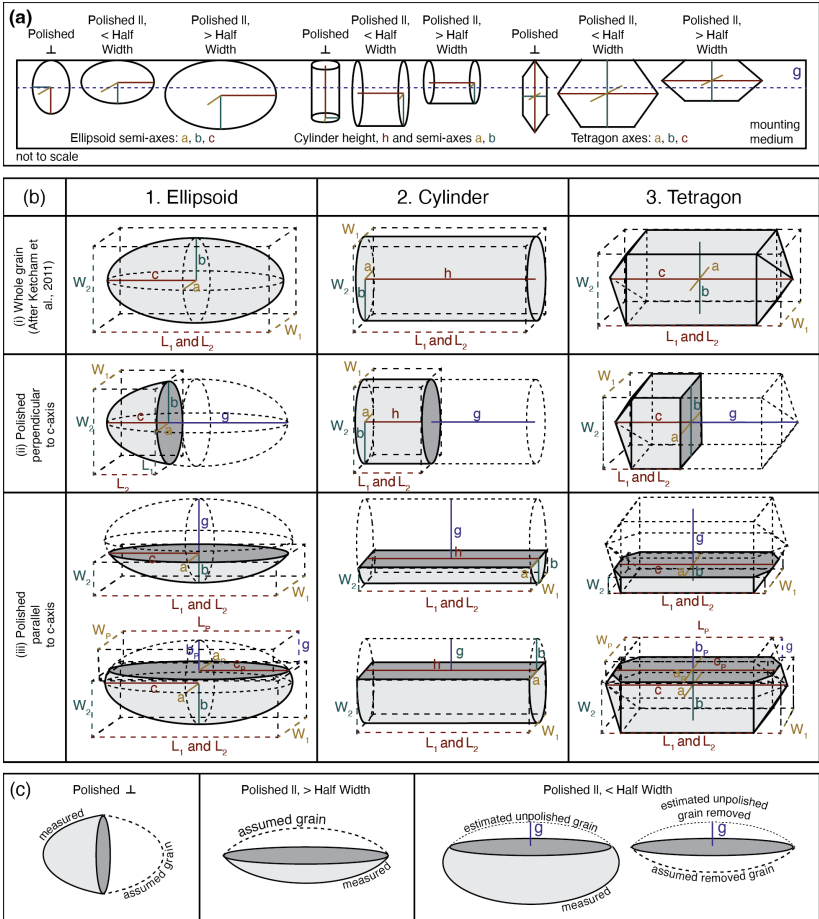


Figure 1: Grain morphology impacts and assignment of geometric parameters after grinding and polishing. (a) Schematic grain mount showing variable amount of grain removed depending on original grain geometry, size, and orientation with respect to polishing surface (dashed line). (b) Relationship between 2D grain measurements L_1, L_2, W_1, W_2 , and geometric parameters a, b, c or h for each geometry. (i) Whole grains (after Ketcham, et al., 2011). (ii) Grain fragments arising from polishing grains perpendicular to the c-axis. (iii) Grain fragments arising from polishing grains parallel to the c-axis. In all panels, light gray shaded region corresponds to the V calculated. Dark gray surface is polished surface not included in SA_{eq} . (c) Illustration of method to calculate V and SA_{eq} as half of "assumed grain" or estimate of removed portion of grain from estimate of whole grain.

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Table 1: Relationship between 2D grain measurements and geometric values used to calculate volume and surface area.

<u>Orientation and Depth</u>	<u>Ellipsoid</u>	<u>Cylinder</u>	<u>Tetragon</u>
<u>Perpendicular to c-axis</u>	$a = \frac{W_1}{2}$	$a = \frac{W_1}{2}$	$a = \min(W_1, W_2)$
	$b = \frac{(L_1 + W_2)}{4}$	$b = \frac{W_2}{2}$	$b = \max(W_1, W_2)$
	$c = L_2$	$h = \frac{(L_1 + L_2)}{2}$	$c = \frac{(L_1 + L_2)}{2}$
<u>Parallel to c-axis, > Halfway</u>	$a = \frac{W_1}{2}$	$a = \frac{W_1}{2}$	$a = \min(W_1, 2W_2)$
	$b = W_2$	$b = W_2$	$b = \max(W_1, 2W_2)$
	$c = \frac{(L_1 + L_2)}{4}$	$h = \frac{(L_1 + L_2)}{2}$	$c = \frac{(L_1 + L_2)}{2}$
<u>Parallel to c-axis, < Halfway</u>	$a = \frac{W_1}{2}$ $a_p = \frac{W_p}{2}$	$a = \frac{W_1}{2}$ $a_p = \frac{W_p}{2}$	$a = \min(W_1, W_2 + g)$ $a_p = \min(W_1, 2g)$
	$b = \frac{W_2 + g}{2}$ $b_p = g$	$b = \frac{W_2 + g}{2}$ $b_p = g$	$b = \max(W_1, W_2 + g)$ $b_p = \max(W_1, 2g)$
	$c = \frac{(L_1 + L_2)}{4}$ $c_p = \frac{L_p}{2}$	$h = \frac{(L_1 + L_2)}{2}$	$c = \frac{(L_1 + L_2)}{2}$ $c_p = c$ $- N_p \left(\frac{W_2 - g}{2} \right)$

3 Evaluating the new protocol

A synthetic dataset (Table S1) was used to evaluate the protocol and compare with existing approaches to calculating F_T values. The synthetic dataset was designed to test a range of original grain geometries, total grain sizes, grinding and polishing orientations, and grinding depths greater than the maximum average zircon alpha stopping distance ($> \sim 18.5 \mu\text{m}$; Ketcham et al., 2011). Total grain size was defined by a combination of “size” corresponding to the c-axis parallel length – generally the longest grain axis corresponding to grain length measurements L_1 and L_2 , “width ratio” between the two c-axis perpendicular grain lengths (corresponding to a and b crystallographic axes and grain width measurements W_1 and W_2), and “aspect ratio” between the c-axis parallel and perpendicular axes lengths. First, whole, unpolished synthetic grains were created with sizes (L_1 and L_2) including “Smallest” (60 μm), “Small” (100 μm), “Medium” (150 μm), or “Large” (200 μm), a range of aspect ratios where the first axis (W_1) was set to 0.3-1 times the size, and a range of width ratios where the second short axis (W_2) was set to 0.5 – 1 times W_1 . The range of c-axis parallel sizes was chosen to reflect sizes commonly seen in natural zircon. Aspect and width ratio ranges were chosen to reflect observed ranges of these ratios while also ensuring that grinding depth would always be greater than one alpha stopping distance. This was done to ensure no complications to interpreting F_T arising from incomplete removal of the alpha-ejection rim. Grains were created in this way for all common zircon idealized geometries: ellipsoid, cylinder, and tetragons with no, one, or two terminations. “Polished grains” were then created by assigning grinding depth as a fraction of the total width or length of the grain depending on whether grains were polished parallel or perpendicular to the c-axis, respectively. The range of grinding depths includes 0 (unpolished grains) and 0.25-0.75 of the total width or length.

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Moved down [1]: 3 Geometry-derived values: Volume, surface area, R_{SV} , and mass[†]

3.1 Volume and surface area[†]

3.1.1 Ellipsoidal Grains[†]

The ellipsoid semi-axes a, b, and c and polished surface semi-axes a_p , b_p , and c_p are related to 2D grain measurements L_1 , L_2 , W_1 , W_2 , L_p , W_p , and g, as given in Table 1 for each polishing orientation and depth. The ellipsoid coefficient (p) is 1.6075 (Ketcham et al., 2011). When the grain is polished perpendicular to the c-axis or polished parallel to the c-axis and more than halfway ($g > (W_2 + g)/2$) V and SA are calculated using Eq. (2) and (3).[†]

$$V = \frac{2}{3} \pi abc \rightarrow \rightarrow \rightarrow \rightarrow \rightarrow \rightarrow \rightarrow \rightarrow \rightarrow \rightarrow \rightarrow \rightarrow (2)^{\dagger}$$

$$SA = 2\pi \left(\frac{a^p b^p + b^p c^p + a^p c^p}{3} \right)^{1/p} \rightarrow \rightarrow \rightarrow \rightarrow \rightarrow \rightarrow \rightarrow \rightarrow \rightarrow \rightarrow \rightarrow \rightarrow (3)^{\dagger}$$

When the grain is polished parallel to the c-axis and less than halfway ($g < (W_2 + g)/2$) V and SA are calculated using Eq. (4) and (5).[†]

$$V = \frac{2}{3} \pi abc - \frac{2}{3} \pi a_p b_p c_p \rightarrow \rightarrow \rightarrow \rightarrow \rightarrow \rightarrow \rightarrow \rightarrow \rightarrow \rightarrow \rightarrow \rightarrow (4)^{\dagger}$$

$$SA = 4\pi \left(\frac{a^p b^p + b^p c^p + a^p c^p}{3} \right)^{1/p} - 2\pi \left(\frac{a_p^p b_p^p + b_p^p c_p^p + a_p^p c_p^p}{3} \right)^{1/p} \rightarrow \rightarrow \rightarrow \rightarrow \rightarrow \rightarrow \rightarrow \rightarrow \rightarrow \rightarrow \rightarrow \rightarrow (5)^{\dagger}$$

V uncertainty is applied as $1\sigma = 21\%$ following recommendations in Zeigler et al. (2024). SA uncertainty is unquantified.[†]

3.1.2 Tetragonal Grains[†]

The tetragon axes a, b, and c are related to 2D grain measurements L_1 , L_2 , W_1 , W_2 , and g, as given in Table 1 for each polishing orientation and depth. N_p is the number of pyramidal terminations (0, 1, or 2). When the grain is polished perpendicular to the c-axis V and SA are calculated using Eq. (6) and (7).[†]

$$V = abc - N_p \left(\frac{a}{3} \right) \frac{a^2 + b^2}{2} \rightarrow \rightarrow \rightarrow \rightarrow \rightarrow \rightarrow \rightarrow \rightarrow \rightarrow \rightarrow \rightarrow \rightarrow (6)^{\dagger}$$

$$SA = 2(ab + bc + ac) - N_p \left(\frac{a^2 - b^2}{2} + (2 - \sqrt{2})ab \right) - ab \rightarrow \rightarrow \rightarrow \rightarrow \rightarrow \rightarrow \rightarrow \rightarrow \rightarrow \rightarrow \rightarrow \rightarrow (7)^{\dagger}$$

When the grain is polished parallel to the c-axis and more than halfway ($g > (a + g)/2$) V and SA are calculated using Eq. (8) and (9).[†]

$$V = \frac{2abc - N_p \frac{a}{3} \left(\frac{a^2}{b^2} + \frac{aa^2}{3} \right)}{2} \rightarrow \rightarrow \rightarrow \rightarrow \rightarrow \rightarrow \rightarrow \rightarrow \rightarrow \rightarrow \rightarrow \rightarrow (8)^{\dagger}$$

$$SA = \frac{2(2ab + bc + 2ac) - N_p \left(\frac{4a^2 - b^2}{2} + 2ab(2 - \sqrt{2}) \right)}{2} \rightarrow \rightarrow \rightarrow \rightarrow \rightarrow \rightarrow \rightarrow \rightarrow \rightarrow \rightarrow \rightarrow \rightarrow (9)^{\dagger}$$

When the grain is polished parallel to the c-axis and less than halfway ($g < (a + g)/2$) V and SA are calculated using Eq. (11) and (12). g_e is an intermediate value that reflects the original whole-grain dimensions (Eq. 10).[†]

$$\text{Deleted: } V = \frac{2}{3} \pi abc \rightarrow \rightarrow \rightarrow \rightarrow \rightarrow \rightarrow \rightarrow \rightarrow \rightarrow \rightarrow \rightarrow \rightarrow (2)^{\dagger}$$

$$SA = 2\pi \left(\frac{a^p b^p + b^p c^p + a^p c^p}{3} \right)^{1/p} \rightarrow \rightarrow \rightarrow \rightarrow \rightarrow \rightarrow \rightarrow \rightarrow \rightarrow \rightarrow \rightarrow \rightarrow (3)^{\dagger}$$

When the grain is polished parallel to the c-axis and less than halfway ($g < (W_2 + g)/2$) V and SA are calculated using Eq. (4) and ... [2]

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510 F_T results of applying the protocol developed in this contribution to the synthetic dataset show a strong dependence on geometry and size (Fig. 2), as expected based on F_T values for whole grains (Farley, 2002; Ketcham et al., 2011; Reiners et al., 2005). The Ketcham et al. (2011) F_T functions and polynomial coefficients adopted in the new protocol apply to F_T between 0.5 and 1; whole synthetic grains with $F_T < 0.5$ were therefore rejected from further discussion, as were polished synthetic grains based on the rejected original whole-grain dimensions, leaving F_T results for 16,128 synthetic grains. Across all geometries and grain sizes, the majority of grains exhibit expected changes in F_T with increasing grinding depth: polished F_T is greater than whole F_T up to 50 % grinding depth and polished F_T is less than whole F_T above 50 %. The smallest ellipsoid grains with the lowest aspect and width ratios are an exception to this pattern, which is likely related to partial removal of the remaining fragment's alpha ejection rim at higher grinding depths. The largest grains exhibit the smallest differences between whole grain and polished grain F_T but the difference increases once more than half the grain width is ground away as for other grain sizes (Fig. 2). Very negative percent differences < -20 % that are reached at high grinding depths are likely due to the increasing differences in polished SA_a from whole grain SA_a at this degree of polishing. Percent difference between whole and polished grain F_T does not vary systematically with overall grain symmetry – that is with changes in grain aspect ratio and width ratio. Rather, the difference depends on the combination of axis measurements and other factors, such as the number of terminations in the case of tetragonal grains (Fig. 2). Tetragonal geometries with zero terminations vary the least with polishing depth, while tetragonal geometries with two terminations vary the most. This result is not surprising given that termination morphology is heavily impacted by grinding such that the approximation becomes more and more tenuous with increasing removal of grain material.

530 F_T values calculated using the new protocol were compared to existing F_T protocols from Ketcham et al. (2011) and Reiners et al. (2007) (Fig. 3). Although the Ketcham et al. protocol is not designed for polished grains, it might be assumed that the difference in final F_T value obtained by applying it might be negligible due to the application of the same polynomial coefficients in both methods. Here, the methods are compared to show that systematic biases are introduced when a whole-grain protocol is applied to polished grains that can result in limited utility of the dataset. This comparison was achieved by duplicating the synthetic dataset and setting grinding depth g equal to 0 for all synthetic grains so that the code treated them as unpolished for calculating V and SA_a , and F_T . For the most symmetric grains (aspect and width ratios of 1, Fig. 3a), applying the whole-grain Ketcham et al. protocol results in F_T values that are generally lower than the new protocol. This is expected: the Ketcham et al. protocol calculates SA_a that is higher than the real polished SA_a in all cases, and in the case of ellipsoids calculates V that is significantly smaller than the real polished V . If the recommended 0.5 F_T cutoff for accepting (U-Th)/He analyses is applied (e.g., Flowers et al., 2022b) to the polished fragments, use of the Ketcham et al. protocol would result in rejection of more ellipsoid, cylindrical, and terminated tetragonal grains than the new protocol while more non-terminated tetragonal grains would be kept. This is because the only difference between the two protocols for non-terminated tetragonal grains is the inclusion of the polished face in SA_a . For grains with the least symmetry, both protocols result in the rejection of most grain fragments, but the Ketcham et al. protocol results in more total rejections due to its inaccurate estimates of V and SA_a . This is important for real datasets in which grain aspect and width ratios can be expected to vary widely and rarely match the maximum symmetry case. By taking grinding and polishing into account, the new protocol results in F_T values that reflect the true SA_a and V of the measured grain fragment and are more likely to meet the criteria for being accepted.

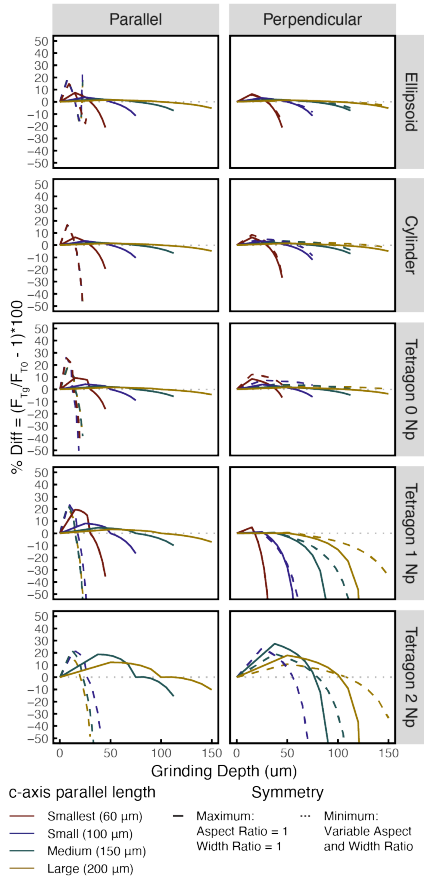


Figure 2: Percent difference between combined F_T for ground/polished zircon and whole zircon as a function of grinding depth. Colour corresponds to c-axis parallel length. Solid lines show patterns for largest grains in each size group with maximum symmetry (aspect ratio = 1, width ratio = 1). Dashed lines show patterns for smallest grains in each size group with minimum symmetry that meet requirements for whole grain $F_T > 0.5$.

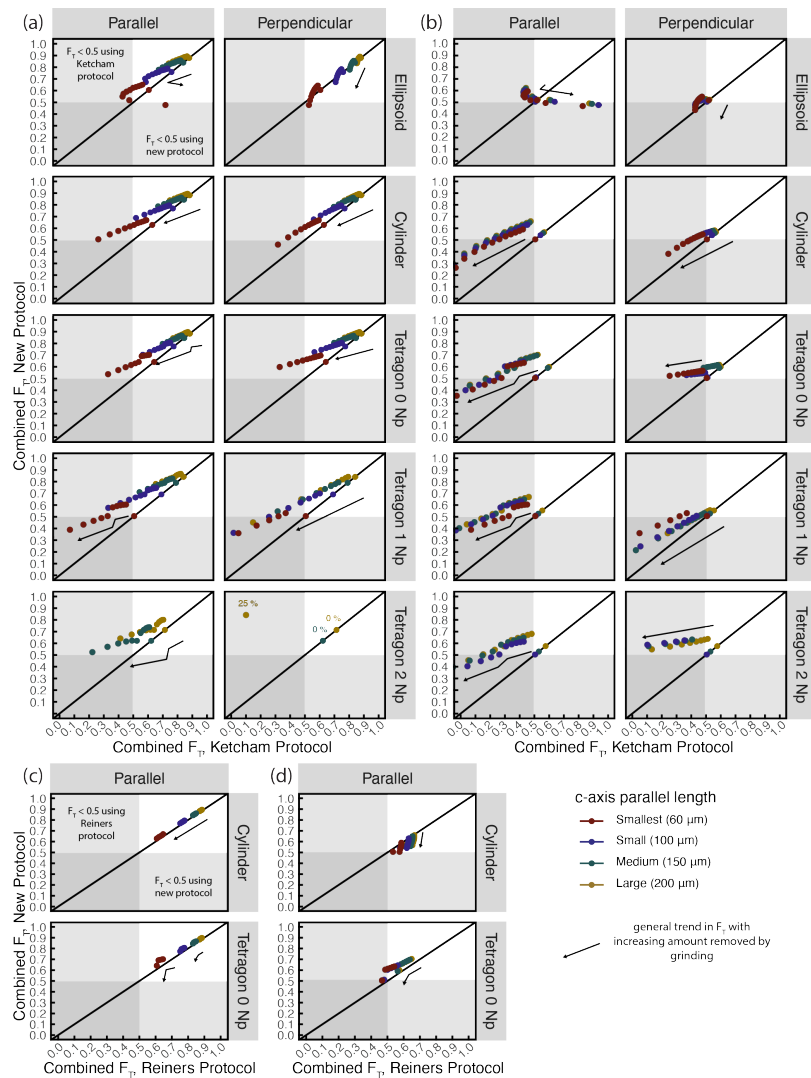


Figure 3: Comparison between combined F_T calculated using the new protocol and existing protocols. (a-b) Comparison with the protocol of Ketcham et al. (2011) for (a) maximum grain symmetry (aspect ratio = 1, width ratio = 1) and (b) grains with minimum symmetry that meet requirements for whole grain $F_T > 0.5$. (c-d) Comparison with the protocol of Reiners et al. (2007) for (c) maximum grain symmetry and (d) minimum grain symmetry. Note Reiners et al. protocol only applies to cylindrical and non-terminated tetragonal geometries and grinding depths $\leq 50\%$ of the original width. In all plots colour corresponds to size defined as c-axis parallel length. Black arrows indicate general trend of F_T with increasing fraction of the grain removed through grinding. Gray shaded regions correspond to $F_T < 0.5$; these grains would typically be discarded from (U-Th)/He date interpretations.

The Reiners et al. (2007) protocol uses V and SA_a of grain fragments with the F_T formulas and polynomial coefficients of Farley (2002) but it applies only to cylindrical and non-terminated tetragonal grain geometries polished less than halfway through the original width of the crystal. For grains with maximum symmetry (Fig. 3c), the synthetic F_T results of the Reiners et al. protocol are almost identical to the new protocol, with all F_T values > 0.5 . In these cases, the calculation of SA_a and V are the same between the two methods and any discrepancy is the result of differences between the polynomial coefficients used. However, systematic offsets related to grain geometry appear when comparing F_T for cylindrical grains with minimal symmetry (Fig. 3d). For cylindrical grains, the Reiners et al. protocol results in higher F_T values than the new protocol reflecting that the Reiners et al. protocol assumes grains are true cylinders with symmetry about the c-axis. This results in underestimates of SA_a and V compared to the new protocol which treats cylinders as prisms with ellipsoidal pinacoid terminations. For tetragonal grains, F_T values calculated using the new protocol are larger than values calculated using the Reiners et al. protocol. Tetragonal SA_a and V are calculated using the same formulas regardless of protocol, so differences arise solely from the difference in polynomial coefficients. Although there is not a significant difference in the number of grain fragments with $F_T > 0.5$ between the new and Reiners protocols, the addition of ellipsoid grains and the greater range of grinding depths covered under the new protocol makes it an improvement over the existing Reiners et al. method.

The new protocol covers crystal morphologies commonly observed in the detrital zircon record and suggests grain size limits to guide selection of real grains for analyses involving polishing. For detrital zircon studies, in which grains are likely to be large due to grain size bias arising from abrasion during sedimentary transport (e.g., Fig. S1), size is generally less of a consideration for choosing grains. However, for other applications, in which a greater range in grain size is present, choice of grain targets will need to consider size, as in conventional whole-grain (U-Th)/He applications (e.g., Reiners and Farley, 2001). For thin, needle-like morphologies (Fig. 3b, d), grains with long axes < 150 are less likely to result in $F_T > 0.5$, and then only when ground $< 55\%$ of the original grain width. When grain aspect ratios are higher, long axis lengths can be shorter to include grains with c-axis parallel lengths $< 100\ \mu\text{m}$ (Fig. 3a, c). However, for the smallest grains, care must still be taken to remove minimal material through grinding in order for F_T values to be above 0.5.

An example of the applicability of the new protocol to detrital zircon datasets is provided in the Supplement. The new protocol can also be applied to certain non-sedimentary applications though additional work is needed to accurately account for polishing tetragonal grains with terminations, such as are commonly found in igneous and metamorphic rocks.

6 Conclusions

To combine (U-Th)/He dates with the maximum additional same-grain data, methods for calculating grain V and (U-Th)/He data derived from V must account for the grinding and polishing of grains necessary for many in situ analyses. Previous work has provided protocols to calculate some derived data, mainly F_T corrections, for some, but not all, grinding conditions. In particular, parent isotope concentrations and eU have previously been ignored. The protocol presented here provides a means to obtain V , SA_a , and all data derived from these values, including F_T and eU, as directly as possible regardless of original grain geometry and polishing conditions. For a suite of synthetic zircon, the new protocol behaves as expected for grains that meet recommended grain size requirements for whole-grain analyses and have ellipsoidal, cylindrical, or non-terminated tetragonal original grain morphologies. This makes the new protocol well suited to applications involving detrital zircon, which generally include these grain morphologies and large grains. Additional work is needed to adapt existing protocols or create new ones for cases involving tetragonal grains with pyramidal terminations. (U-Th)/He datasets are usually small and may be limited by other grain selection factors that reduce the population of suitable grains for a given sample; this makes it especially

Deleted: In this section, I compare eU and combined F_T values calculated using the methods presented here with alternative methods. First, I compare eU and F_T with the uncorrected method of Ketcham et al. (2011) on which the method presented here is based (Fig. 2a, b). I also compare F_T calculated using the method of Reiners et al. (2007) (Fig. 2c). Comparisons were made using a detrital zircon dataset ($n = 70$) generated at the University of Colorado Thermochronology Research and Instrumentation Lab (Table S1).

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important to maximize the number of grains with usable F_T values. The new protocol presented here achieves this through more accurate calculation of grain V and SA_a for polished grain fragments used in the calculation of F_T . Even for cases where prior methods for calculating F_T for ground and polished grains (e.g., Reiners et al., 2007) apply, the new protocol is still an improvement, as it provides the full set of recommended reporting data (e.g., Flowers et al., 2022a). The new protocol includes calculation of all data derived from V: eU, parent-isotope concentrations, and R_{FT} , and assigns uncertainty following current recommendations for zircon. The comprehensive nature of the new protocol enables the incorporation of polished grain (U-Th)/He dates into existing workflows for (U-Th)/He date interpretation and thermal history modelling. ▼

Appendix A: Equations to calculate volume, alpha-ejection surface area, R_{SV} , mass, parent-isotope concentrations, eU, F_T , and R_{FT}

A1. Ellipsoid volume and alpha-ejection surface area

The ellipsoid semi-axes a, b, and c and polished surface semi-axes a_p , b_p , and c_p are related to 2D grain measurements L_1 , L_2 , W_1 , W_2 , L_p , W_p , and g, as given in Table 1 and shown in Fig. 1c for each polishing orientation and depth. The ellipsoid coefficient (p) used in the calculation of SA_a is 1.6075 (Ketcham et al., 2011). When the grain is polished perpendicular to the c-axis or polished parallel to the c-axis and more than halfway through the original c-axis perpendicular width ($g > (W_2 + g)/2$), the grain is treated as half a symmetric ellipsoid broken perpendicular or parallel to the c-axis and V and SA_a are calculated using formulas for half an ellipsoid Eq. (A1) and (A2).

$$V = \frac{2}{3}\pi abc \quad (A1)$$

$$SA_a = 2\pi \left(\frac{a^p b^p + b^p c^p + a^p c^p}{3} \right)^{1/p} \quad (A2)$$

When the grain is polished parallel to the c-axis and less than halfway through the original width ($g < (W_2 + g)/2$), V and SA_a are calculated using Eq. (A3) and (A4) which combine estimated V and surface area of the whole original grain and the removed portion of the grain approximated as half a symmetric ellipsoid broken parallel to the c-axis.

$$V = \frac{4}{3}\pi abc - \frac{2}{3}\pi a_p b_p c_p \quad (A3)$$

$$SA_a = 4\pi \left(\frac{a^p b^p + b^p c^p + a^p c^p}{3} \right)^{1/p} - 2\pi \left(\frac{a_p^p b_p^p + b_p^p c_p^p + a_p^p c_p^p}{3} \right)^{1/p} \quad (A4)$$

A2. Cylinder volume and alpha-ejection surface area

“Cylinders” can more accurately be represented as prisms with height (h) and ellipsoidal, rather than circular pinacoid terminations with semi-axes a and b (Fig. 1c). V and SA_a are calculated using the area of an ellipse (πab) and Ramanujan's Formula for the perimeter of an ellipse (Eq. A5).

$$P_{\text{ellipse}} = \pi(a+b) \left[1 + \frac{3k}{10 + \sqrt{4-3k}} \right] \quad (A5)$$

Semi-axes of the ellipsoid cross section are denoted as a and b, k is defined as $(a-b)^2/(a+b)^2$, h is the height or length of the cylinder. Additionally, r is the original radius of the cylinder pre-polishing reconstructed as $r = \frac{(g+W_2+W_1)}{4}$. The semi-axes are related to the 2D grain measurements and g depending on degree of polishing as given in Table 1. When the grain is polished perpendicular to the c-axis the grain is treated as half a symmetric prism broken perpendicular to the c-axis and V and SA_a are calculated using Eq. (A6) and (A7).

$$V = \pi abh \quad (A6)$$

$$SA_a = \pi ab + \pi(a+b) \left(1 + \frac{3k}{10 + \sqrt{4-3k}} \right) h \quad (A7)$$

When the grain is polished parallel to the c-axis and more than halfway through the original width ($g > (W_2 + g)/2$), V and SA_a are calculated using Eq. (A8) and (A9) which treat the fragment as half of a cylinder:

Deleted: makes accurate representation especially important, as an incorrect value in this small sample size can lead to significant misinterpretation. The equations presented here are available in the accompanying R script (Code Availability) which should make implementation of this method for accounting for polishing relatively straight forward, opening up new possibilities for in situ data collection to accompany conventional zircon (U-Th)/He thermochronology.

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3.1.2 Tetragonal Grains

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$$V = \frac{1}{2}\pi abh \quad (A8)$$

$$SA_{\alpha} = \pi ab + \frac{\pi(a+b)}{2} \left(1 + \frac{3k}{10 + \sqrt{4-3k}}\right) h \quad (A9)$$

When the grain is polished parallel to the c-axis and less than halfway ($g < (W_2 + g)/2$), V and SA_{α} are calculated using Eq. (A10) and (A11) which combine estimated V and surface area of the whole original grain and the removed portion of the grain approximated as half a symmetric prism broken parallel to the c-axis.

$$V = \pi h \left(ab - \frac{1}{2}a_p b_p\right) \quad (A10)$$

$$SA_{\alpha} = 2\pi \left(ab - \frac{1}{2}a_p b_p\right) + \pi h \left[(a+b) \left(1 + \frac{3k}{10 + \sqrt{4-3k}}\right) - \frac{1}{2}(a_p + b_p) \left(1 + \frac{3k_p}{10 + \sqrt{4-3k_p}}\right)\right] \quad (A11)$$

A3. Tetragon volume and alpha-ejection surface area

The tetragon axes a , b , and c are related to 2D grain measurements L_1 , L_2 , W_1 , W_2 , and g , as given in Table 1 and shown in Fig. 1c for each polishing orientation and depth. N_p is the number of pyramidal terminations (0, 1, or 2). When the grain is polished perpendicular to the c-axis the grain is treated as half a symmetric prism broken perpendicular to the c-axis and V and SA_{α} are calculated using Eq. (A12) and (A13).

$$V = abc - N_p \left(\frac{a}{4}\right) \left(b^2 + \frac{a^2}{3}\right) \quad (A12)$$

$$SA_{\alpha} = 2(ab + bc + ac) - N_p \left(\frac{a^2 - b^2}{2} + (2 - \sqrt{2})ab\right) - ab \quad (A13)$$

When the grain is polished parallel to the c-axis and more than halfway ($g > (W_2 + g)/2$) the grain is treated as half a symmetric prism broken parallel to the c-axis and V and SA_{α} are calculated using Eq. (A14) and (A15).

$$V = \frac{abc - N_p \left(\frac{a}{4}\right) \left(b^2 + \frac{a^2}{3}\right)}{2} \quad (A14)$$

$$SA_{\alpha} = \frac{2(ab + bc + ac) - N_p \left(\frac{a^2 - b^2}{2} + (2 - \sqrt{2})ab\right)}{2} \quad (A15)$$

When the grain is polished parallel to the c-axis and less than halfway ($g < (W_2 + g)/2$) V and SA_{α} are calculated using Eq. (A16) and (A17) which combine estimated V and surface area of the whole original grain and the removed portion of the grain, which is approximated as half a symmetric prism broken parallel to the c-axis.

$$V = abc - N_p \left(\frac{a}{4}\right) \left(b^2 + \frac{a^2}{3}\right) - \frac{1}{2} \left[a_g b_g c_g - N_p \left(\frac{a_g}{4}\right) \left(b_g^2 + \frac{a_g^2}{3}\right)\right] \quad (A16)$$

$$SA_{\alpha} = 2(ab + bc + ac) - N_p \left(\frac{a^2 - b^2}{2} + (2 - \sqrt{2})ab\right) - \frac{1}{2} \left[2(a_g b_g + b_g c_g + a_g c_g) - N_p \left(\frac{a_g^2 - b_g^2}{2} + (2 - \sqrt{2})a_g b_g\right)\right] \quad (A17)$$

A4. Mass, parent isotope concentrations, and eU

M is calculated assuming an average zircon density $4.65 \times 10^{-12} \text{ g } \mu\text{m}^{-3}$ using Eq. (A18) and inherits uncertainty from V .

$$M = (4.65 \times 10^{-12})V \quad (A18)$$

Parent isotope concentrations for uranium (U), thorium (Th), and samarium (Sm) in ppm are calculated from parent isotope masses in ng and total M using Eq. (A19). Parent isotope concentration uncertainty is propagated from the total analytical uncertainty on the ng measurements and M uncertainty inherited from V .

$$[X] = \frac{(\text{ng } X) \times 1000}{M} \quad (A19)$$

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Volume uncertainty has not been quantified for cylindrical grains like it has for other geometries (Cooperdock et al., 2019; Zeigler et al., 2023, 2024). In the absence of this, uncertainty on cylindrical V is applied as $1\sigma = 21\%$ (the largest quantified uncertainty for zircon, Zeigler et al., 2024) as a conservative estimate. Future work should establish a quantitative V uncertainty value and correction for cylinders as this is a common geometry for abraded grains. SA uncertainty is unquantified.

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 R_{SV} is calculated using Eq. (3) from Ketcham et al. (2011), notated Eq. (22) below.
 $R_{SV} = \frac{3V}{SA} \rightarrow \rightarrow \rightarrow \rightarrow \rightarrow \rightarrow \rightarrow \rightarrow \rightarrow (22)$

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	eU is calculated using Eq. (A9) from Cooperdock et al. (2019), Eq. (A20) below. Uncertainty on eU is propagated from uncertainties on the U, Th, and Sm concentrations combining total analytical uncertainty and V uncertainty.	Deleted: 4.2 eU
	$eU = [U] + 0.238[Th] + 0.0083[^{147}Sm] \quad (A20)$	Deleted: 25
	A5. F_T and R_{FT}	Deleted: 25
840	F_T values are calculated using the weighted mean stopping distances S_x of an alpha particle for a given parent isotope decay chain (15.55, 18.05, 18.43, and 4.76 μm for ^{238}U , ^{235}U , ^{232}Th , and ^{147}Sm , respectively, Ketcham et al., 2011), R_{SV} dependent on the crystal volume and ejection surface area (Eq. (2)), and geometry-specific F_T equations from Ketcham et al. (2011) (Eq. (A21), (A22), and (A23) below). Eq. (A22) for cylinders has been modified from Ketcham et al. (2011) to be in terms of R_{SV} and h rather than r and h .	Deleted: Uncertainty on eU is propagated from uncertainties on the U, Th, and Sm concentrations combining total analytical unc... [12]
845	For ellipsoidal grains, F_T is given by Eq. (A21):	Deleted: 2
	$F_{T,x} = 1 - \frac{3}{4} \left(\frac{S_x}{R_{SV}} \right) + \left[\frac{1}{16} + 0.1686 \left(1 - \frac{a}{R_{SV}} \right)^2 \right] \left(\frac{S_x}{R_{SV}} \right)^3 \quad (A21)$	Deleted: 26
	For cylindrical grains, F_T is given by Eq. (A22):	Deleted: 7
850	$F_{T,x} = 1 - \frac{3}{4} \frac{S_x}{R_{SV}} + \frac{0.3183}{h + \left[\frac{2}{3} R_{SV} h \right]} \frac{S_x^2}{R_{SV}} + \frac{0.153}{\left[\frac{2}{3} R_{SV} h \right]^3} S_x^3 \quad (A22)$	Deleted: 8
	For tetragonal grains, F_T is given by Eq. (A23). If the grain is polished perpendicular to the c-axis, c as calculated in Table 1 is multiplied by 2 in Eq. (A23). If the grain is polished parallel to the c-axis, b as calculated in Table 1 is multiplied by 2.	Deleted: The relationship between a, b, c, r, and h and 2D ... [13]
855	$F_{T,x} = 1 - \frac{3}{4} \left(\frac{S_x}{R_{SV}} \right) + \frac{0.2095(a+b+c)S_x^2}{2V} - N_p(a+b) \left(0.096 - 0.013 \frac{a^2+b^2}{c^2} \right) \frac{S_x^2}{2V} \quad (A23)$	Deleted: 4.3.1 Ellipsoidal Grains
	Combined F_T is calculated for each grain using equations from Cooperdock et al. (2019) and activities for ^{238}U and ^{232}Th , A_{238} and A_{232} , respectively (Eq. (A24), (A25), and (A26)).	Deleted: 26
	$A_{238} = (1.04 + 0.247[Th/U])^{-1} \quad (A24)$	Deleted: 4.3.2 Tetragonal Grains
	$A_{232} = (1 + 4.21[Th/U])^{-1} \quad (A25)$	Deleted: abc
860	$F_T = A_{238}F_{T,238} + A_{232}F_{T,232} + (1 - A_{238} - A_{232})F_{T,235} \quad (A26)$	Deleted: 0.00995
	R_{FT} is calculated using Eq. (6) from Cooperdock et al. (2019) and related equations (Eq. (A27), (A28), (A29)).	Deleted: 3
	$S/R = 1.681 - 2.428F_T + 1.153F_T^2 - 0.406F_T^3 \quad (A27)$	Deleted: abc
	$S = A_{238}S_{238} + A_{232}S_{232} + (1 - A_{238} - A_{232})S_{235} \quad (A28)$	Deleted: $\rightarrow \rightarrow \rightarrow$
865	$R_{FT} = \frac{S}{S/R} \quad (A29)$	Deleted: 7
	Code and data availability	Deleted: 4.3.3 Cylindrical Grains
	An R script to apply the protocol presented in this work is available with potential future updates on GitHub (https://github.com/Barra-Peak/polished-ZHe-derived-values). A static version of the script used to produce the results presented here is available in repository form (https://zenodo.org/records/15642289). Code used to generate the Reiners et al. (2007) protocol comparison and plot figures is also available in the repository. All data used to evaluate the protocol is included in the Supplement.	Deleted: 29
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		Deleted: in the method comparison and plotted in Fig. 2 ca... [18]

Author contributions

BAP conceptualized the study, developed the equations, code, grain measurement protocol, prepared the test datasets and method comparison, and prepared and revised the manuscript.

Competing interests

The author declares no conflict of interest.

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